

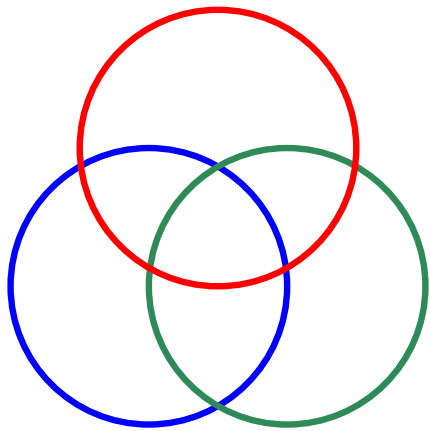
Arrangements of Pseudocircles

Stefan Felsner and Manfred Scheucher

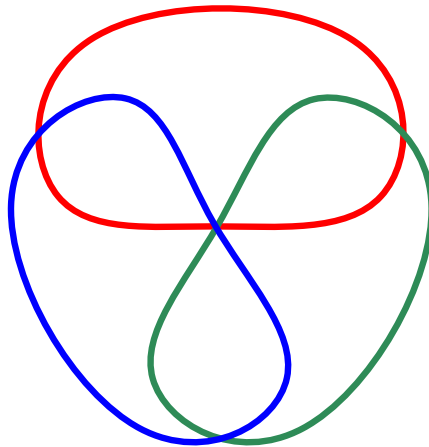
Definitions

pseudocircle ... simple closed curve

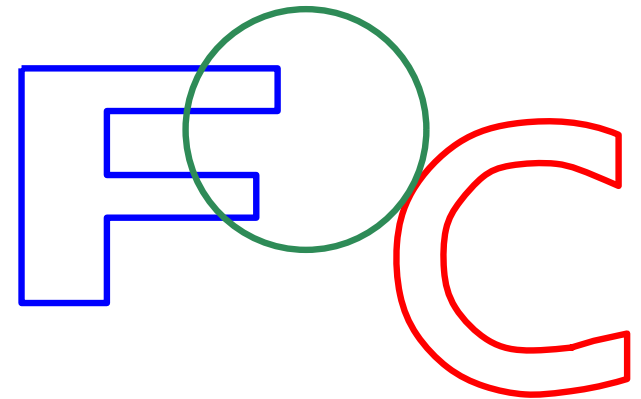
arrangement ... collection of pcs. s.t. intersection of any two pcs. either empty or 2 points where curves cross



arrangement



arrangement

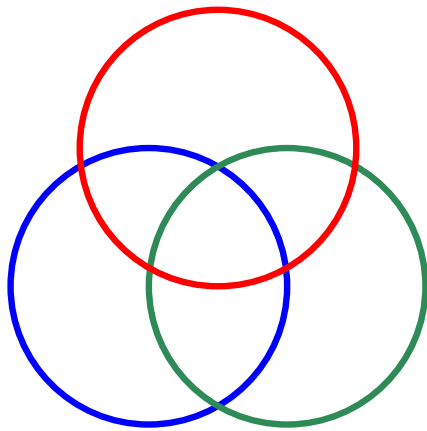


no arrangement

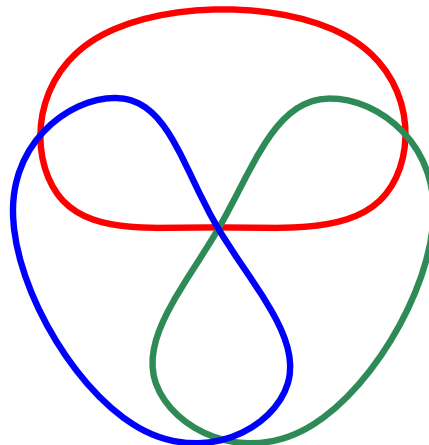
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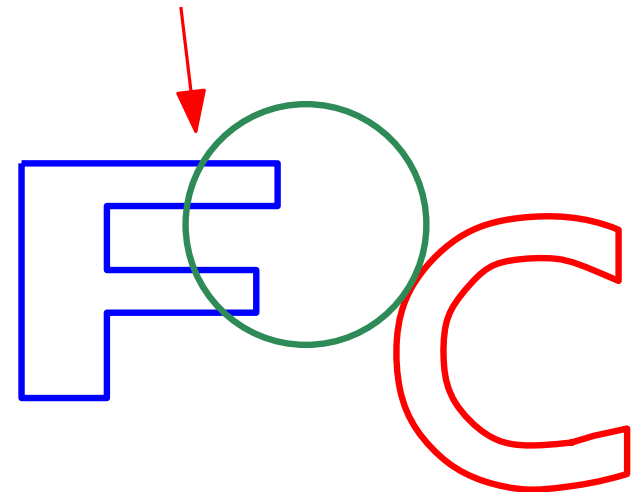


arrangement



arrangement

four intersections!

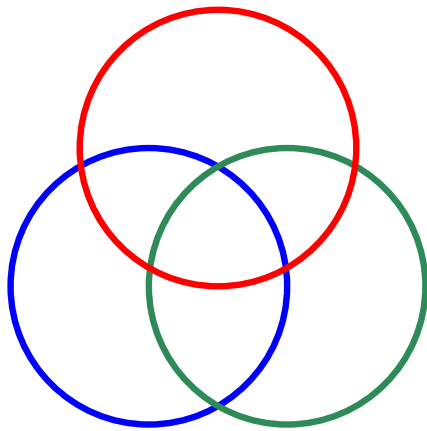


no arrangement

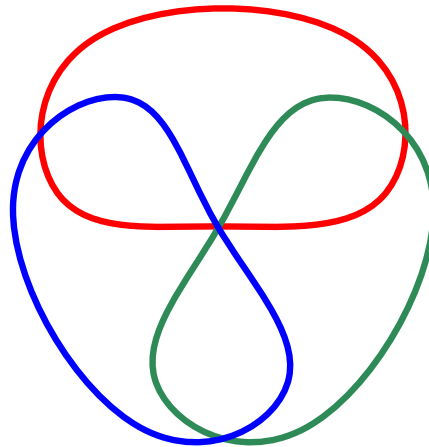
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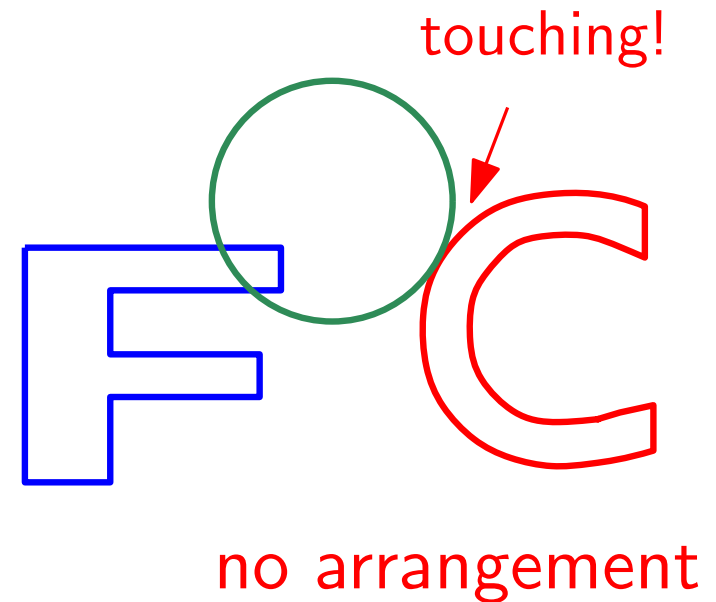
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arrangement



arrangement

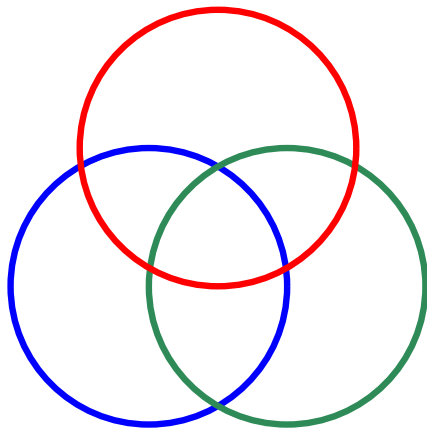


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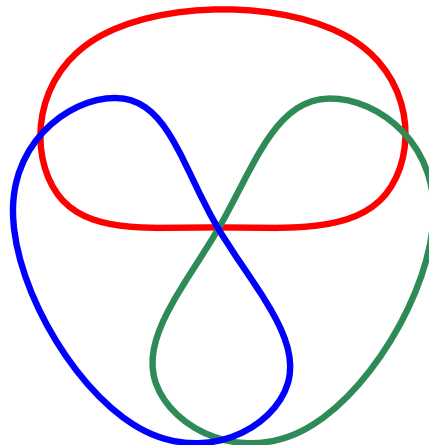
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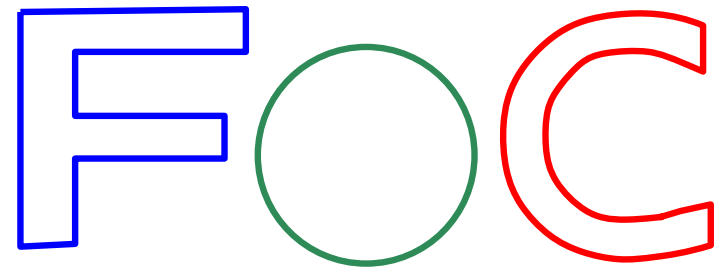
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arrangement



arrangement

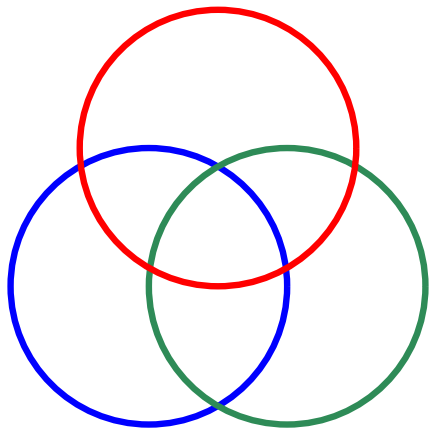


arrangement

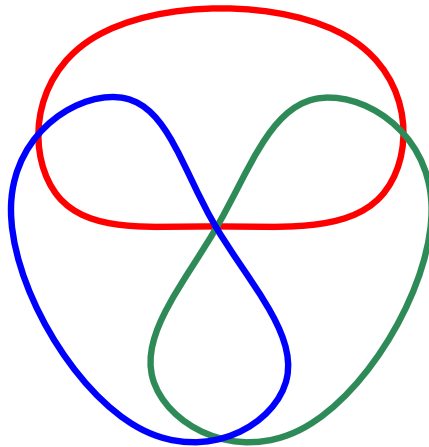
Definitions

simple ... no 3 pcs. intersect in common point

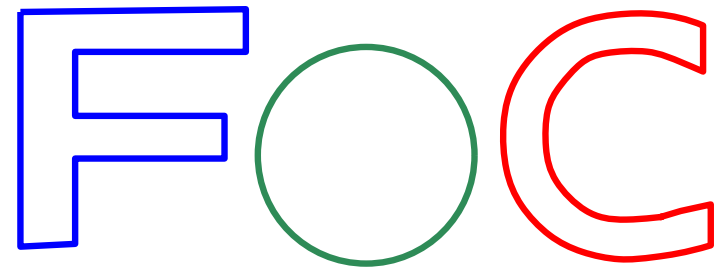
connected ... intersection graph is connected



simple+connected



not simple

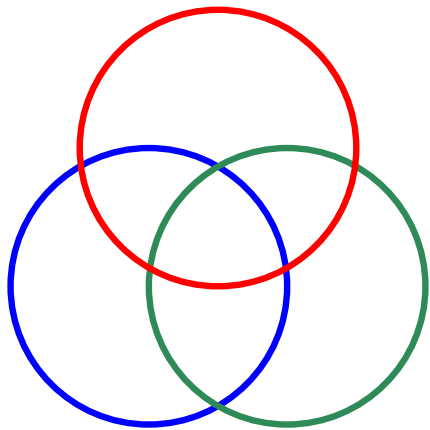


not connected

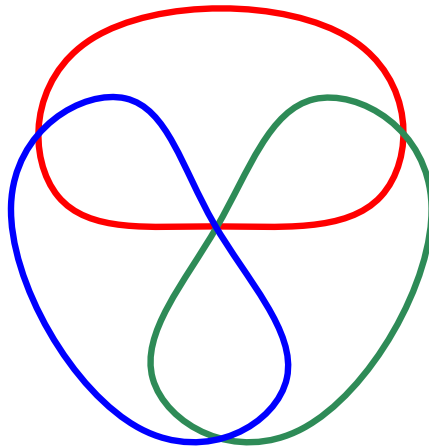
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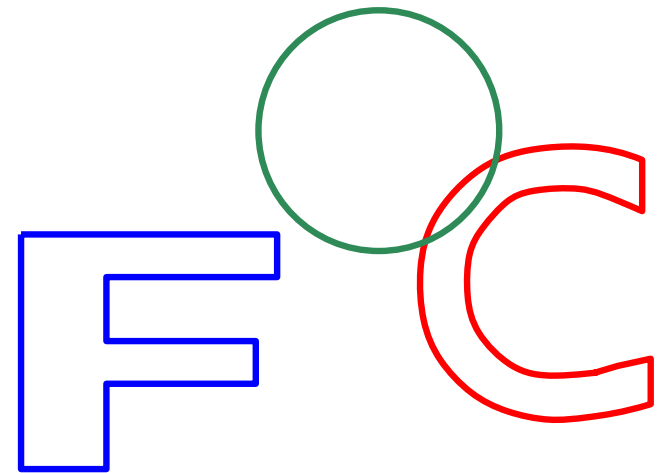
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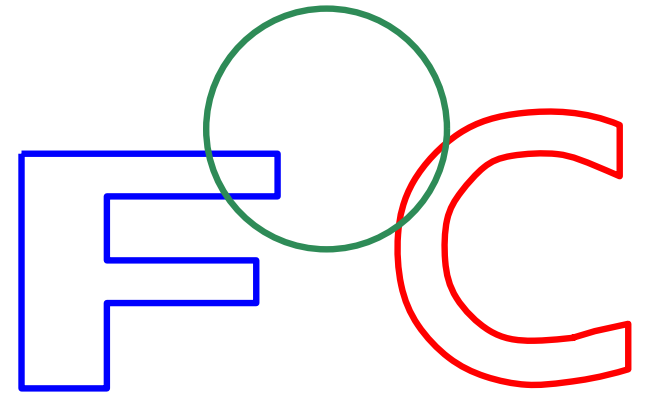
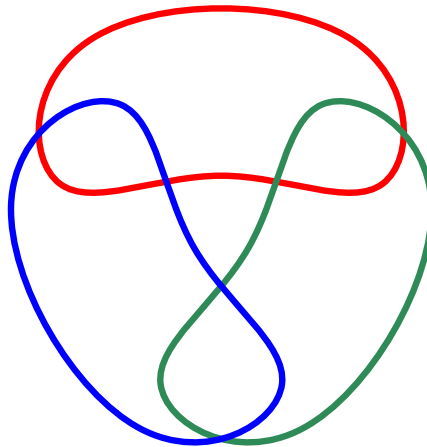
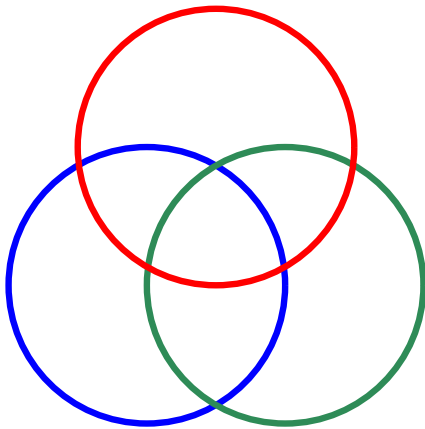
not connected

Definitions

simple ... no 3 pcs. intersect in common point

connected ... intersection graph is connected

assumptions
throughout
presentation

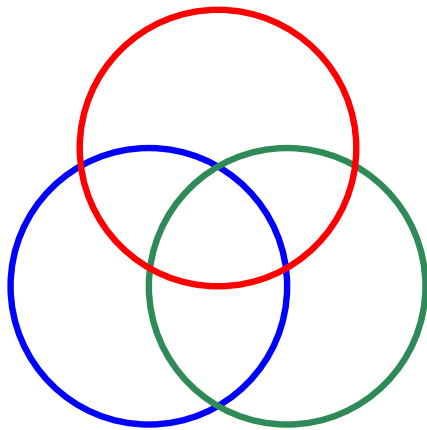


Definitions

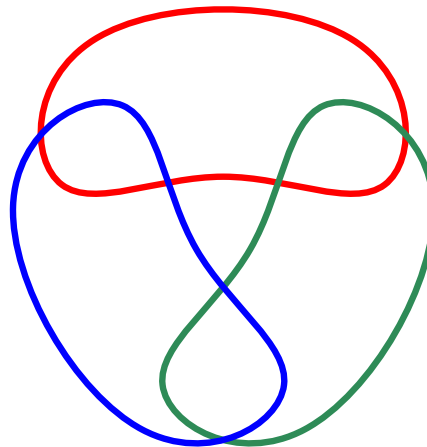
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connected ... intersection graph is connected

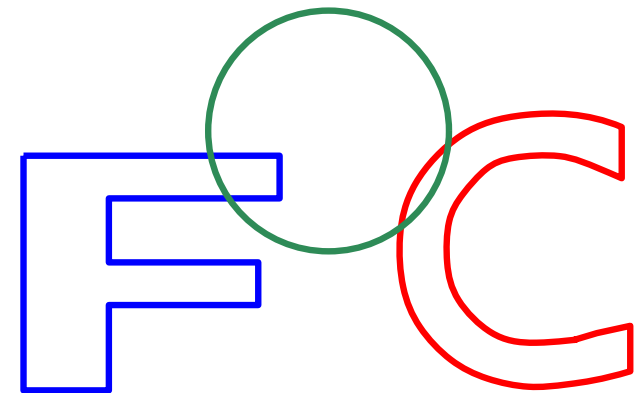
assumptions
throughout
presentation



Krupp



NonKrupp



3-Chain

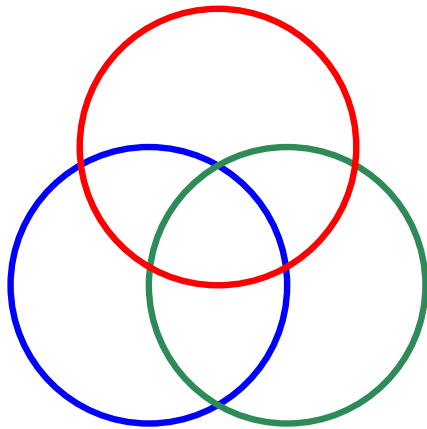
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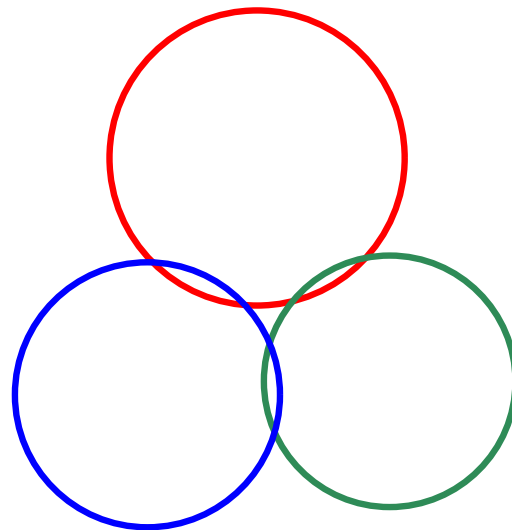
connected ... intersection graph is connected

assumptions
throughout
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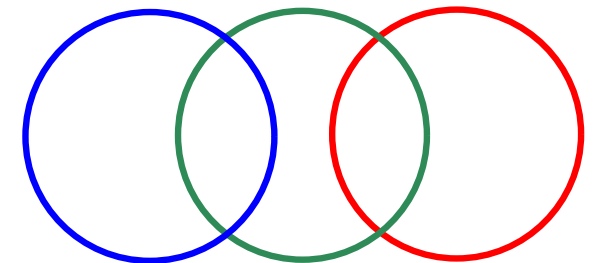
circleable ... \exists isomorphic arrangement of circles



Krupp



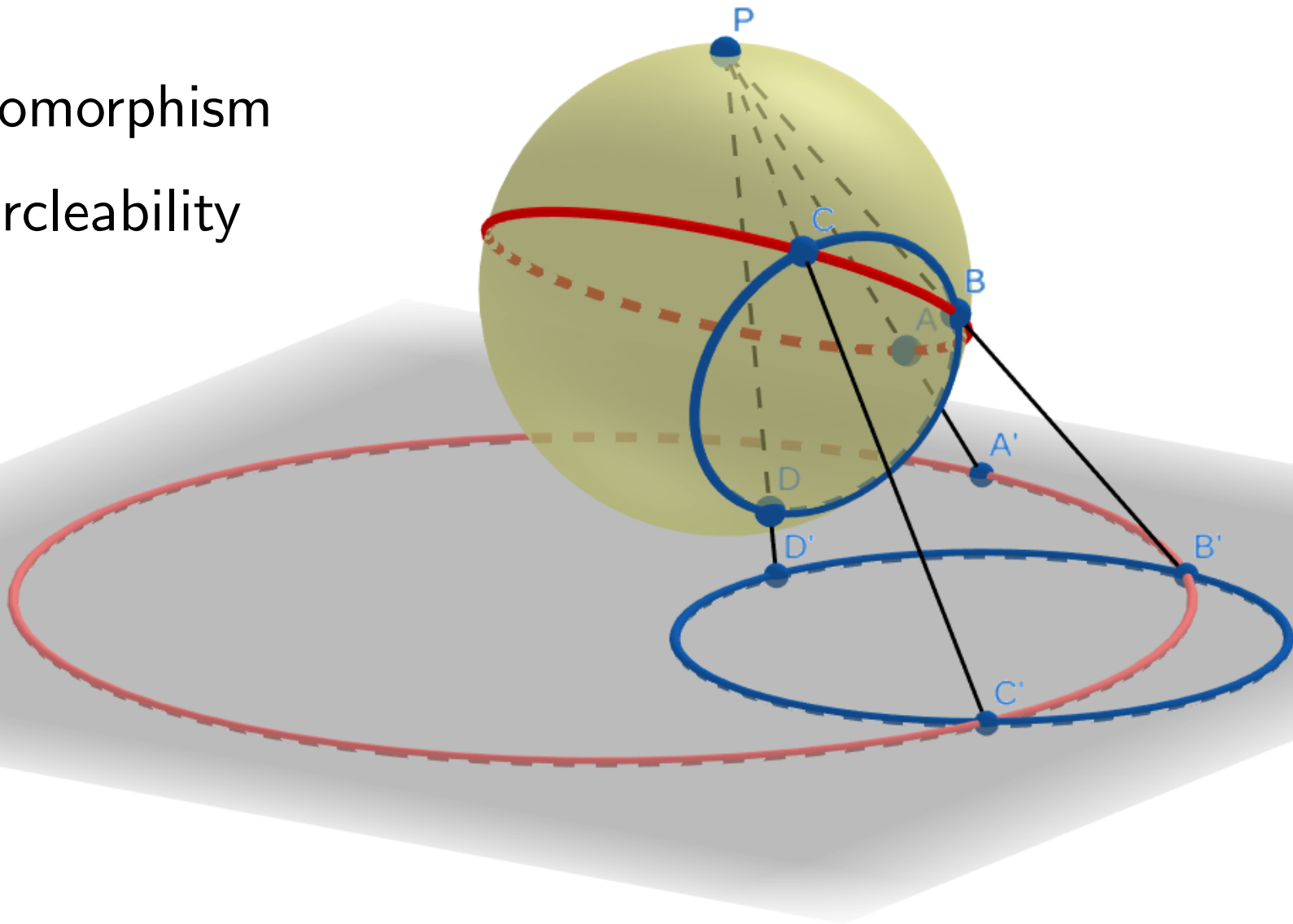
NonKrupp



3-Chain

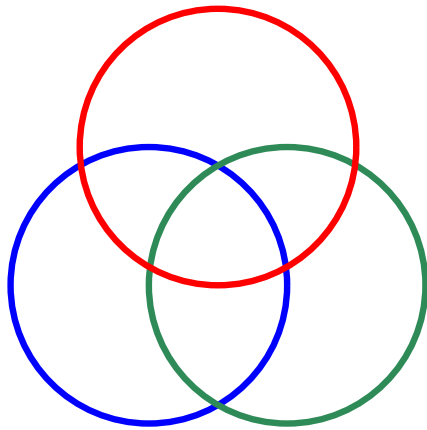
Plane VS Sphere

- isomorphism
- circleability

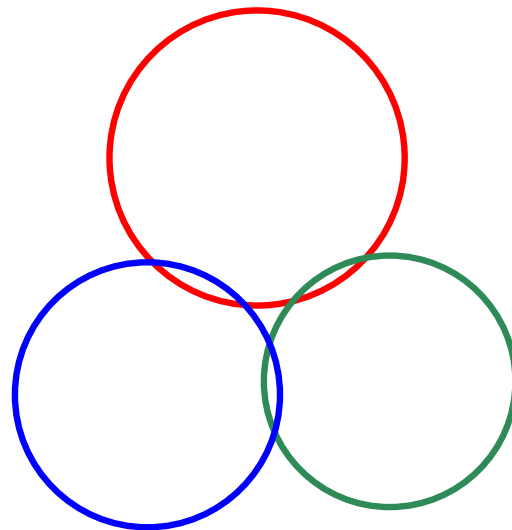


Classes of Arrangements

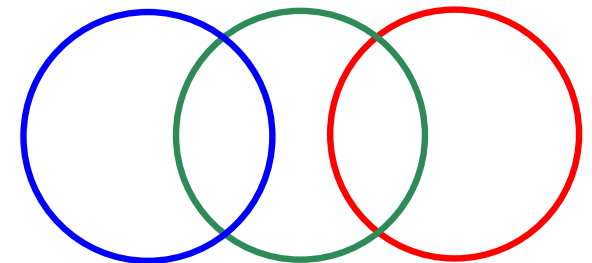
connected . . . graph of arrangement is connected



Krupp



NonKrupp



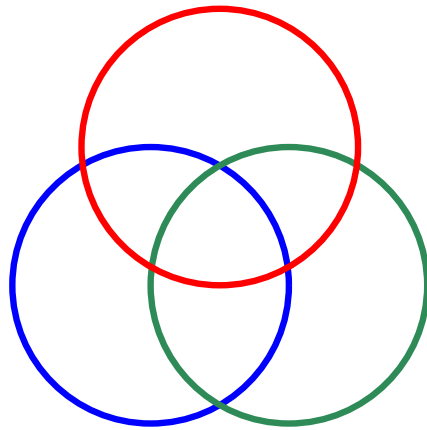
3-Chain

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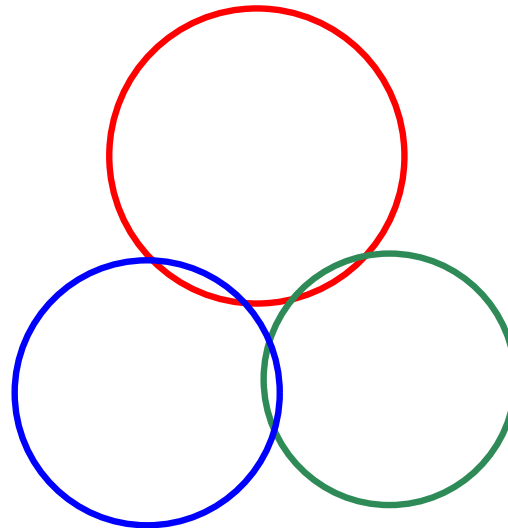
connected ... graph of arrangement is connected



intersecting ... any 2 pseudocircles cross twice



Krupp



NonKrupp

Classes of Arrangements

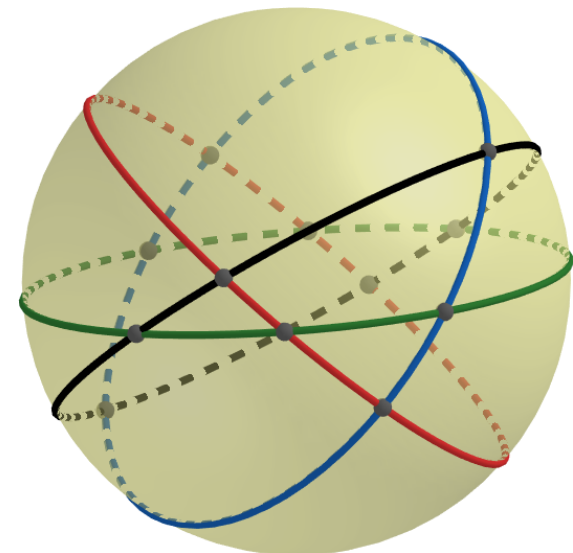
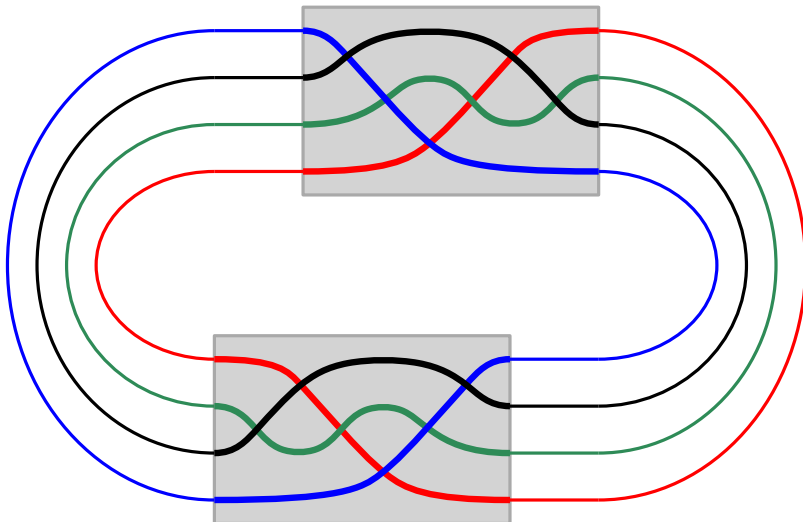
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arr. of great-pseudocircles ... any 3 pcs. form a Krupp



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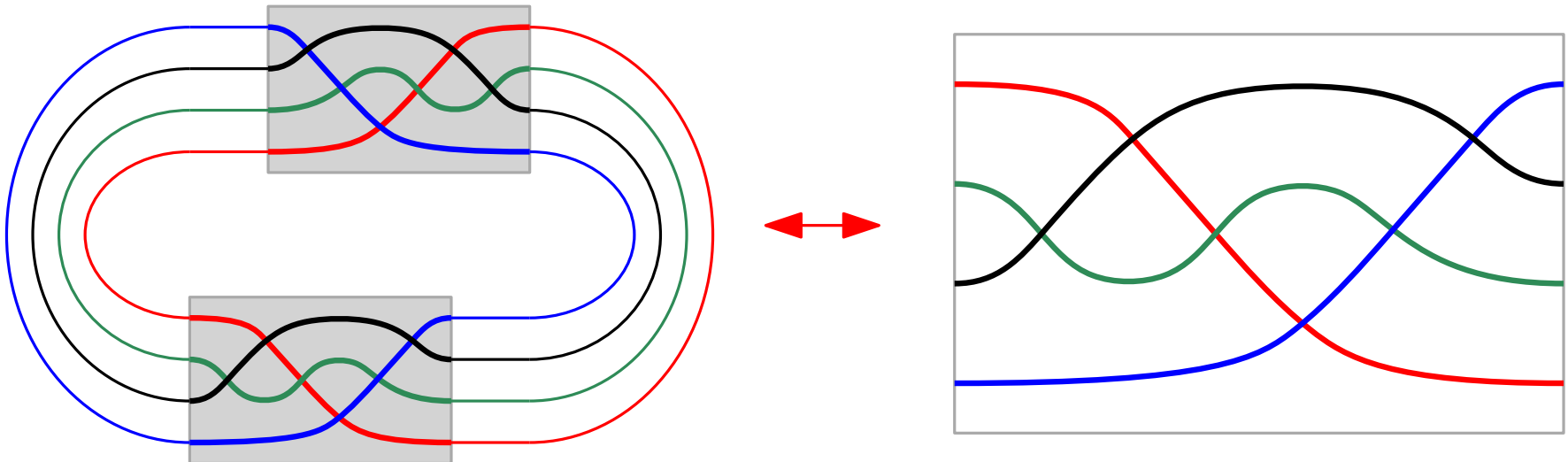
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digon-free . . . no cell bounded by two pcs.

Classes of Arrangements

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digon-free ... no cell bounded by two pcs.



cylindrical ... \exists two cells separated by each of the pcs.

Classes of Arrangements

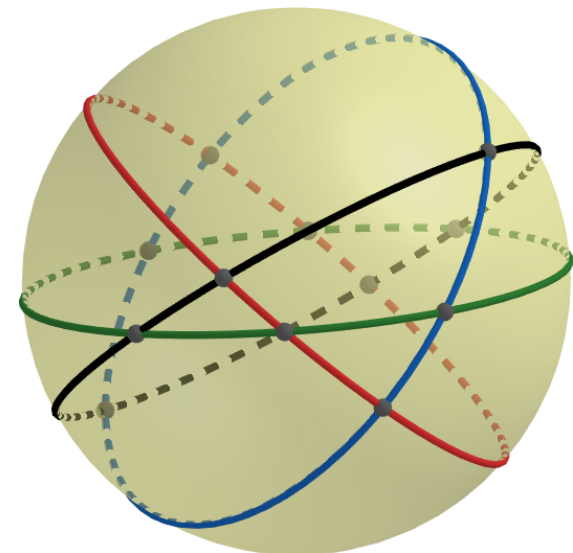
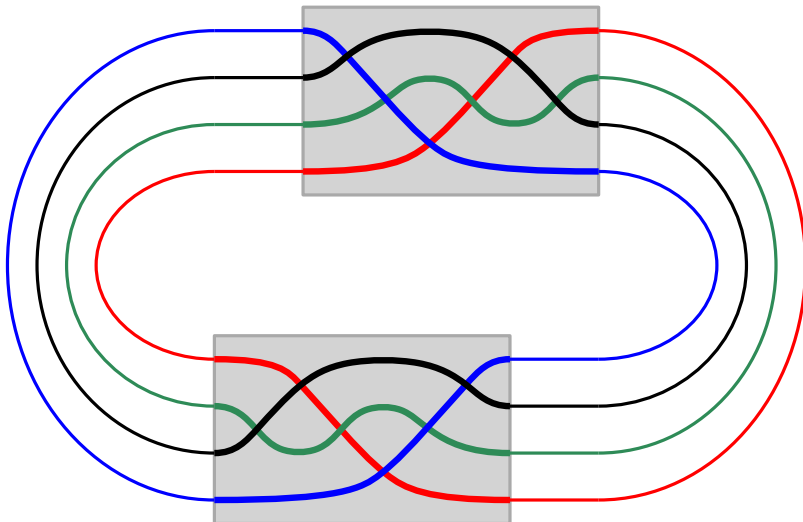
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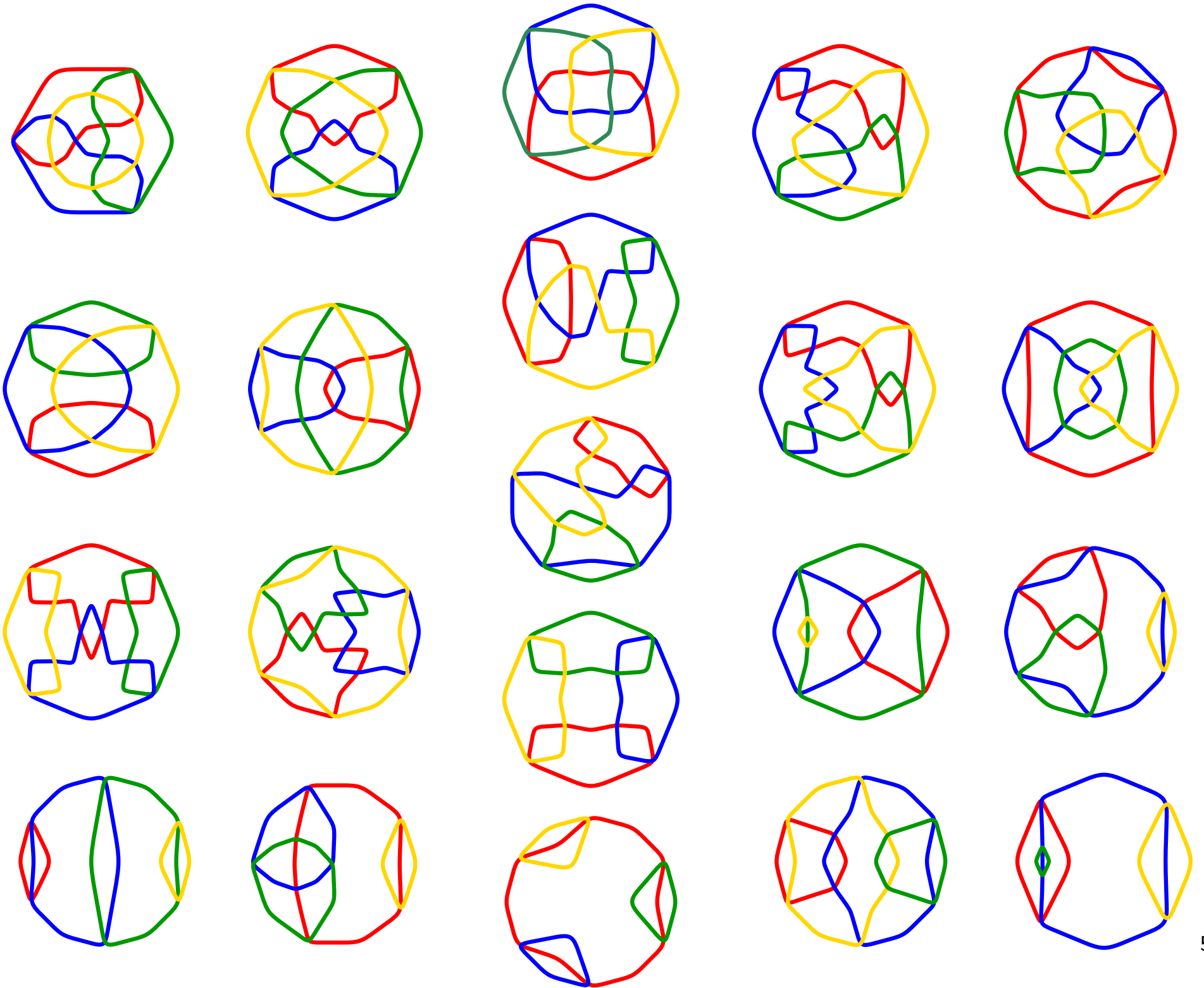


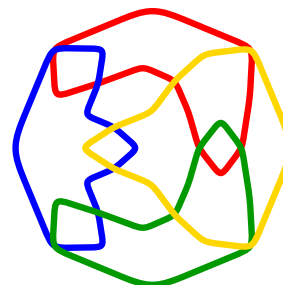
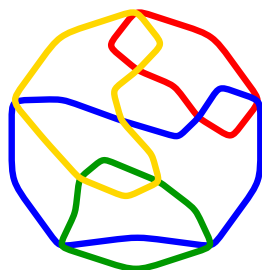
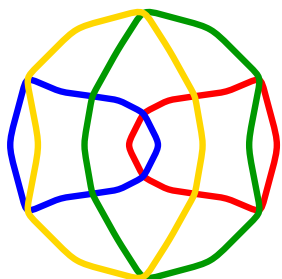
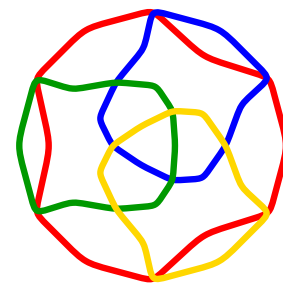
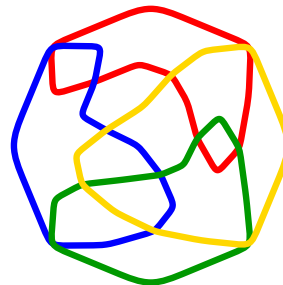
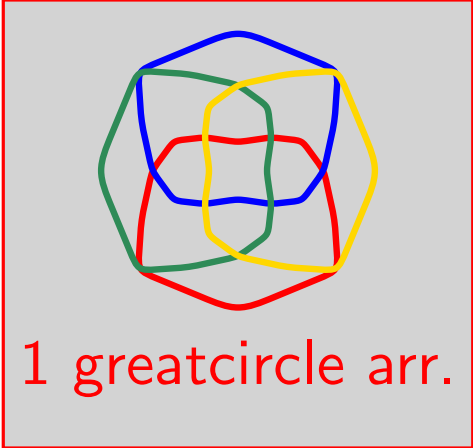
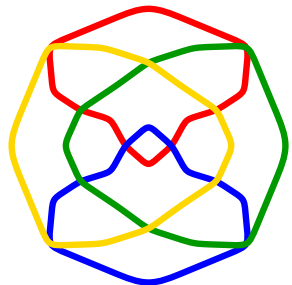
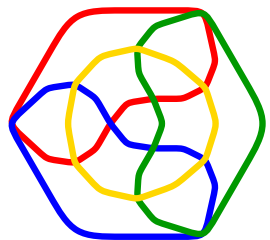
intersecting ... any 2 pseudocircles cross twice



arr. of great-pseudocircles ... any 3 pcs. form a Krupp







8 intersecting arrangements

21 connected arrangements

Enumeration of Arrangements

n	3	4	5	6	7
connected	3	21	984	609 423	?
+digon-free	1	3	30	4 509	?
intersecting	2	8	278	145 058	447 905 202
+digon-free	1	2	14	2 131	3 012 972
great-p.c.s	1	1	1	4	11

Table: # of combinatorially different arrangements of n pcs.

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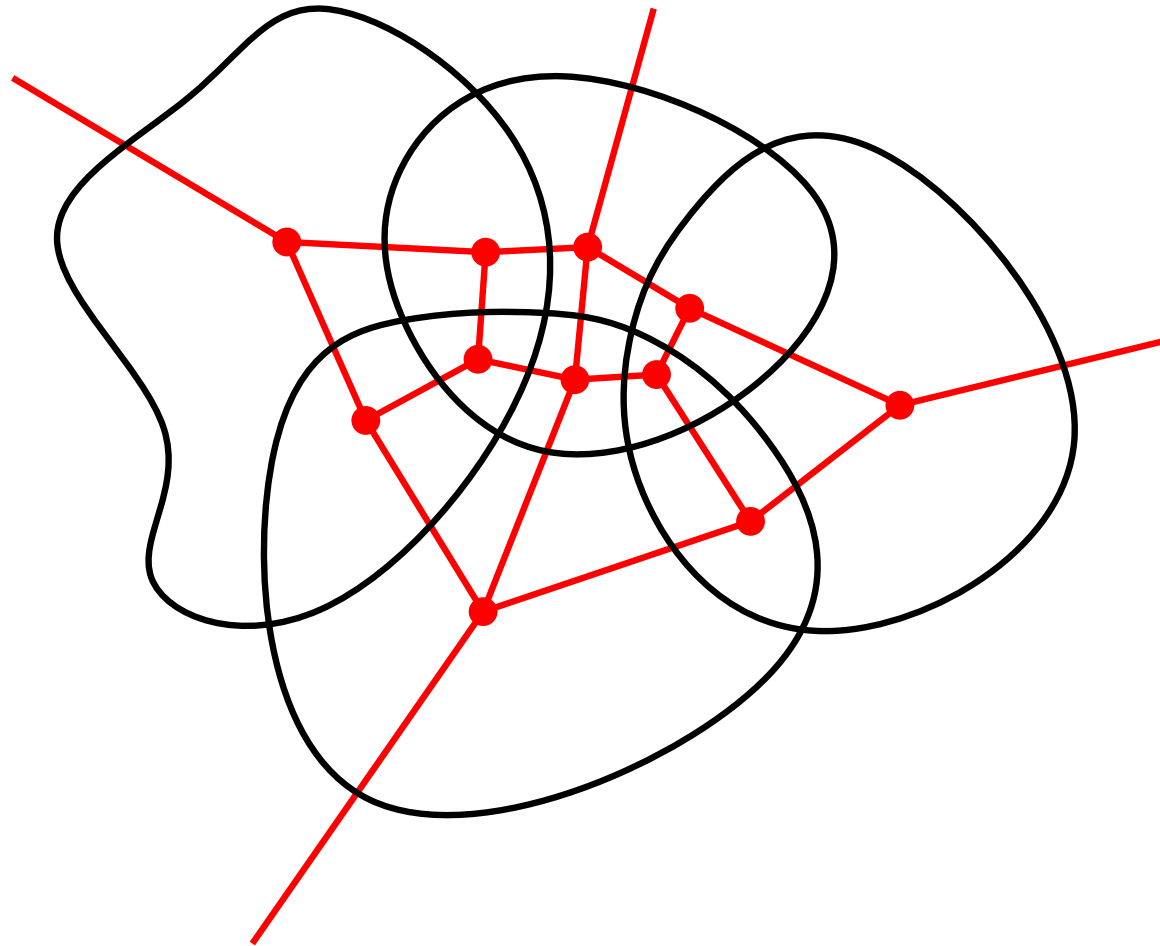
Table: # of combinatorially different arrangements of n pcs.

arrangements of pcs: $2^{\Theta(n^2)}$

arrangements of circles: $2^{\Theta(n \log n)}$

Counting Arrangements of Pseudocircles

- dual graph is quadrangulation on $O(n^2)$ vertices

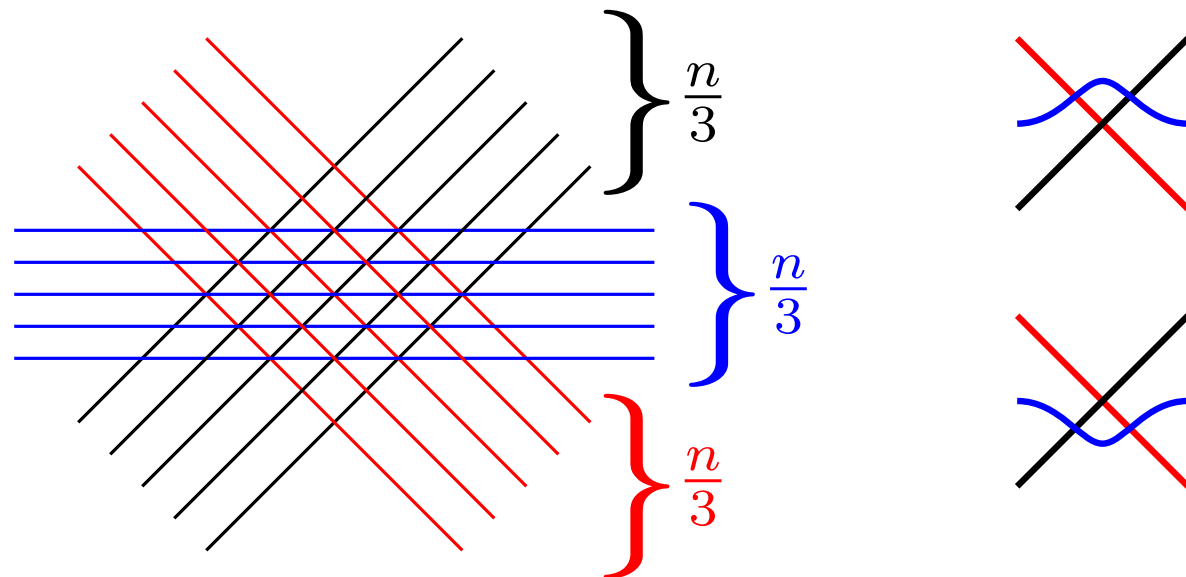


Counting Arrangements of Pseudocircles

- dual graph is quadrangulation on $O(n^2)$ vertices
- Tutte'62: $2^{\Theta(m)}$ triangulations on m vertices
- \Rightarrow Upper bound: $2^{O(n^2)}$ non-isomorphic arrangements

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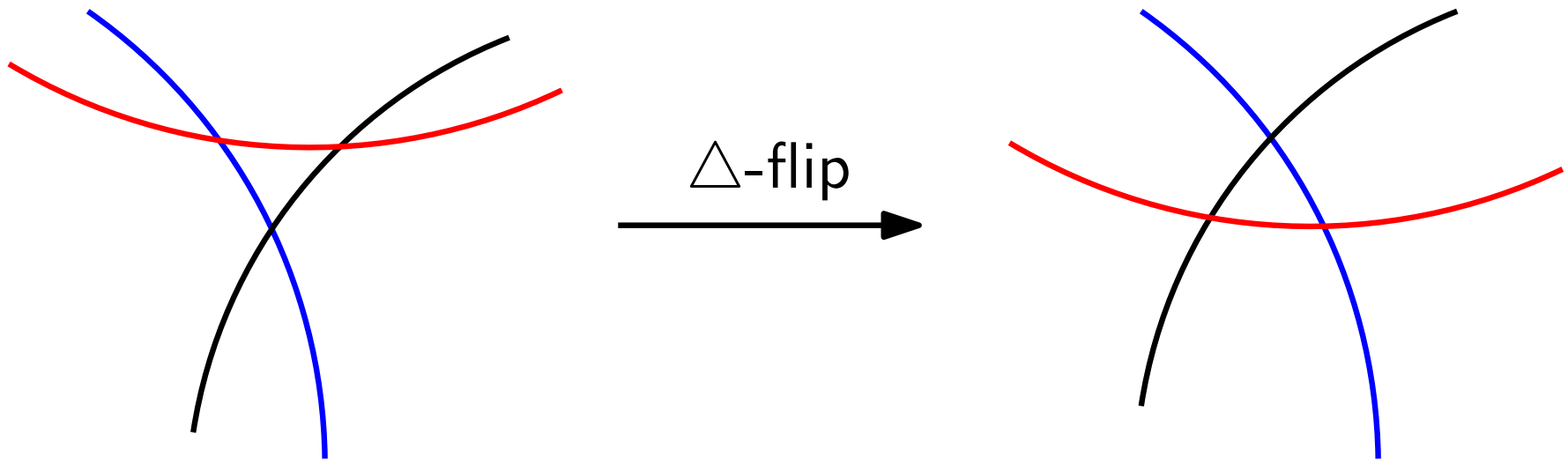
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Theorem: There are $2^{\Theta(n^2)}$ arrangements on n pcs.

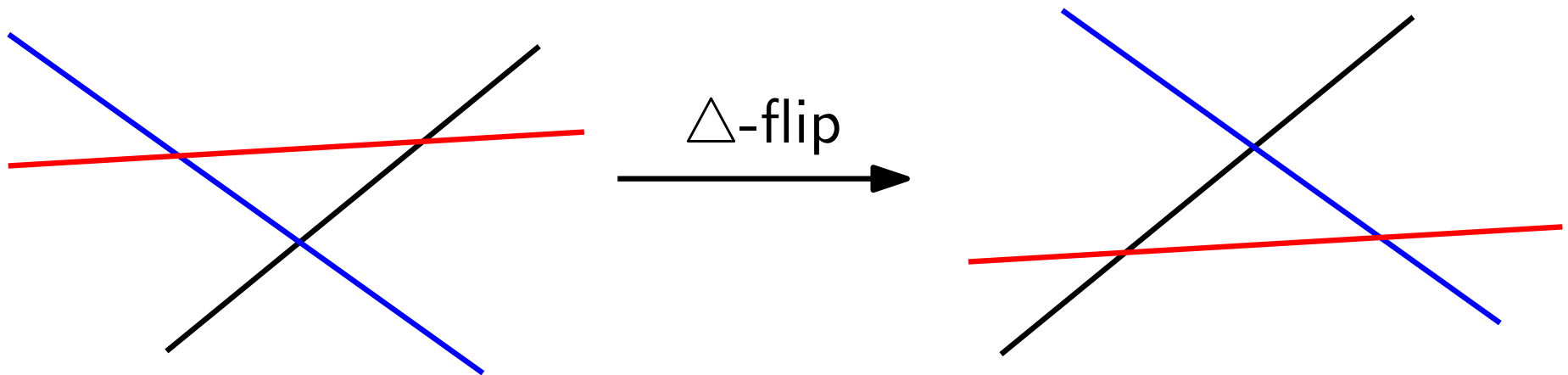
Counting Arrangements of Circles

- Upper bound: arrangement changes if a triangle "flips"



Counting Arrangements of Circles

- Upper bound: arrangement changes if a triangle "flips"
- we sketch the proof for *line*-arrangements



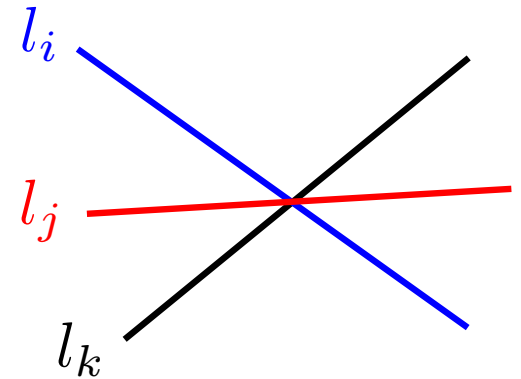
Counting Arrangements of Circles

- lines l_1, \dots, l_n given by $l_i : y_i = a_i x + b_i$

Counting Arrangements of Circles

- lines l_1, \dots, l_n given by $l_i : y_i = a_i x + b_i$
- $l_i, l_j,$ and l_k meet in a common point

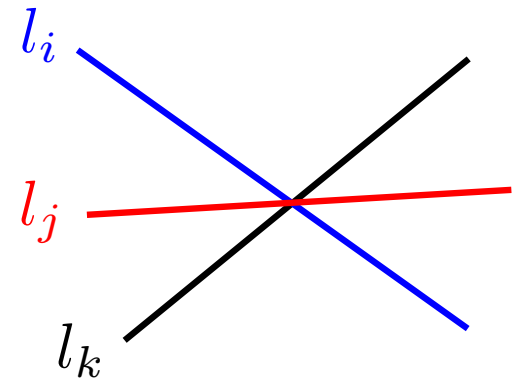
$$\iff \det \begin{pmatrix} 1 & 1 & 1 \\ a_i & a_j & a_k \\ b_i & b_j & b_k \end{pmatrix} = 0$$



Counting Arrangements of Circles

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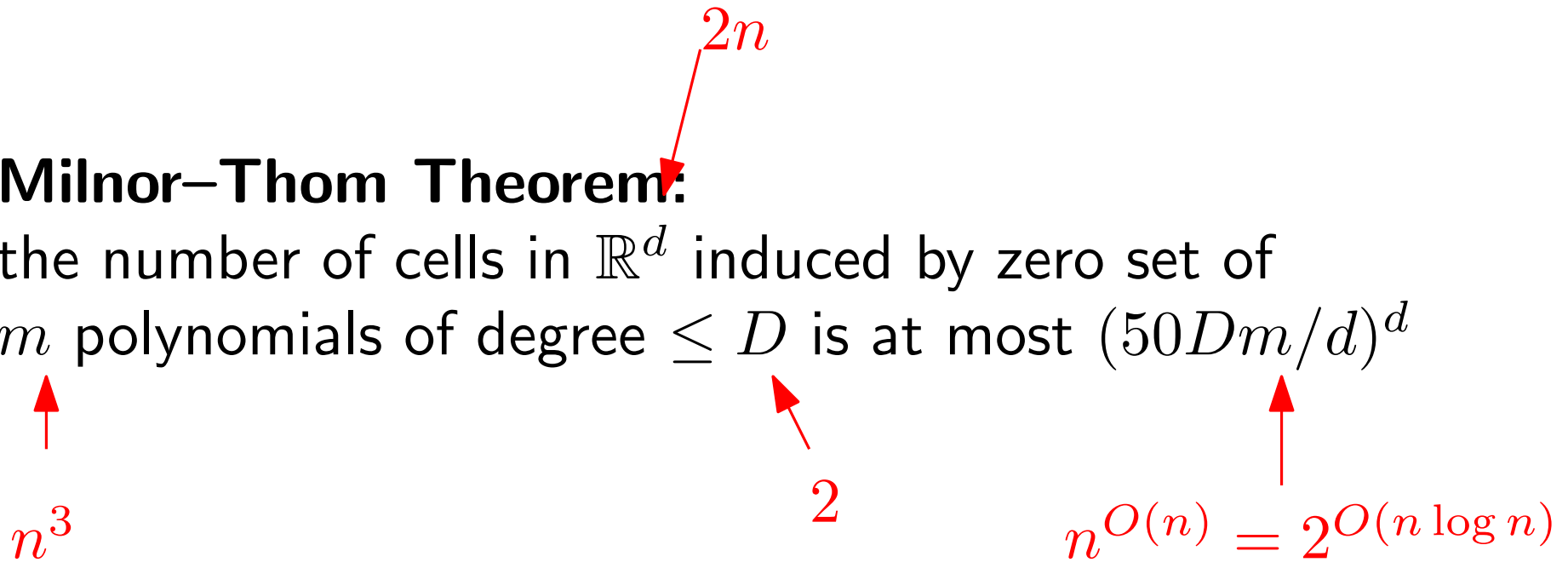


- system of $\binom{n}{3}$ quadratic polynomials in $2n$ variables
- simple arr. \iff all polynomials non-zero

Counting Arrangements of Circles

- **Milnor–Thom Theorem:**
the number of cells in \mathbb{R}^d induced by zero set of m polynomials of degree $\leq D$ is at most $(50Dm/d)^d$

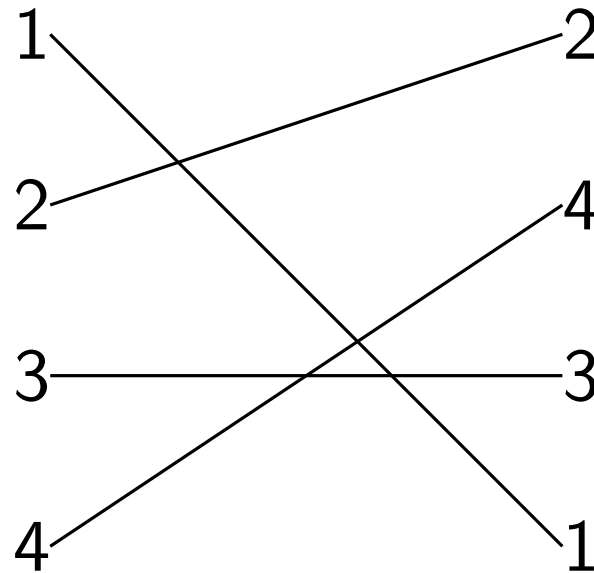
Counting Arrangements of Circles

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n^3 $2n$ 2 $n^{O(n)} = 2^{O(n \log n)}$
- Upper bound: $2^{O(n \log n)}$

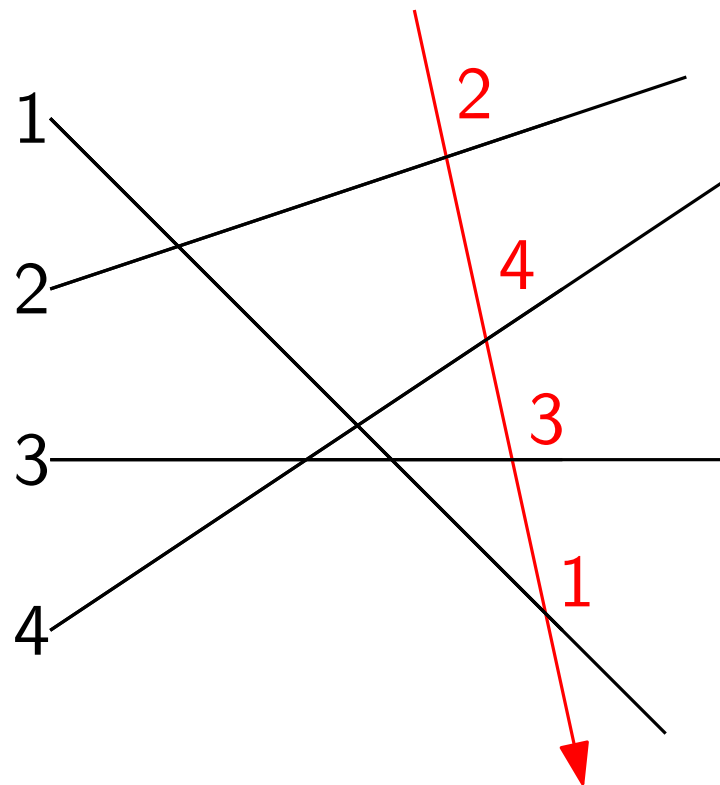
Counting Arrangements of Circles

- Lower bound: $\#$ of permutations



Counting Arrangements of Circles

- Lower bound: $\#$ of permutations



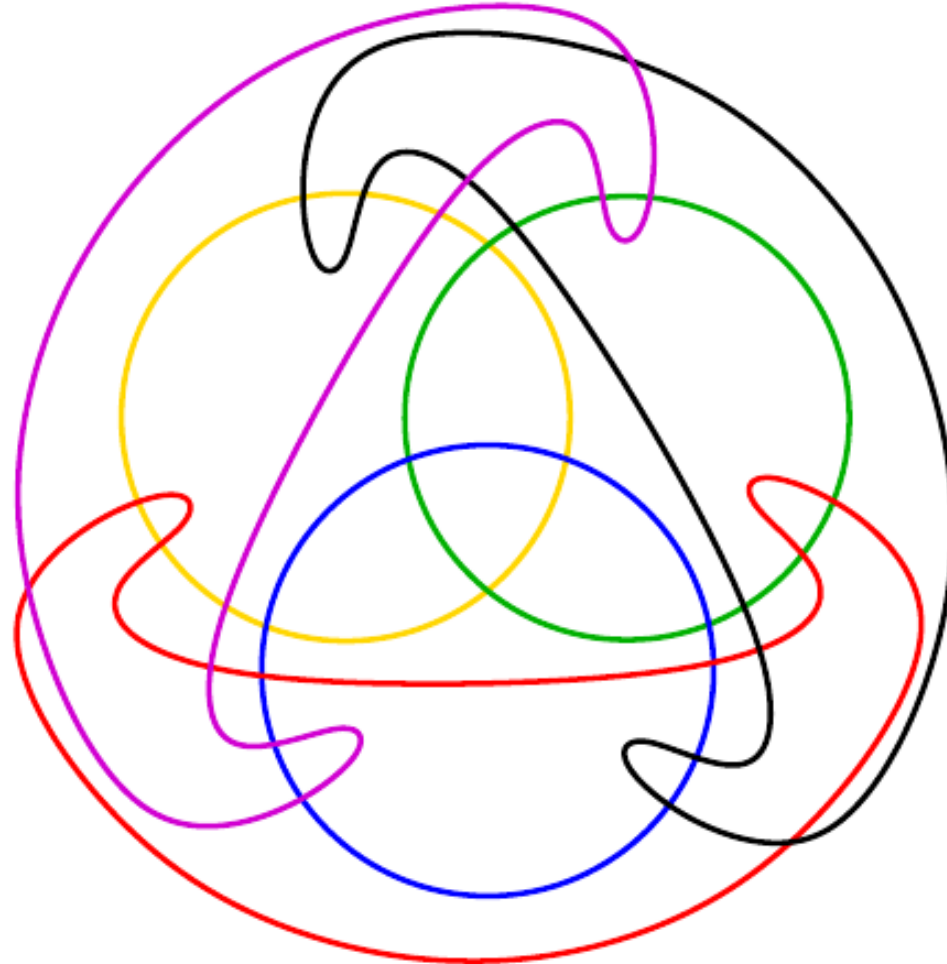
Counting Arrangements of Circles

Theorem: There are $2^{\Theta(n \log n)}$ arrangements on n circles.

Part I: Circleability

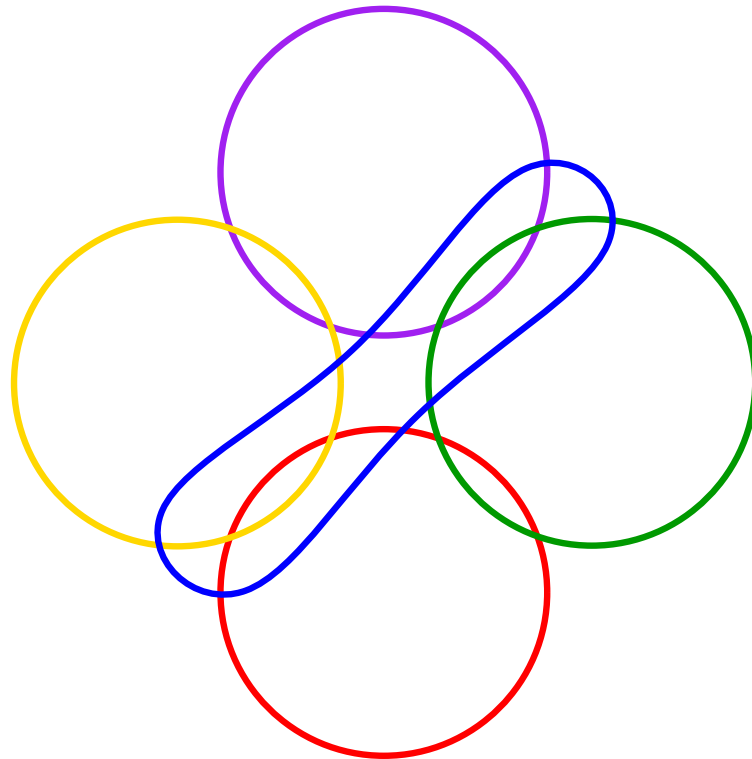
Circleability Results

- non-circleability of intersecting $n = 6$ arrangement [Edelsbrunner and Ramos '97]



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Circleability Results

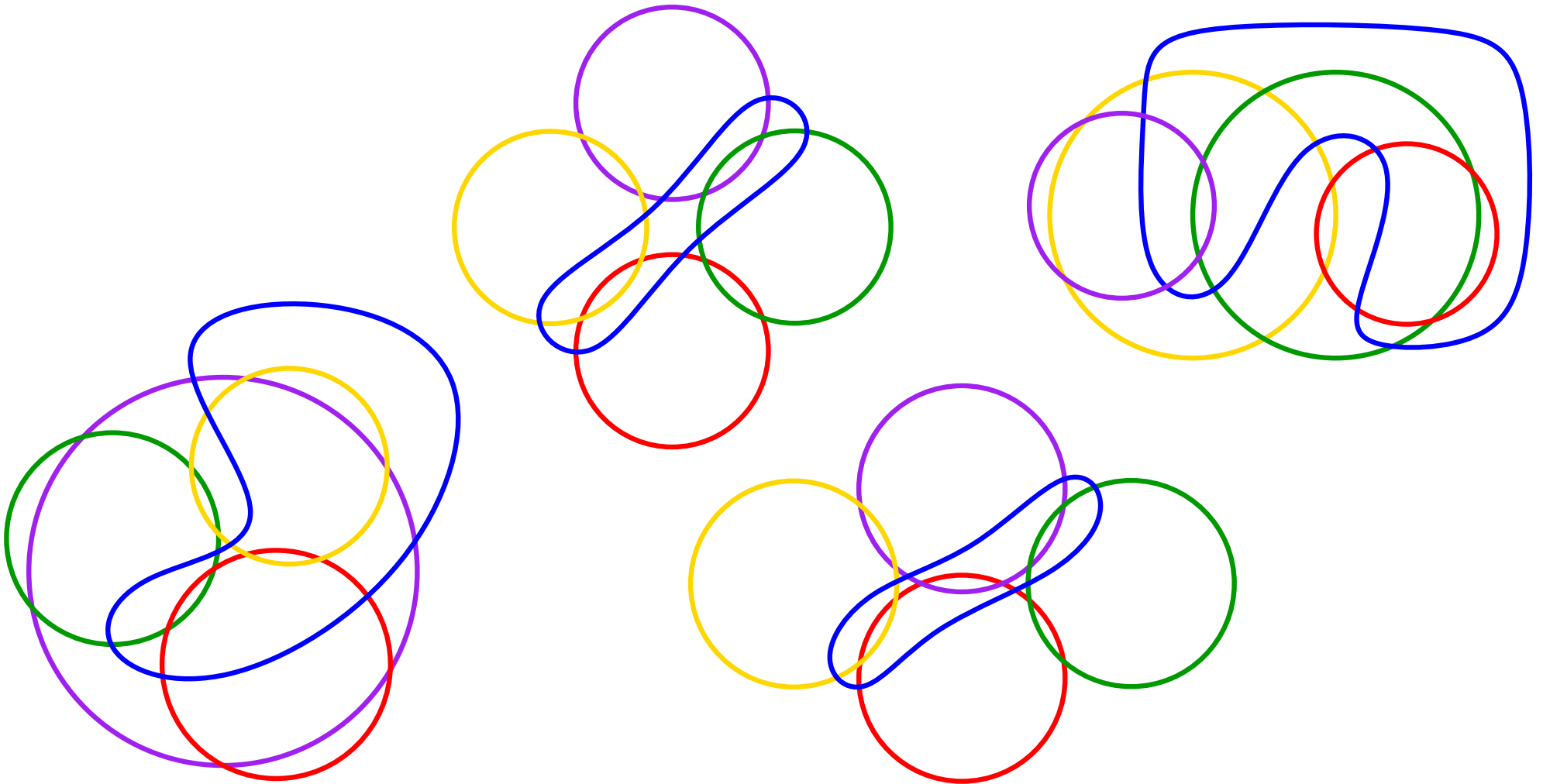
- non-circleability of intersecting $n = 6$ arrangement [Edelsbrunner and Ramos '97]
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- circleability of all $n = 4$ arrangements
[Kang and Müller '14]
- NP-hardness of circleability
[Kang and Müller '14]

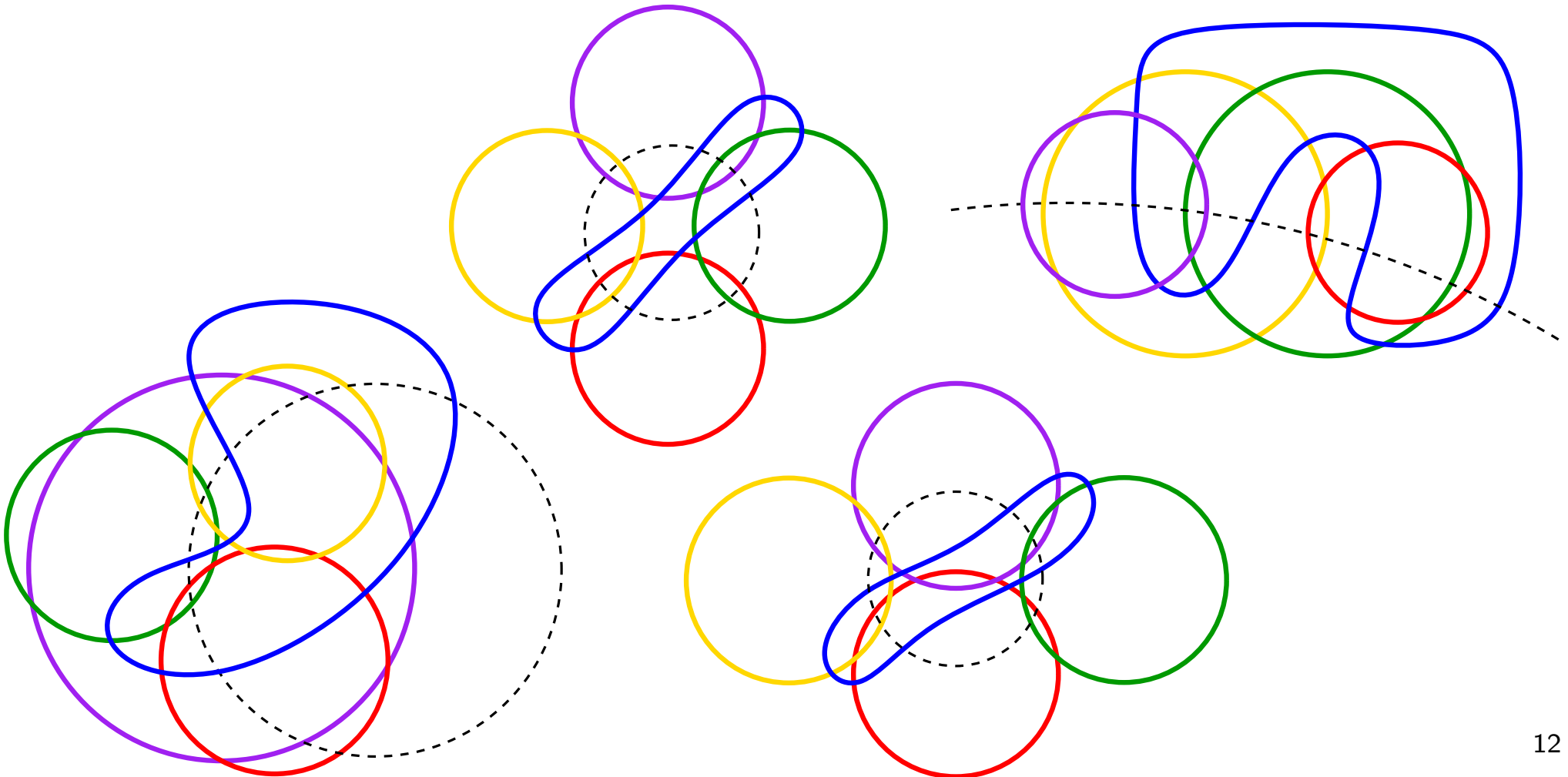
Circleability Results

Theorem. There are exactly 4 non-circleable $n = 5$ arrangements (984 classes).

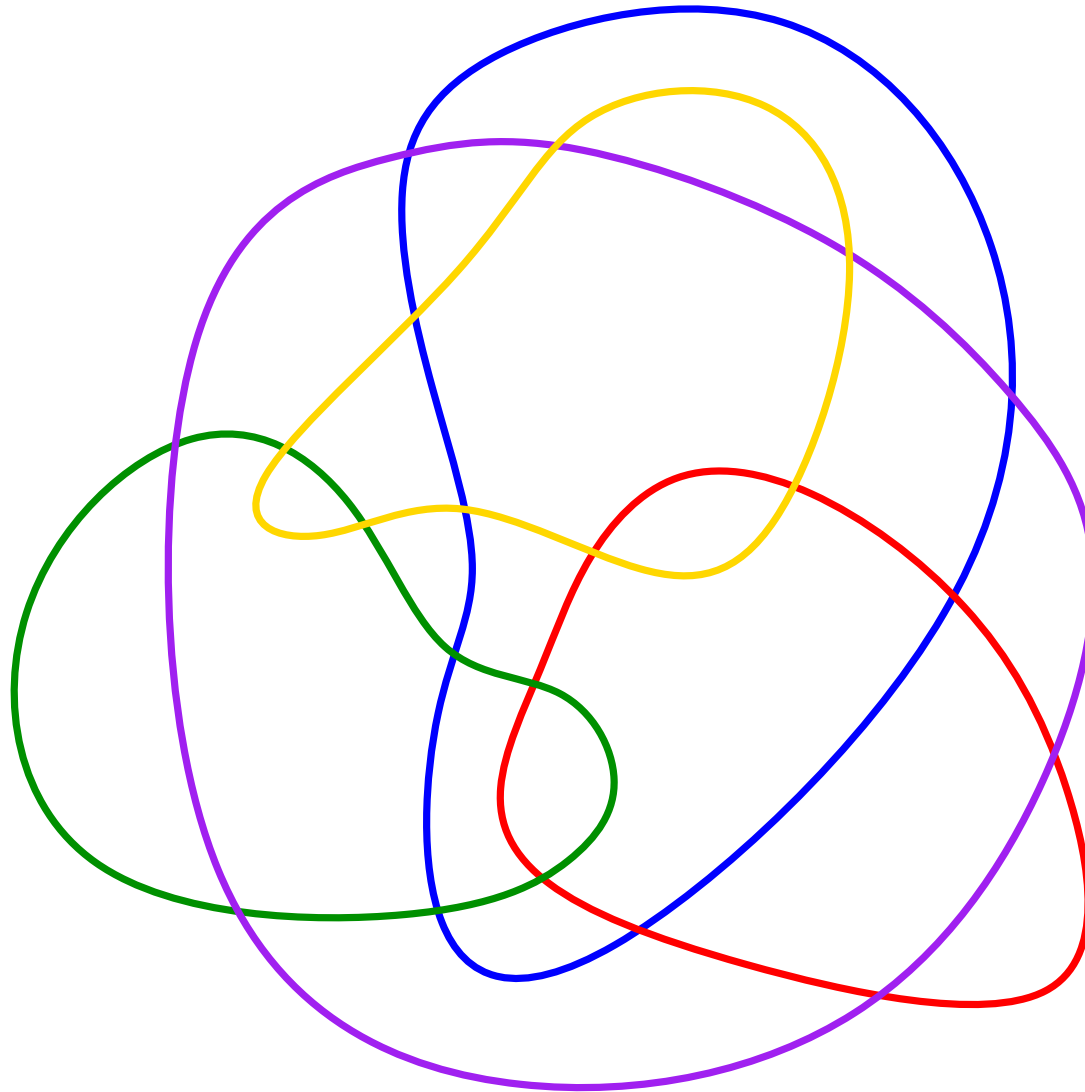


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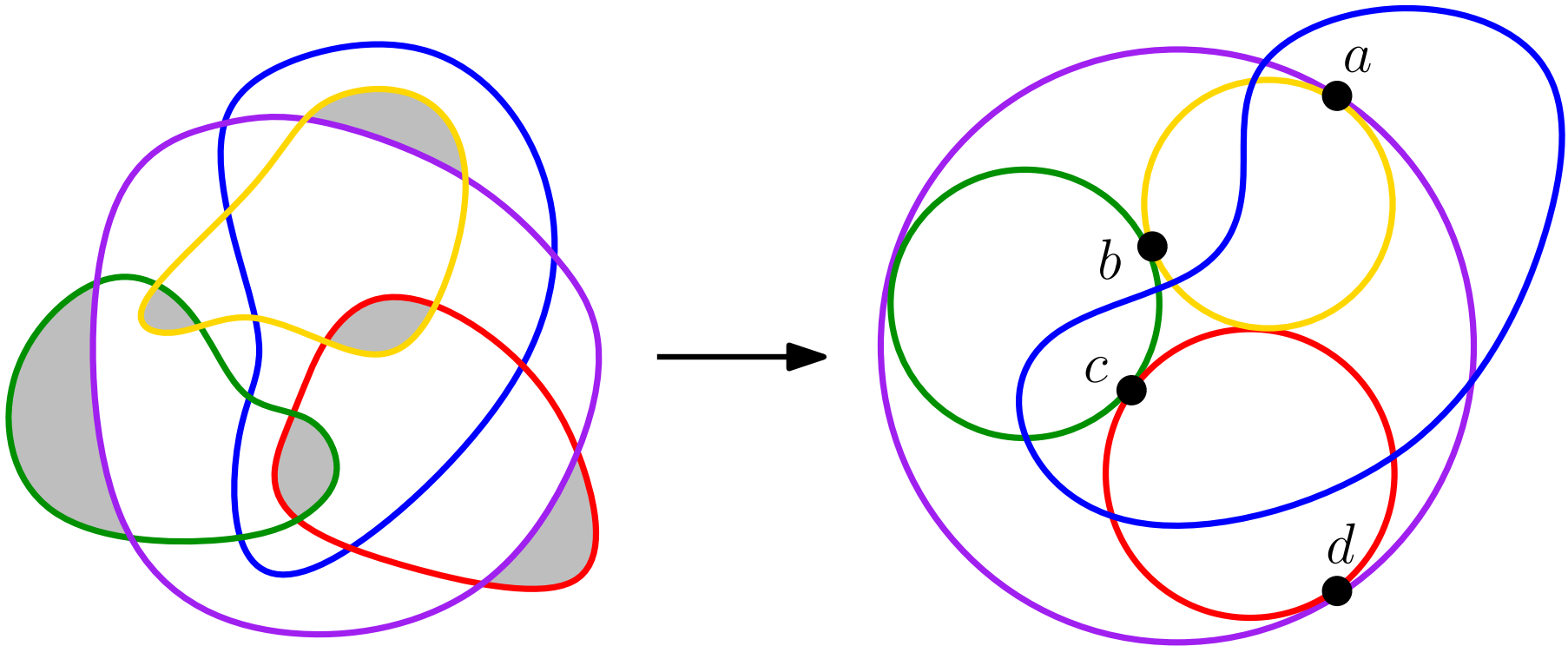


Non-Circleability of \mathcal{N}_5^1



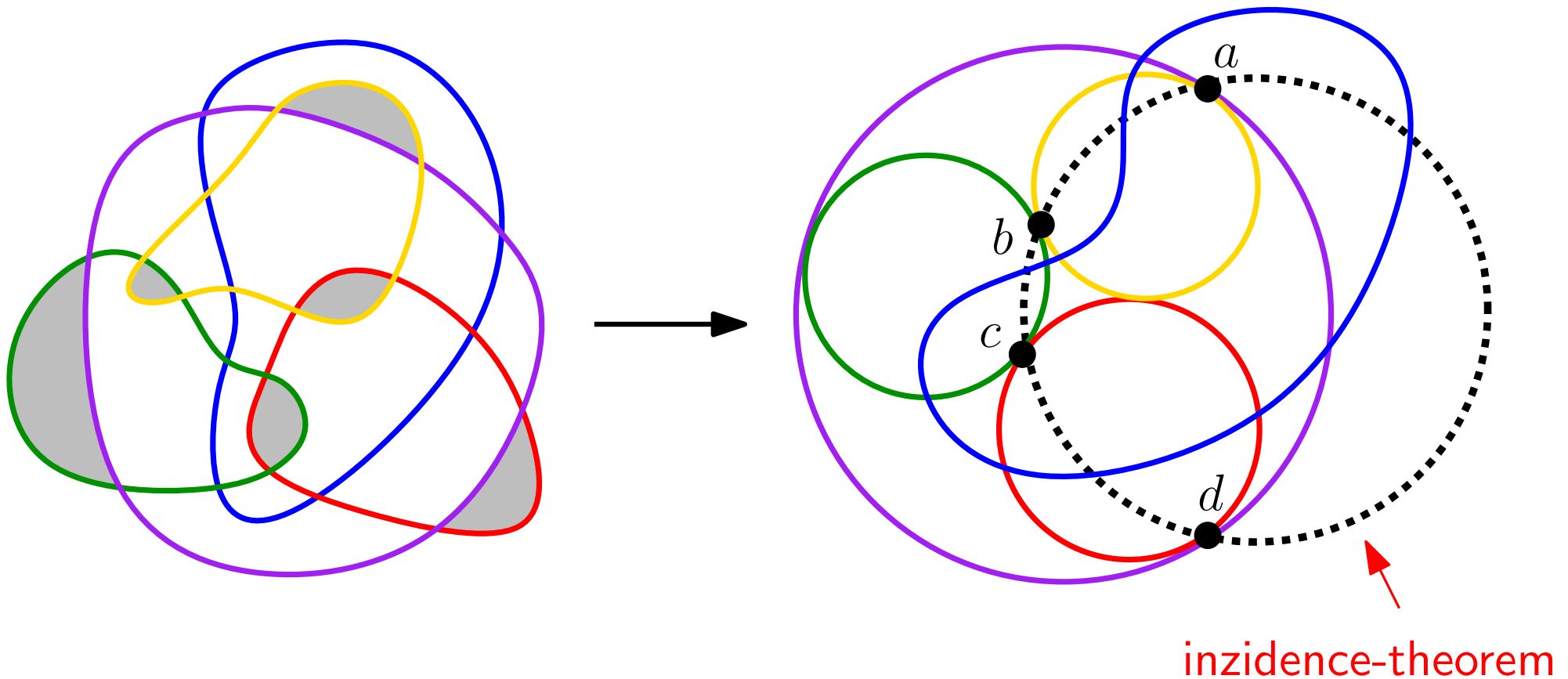
Non-Circleability of \mathcal{N}_5^1

- assume there is a circle representation of \mathcal{N}_5^1
- shrink the yellow, green, and red circle
- cyclic order is preserved (also for blue)



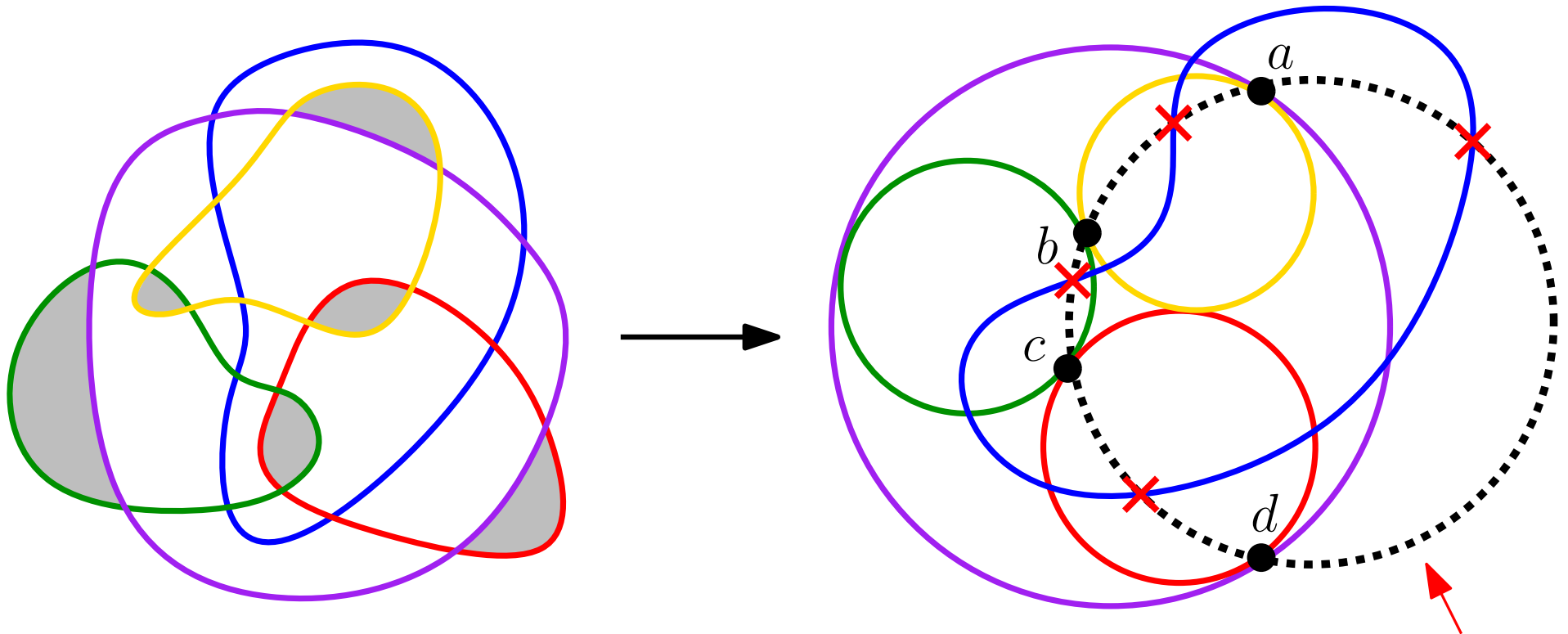
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- cyclic order is preserved (also for blue)

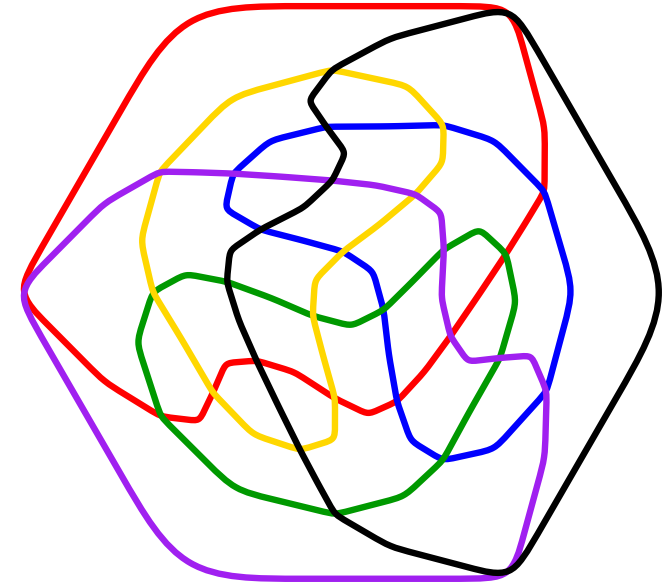
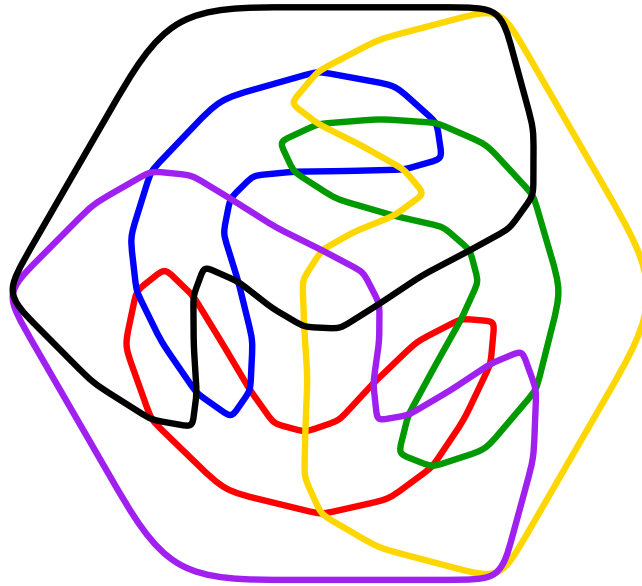
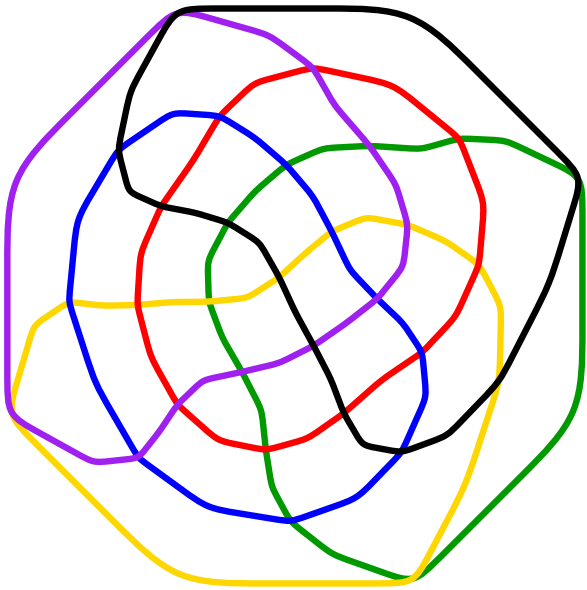


- contradiction: 4 crossings

incidence-theorem

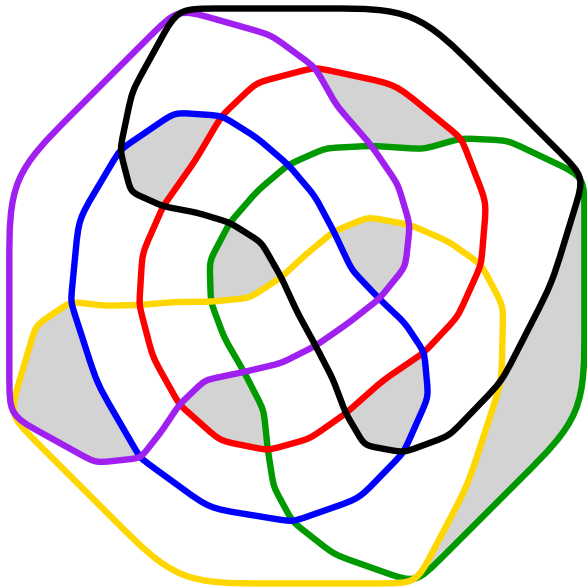
Circleability Results

Theorem. There are exactly 3 non-circleable digon-free intersecting $n = 6$ arrangements (2131 classes).



Circleability Results

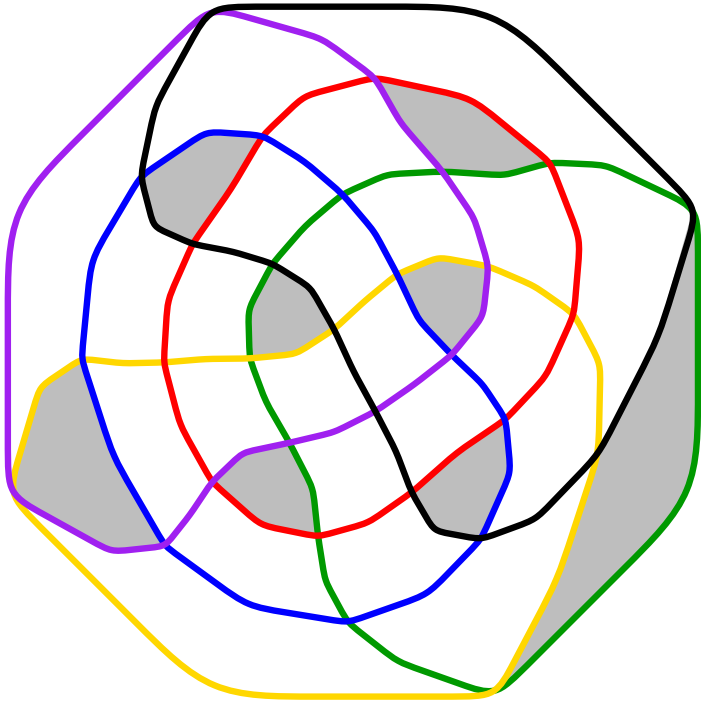
Theorem. There are exactly 3 non-circleable digon-free intersecting $n = 6$ arrangements (2131 classes).



\mathcal{N}_6^Δ is unique digon-free intersecting with 8 triangular cells

Grünbaum Conjecture: $p_3 \geq 2n - 4$

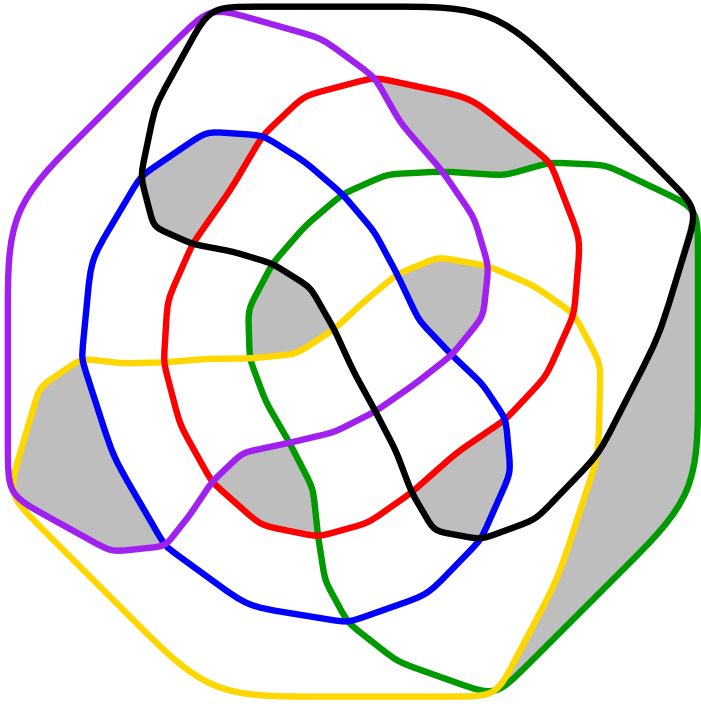
Non-Circleability Proof of \mathcal{N}_6^Δ



Proof.

based on sweeping argument in 3-D

Non-Circleability Proof of \mathcal{N}_6^Δ

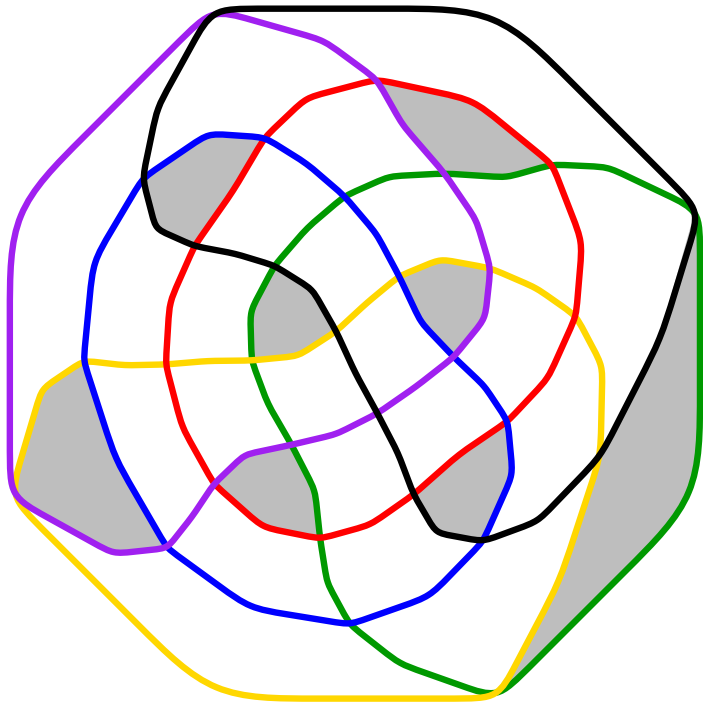


Proof.

C_1, \dots, C_6 ... circles (on S^2)

E_1, \dots, E_6 ... planes (in \mathbb{R}^3)

Non-Circleability Proof of \mathcal{N}_6^Δ



Proof.

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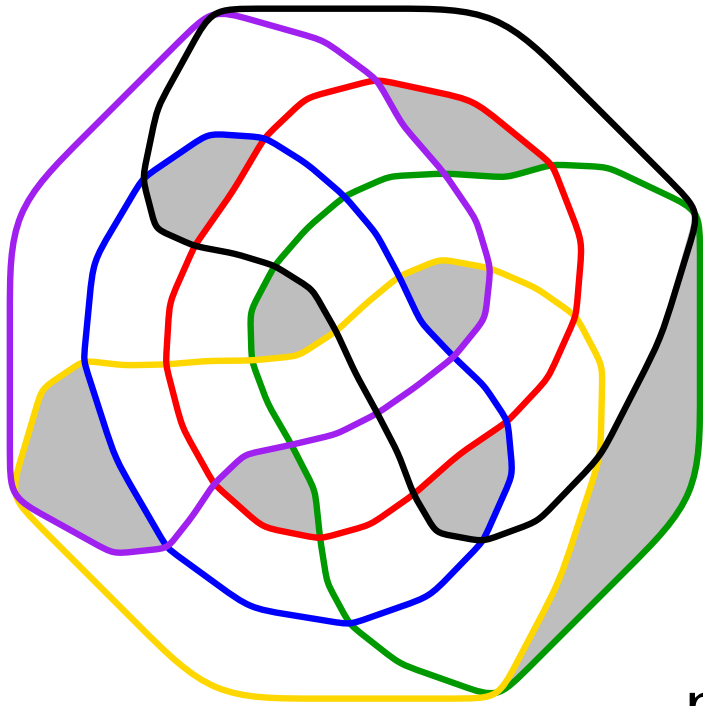
E_1, \dots, E_6 ... planes (in \mathbb{R}^3)

move planes away from the origin



E_i moves to $t \cdot E_i$ as $t \rightarrow \infty$

Non-Circleability Proof of \mathcal{N}_6^Δ



Proof.

C_1, \dots, C_6 ... circles (on S^2)

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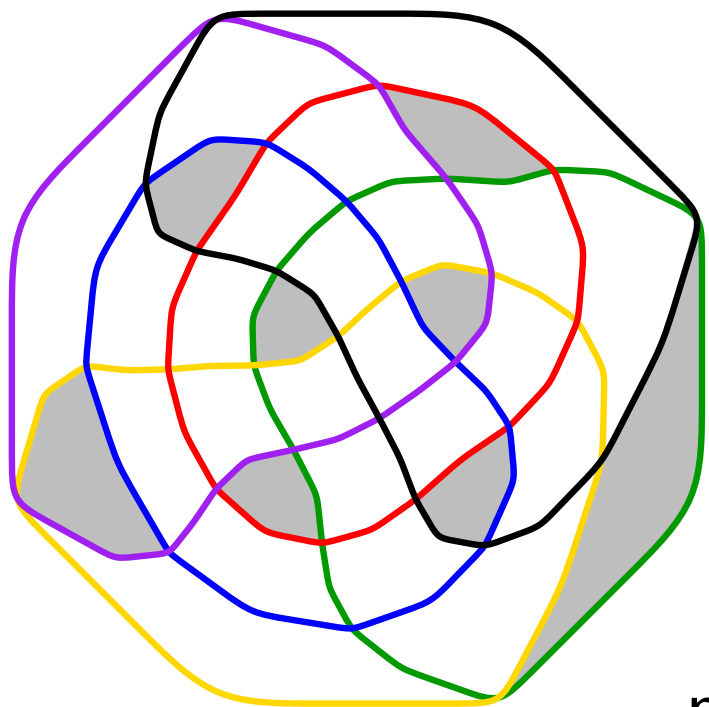
move planes away from the origin

no great-circle arr. \Rightarrow events occur



not all planes contain the origin

Non-Circleability Proof of \mathcal{N}_6^Δ



Proof.

C_1, \dots, C_6 ... circles (on S^2)

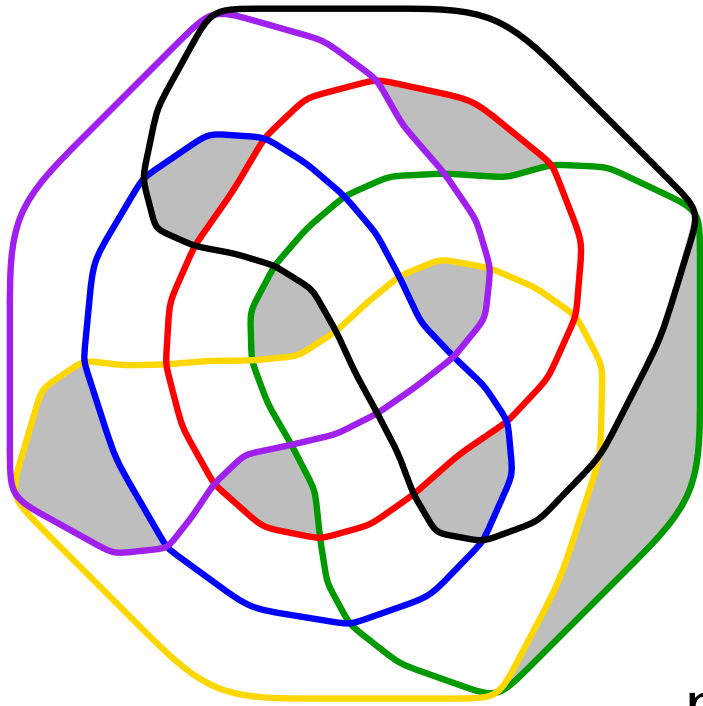
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Non-Circleability Proof of \mathcal{N}_6^Δ



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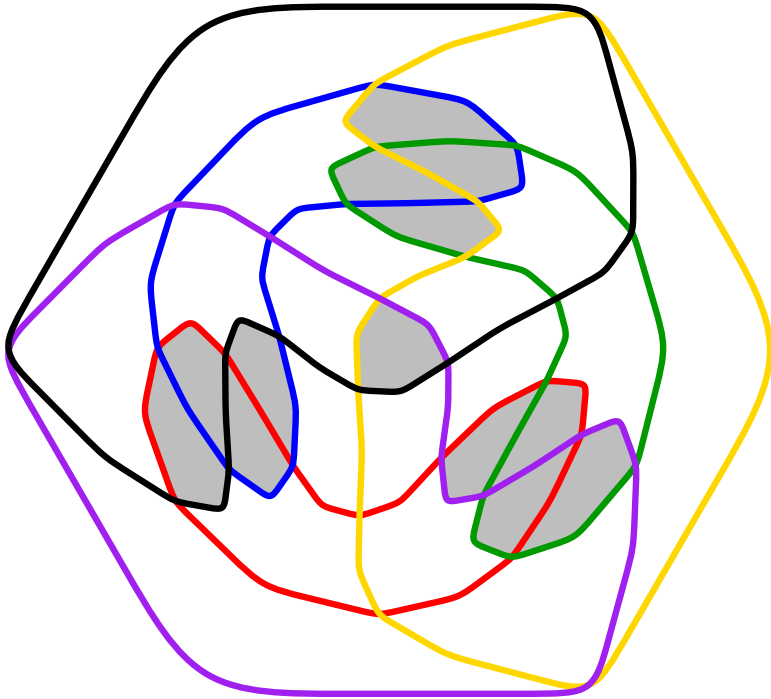
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but triangle flip not possible because all triangles in NonKrupp. Contradiction.

□

Non-Circularizability Proof of \mathcal{N}_6^2

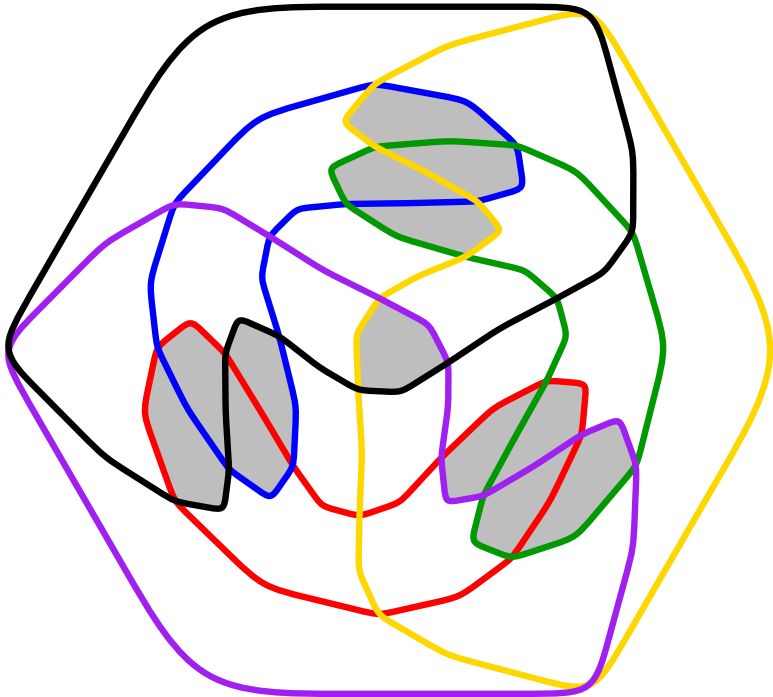


Proof. (similar)

C_1, \dots, C_6 ... circles

E_1, \dots, E_6 ... planes

Non-Circularizability Proof of \mathcal{N}_6^2



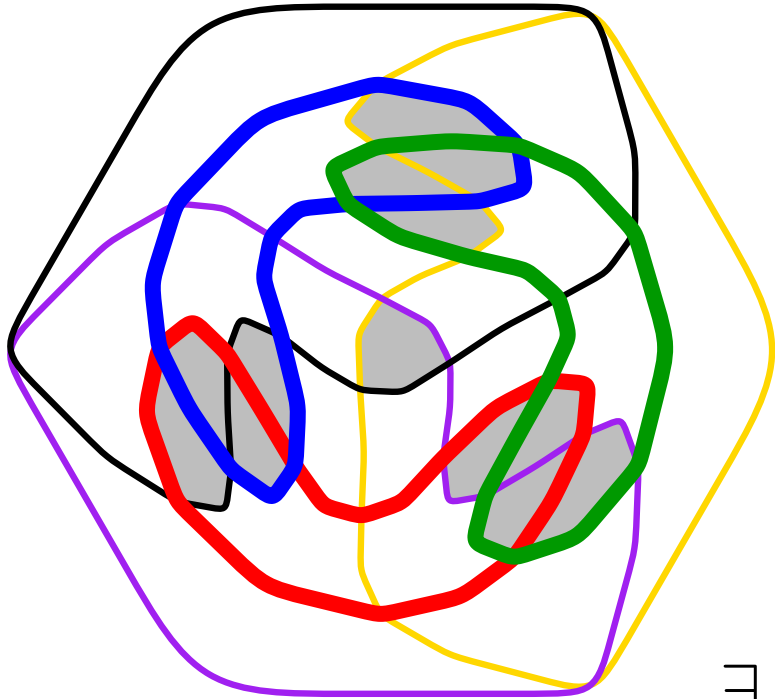
Proof. (similar)

C_1, \dots, C_6 ... circles

E_1, \dots, E_6 ... planes

move planes towards the origin

Non-Circularizability Proof of \mathcal{N}_6^2



Proof. (similar)

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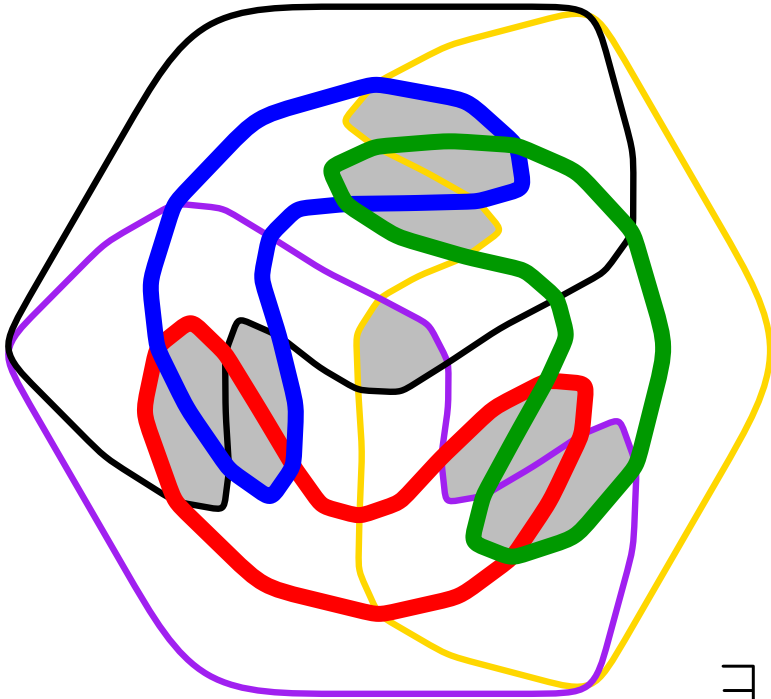
move planes towards the origin

\exists NonKrupp subarr. \Rightarrow events occur



\exists point of intersection
outside the unit-sphere
(will move inside)

Non-Circularizability Proof of \mathcal{N}_6^2



Proof. (similar)

C_1, \dots, C_6 ... circles

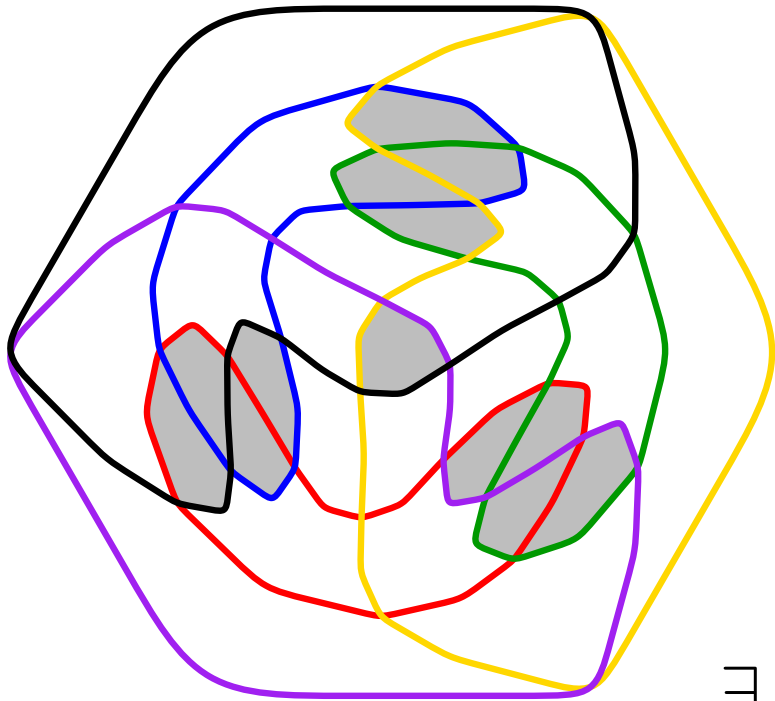
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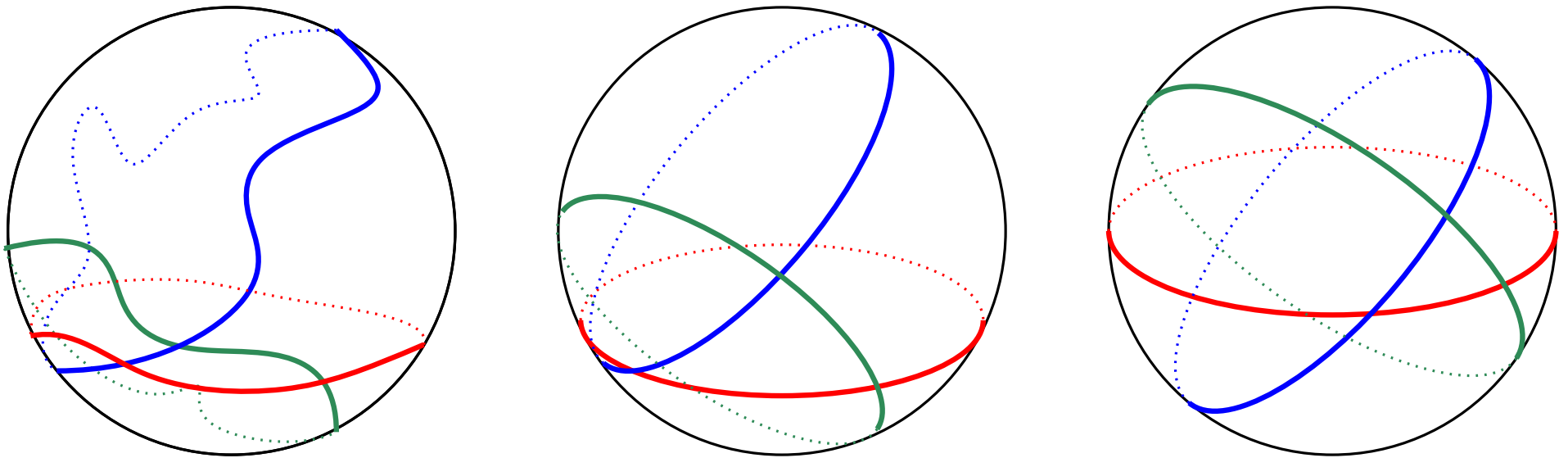
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□

Great-(Pseudo)Circles

Great-Circle Theorem:

An arrangement of great-pcs. is circleable (i.e., has a circle representation) if and only if it has a great-circle repr.



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- move planes towards the origin
- all triples Krupp
 - \Rightarrow all intersections remain inside
 - \Rightarrow no events

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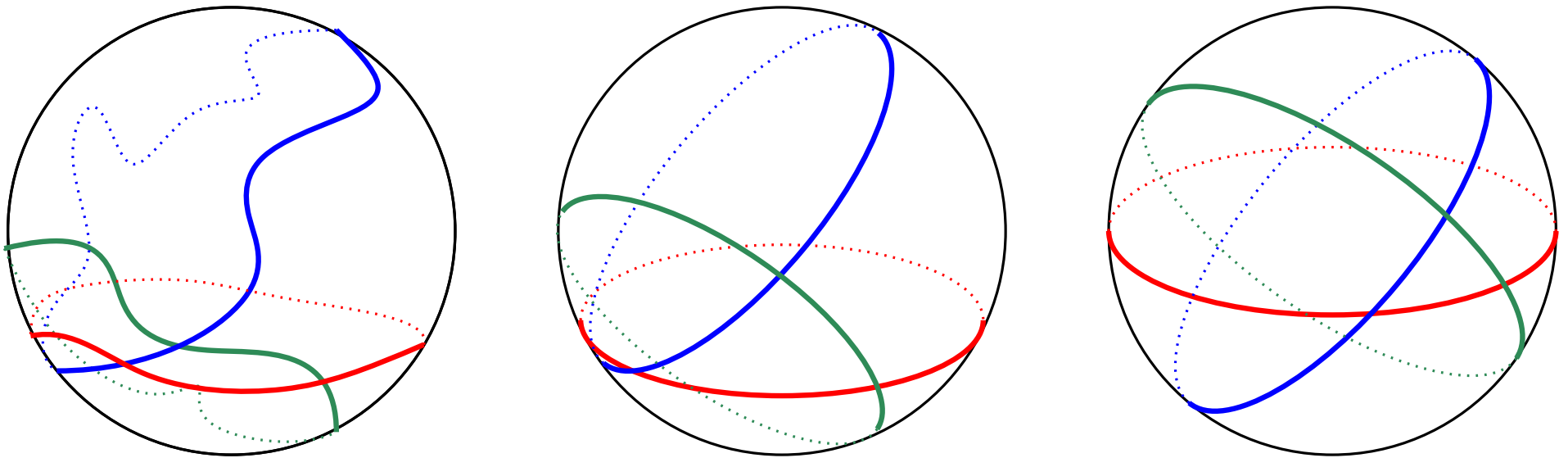
- C_1, \dots, C_n ... circles E_1, \dots, E_n ... planes
- move planes towards the origin
- all triples Krupp
⇒ all intersections remain inside
⇒ no events
- we obtain a great-circle arrangement

□

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 \exists corresponding non-circleable arr. of pseudocircles

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- deciding circleability is $\exists\mathbb{R}$ -complete

$$(NP \subseteq \exists\mathbb{R} \subseteq PSPACE)$$

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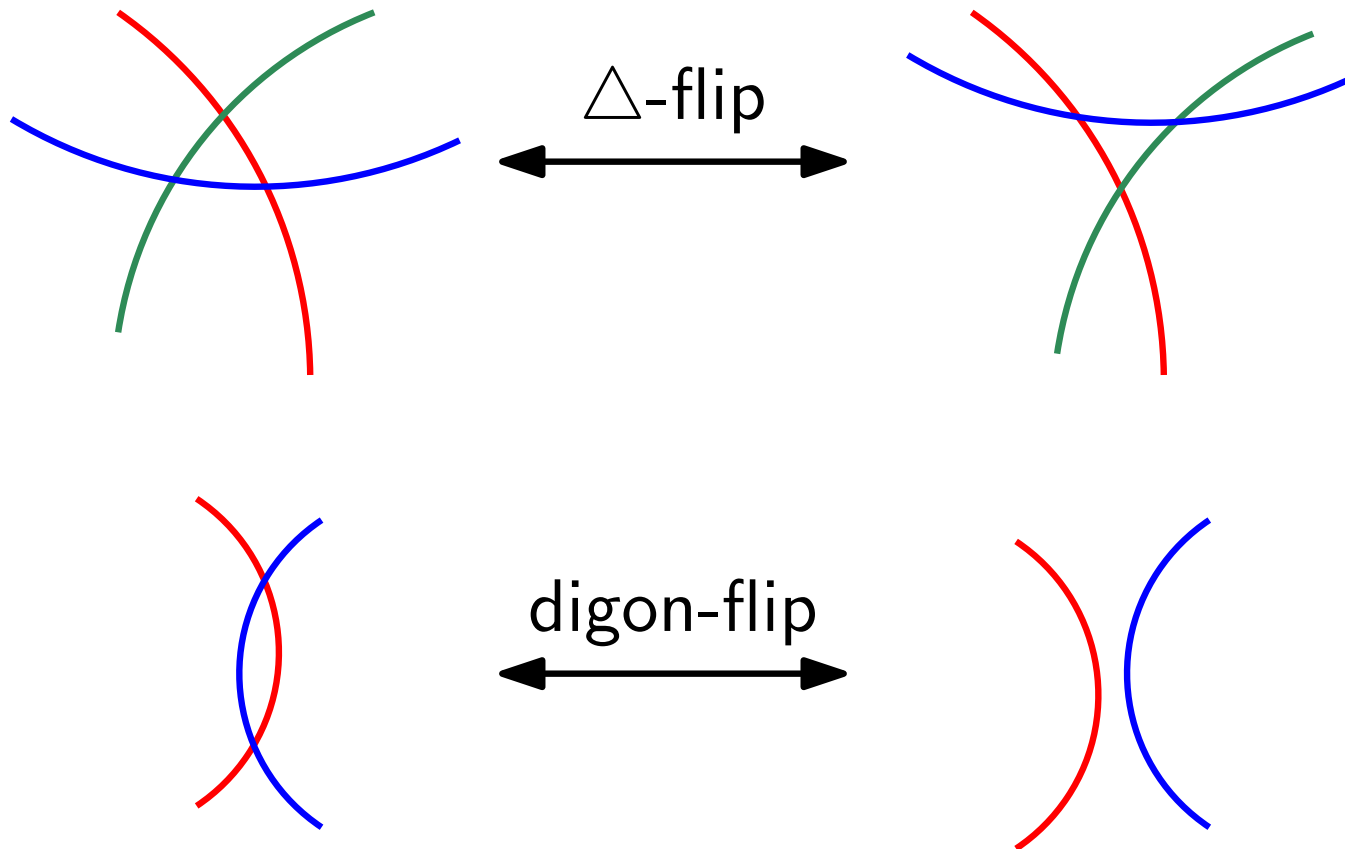
- \forall non-stretchable arr. of pseudolines
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- deciding circleability is $\exists\mathbb{R}$ -complete
- \exists infinite families of minimal non-circ. arrangements
- \exists arr with a disconnected realization space
- ...

Computational Part

- find circle representations heuristically
- hard instances by hand

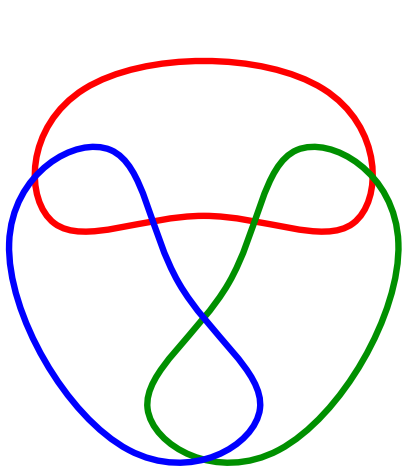
Computational Part

- enumeration via recursive search on flip graph

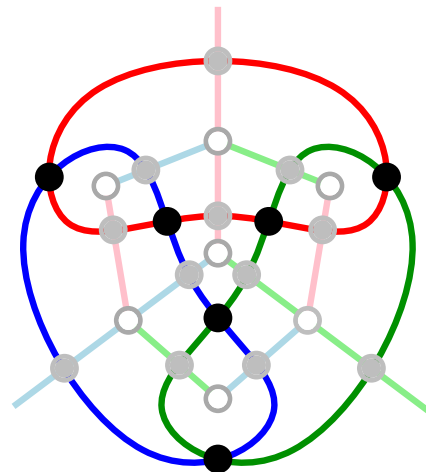


Computational Part

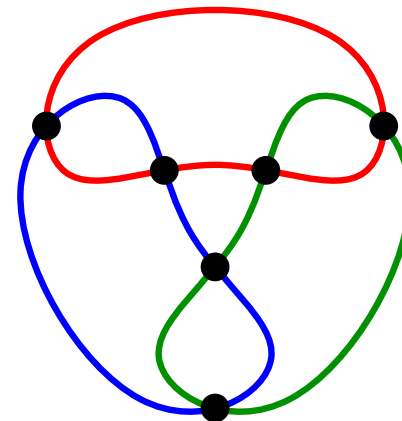
- connected arrangements encoded via primal-dual graph
- intersecting arrangements encoded via dual graph



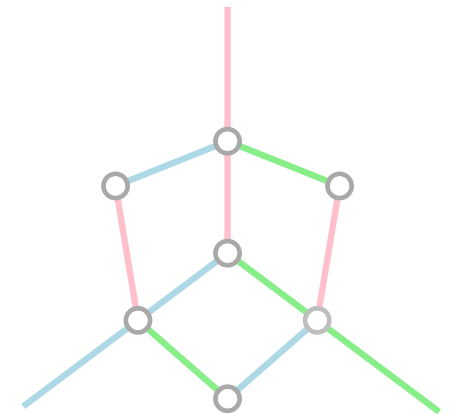
arrangement



primal-dual gr.



primal graph



dual graph

Part II: Triangles in Arrangements

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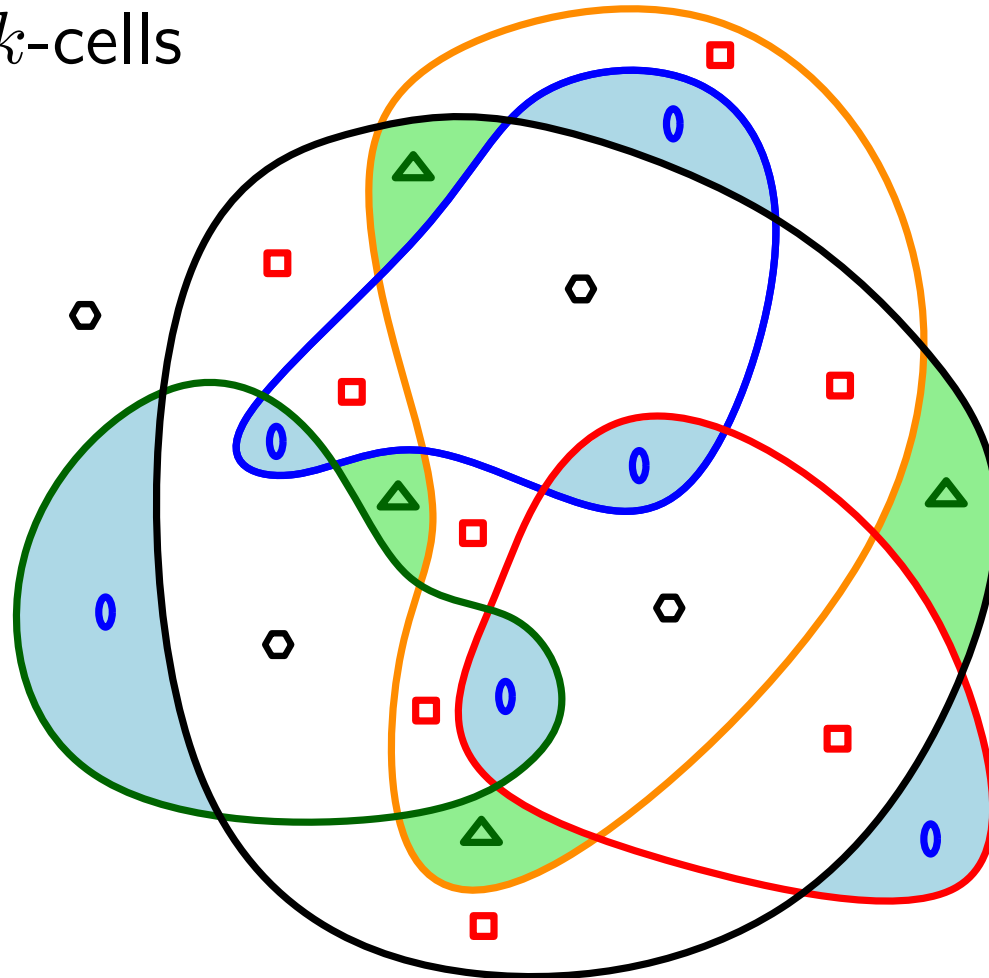
assumption throughout part II:

intersecting ... any 2 pseudocircles cross twice

Cells in Arrangements

digon, triangle, quadrangle, pentagon, . . . , k -cell

p_k . . . # of k -cells



$$p_2 = 6$$

$$p_3 = 4$$

$$p_4 = 8$$

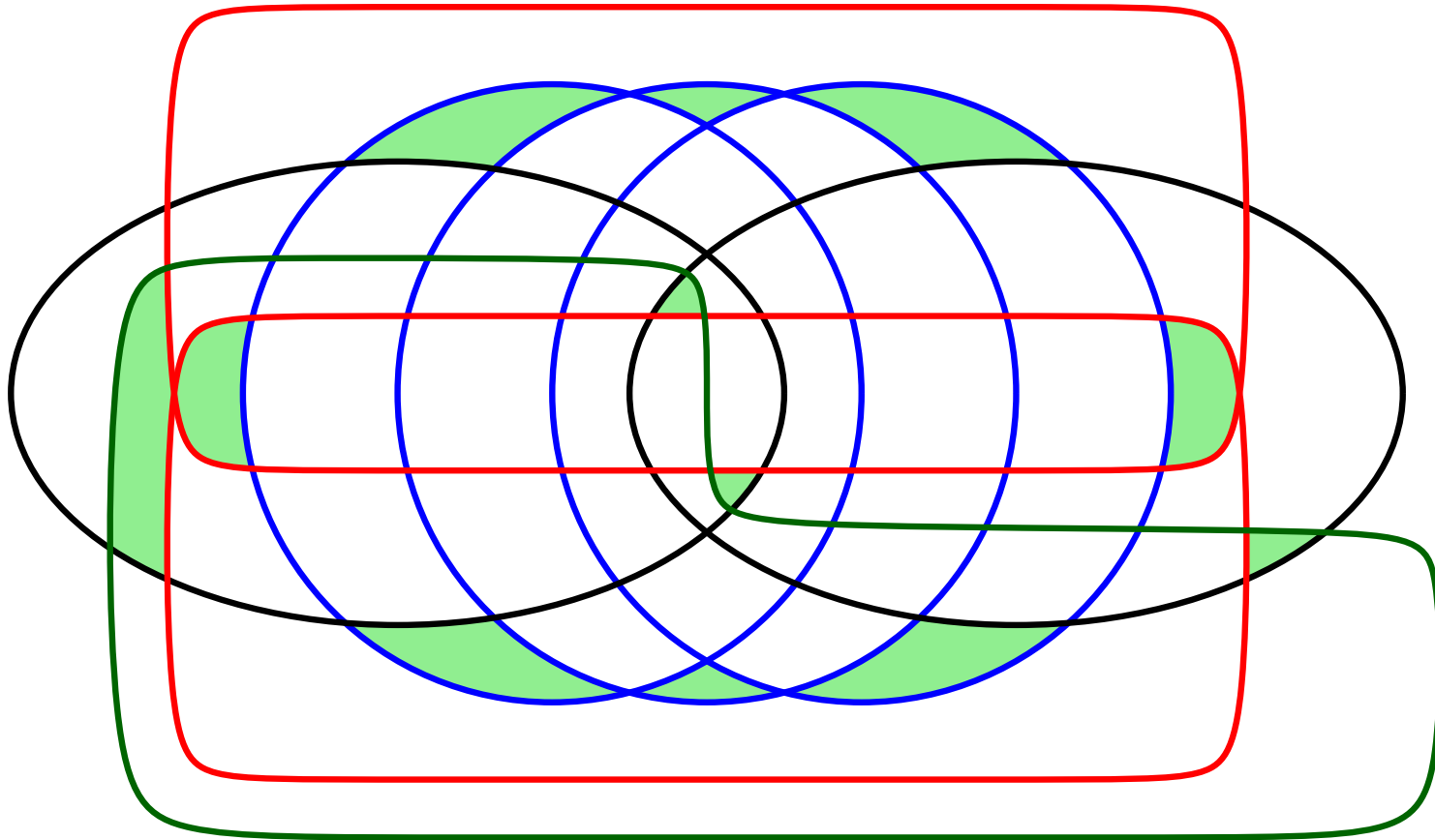
$$p_5 = 0$$

$$p_6 = 4$$

Triangles in **Digon-free** Arrangements

Grünbaum's Conjecture ('72):

- $p_3 \geq 2n - 4$?



Triangles in **Digon-free** Arrangements

Grünbaum's Conjecture ('72):

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Known:

- $p_3 \geq 4n/3$ [Hershberger and Snoeyink '91]
- $p_3 \geq 4n/3$ for **non-simple** arrangements,
tight for infinite family [Felsner and Kriegel '98]

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- $p_3 \geq 4n/3$ for **non-simple** arrangements, tight for infinite family [Felsner and Kriegel '98]

Our Contribution:

- disprove Grünbaum's Conjecture
- $p_3 < 1.45n$
- **New Conjecture:** $4n/3$ is tight

Triangles in Digon-free Arrangements

Theorem. The minimum number of triangles in digon-free arrangements of n pseudocircles is

- (i) 8 for $3 \leq n \leq 6$.
- (ii) $\lceil \frac{4}{3}n \rceil$ for $6 \leq n \leq 14$.
- (iii) $< 1.45n$ for all $n = 11k + 1$ with $k \in \mathbb{N}$.

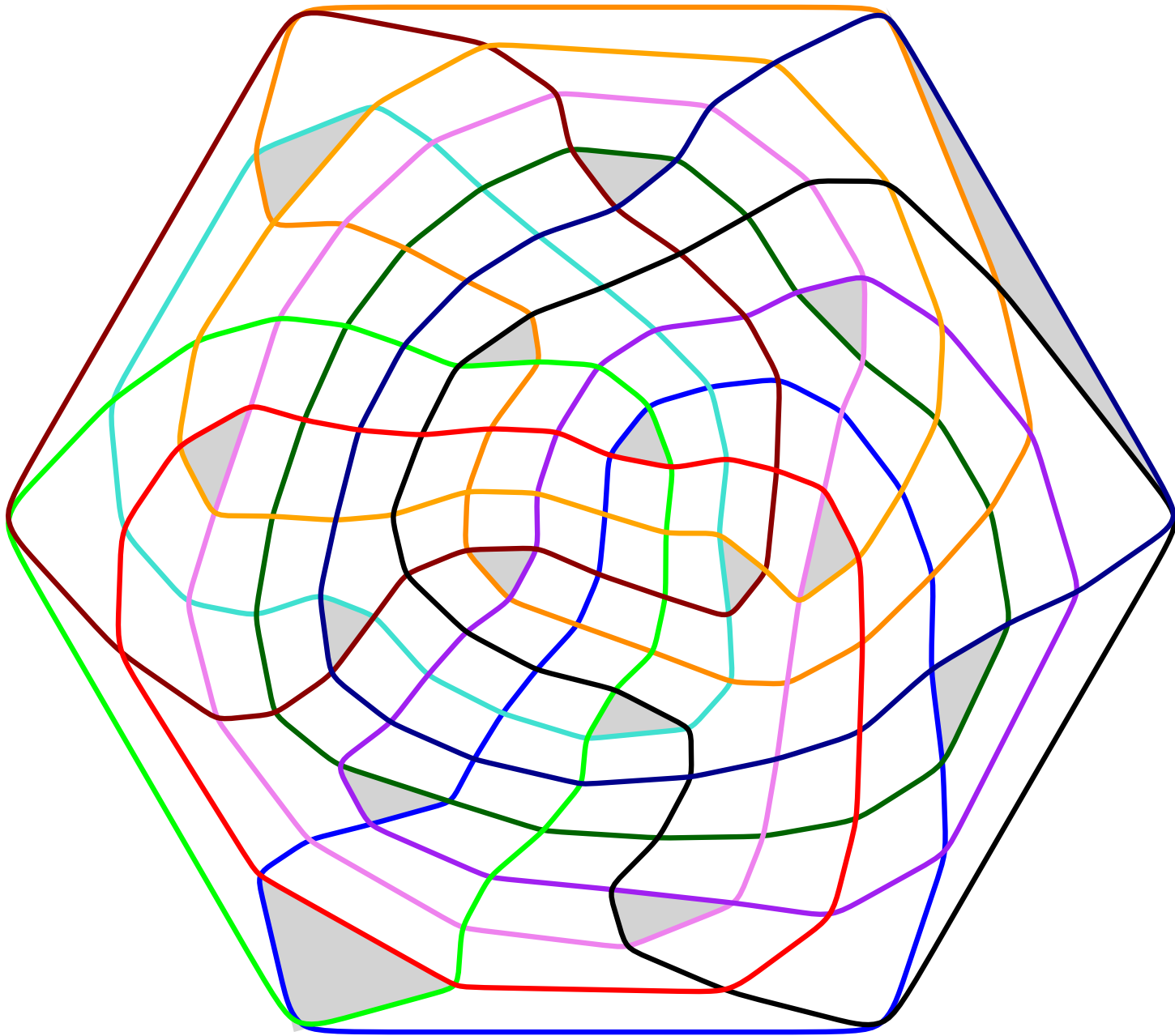


Figure: Arrangement of $n = 12$ pcs with $p_3 = 16$ triangles.

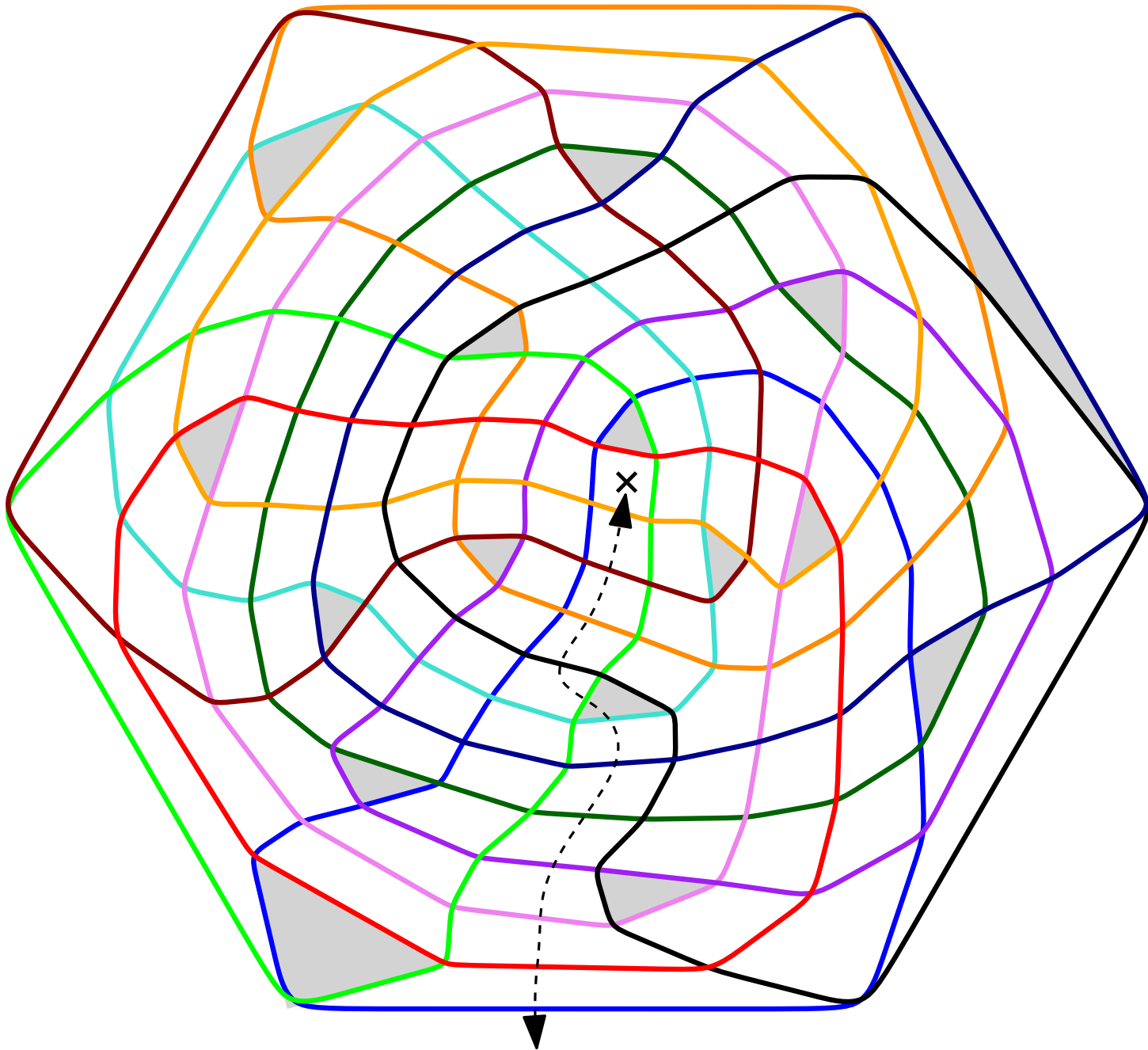
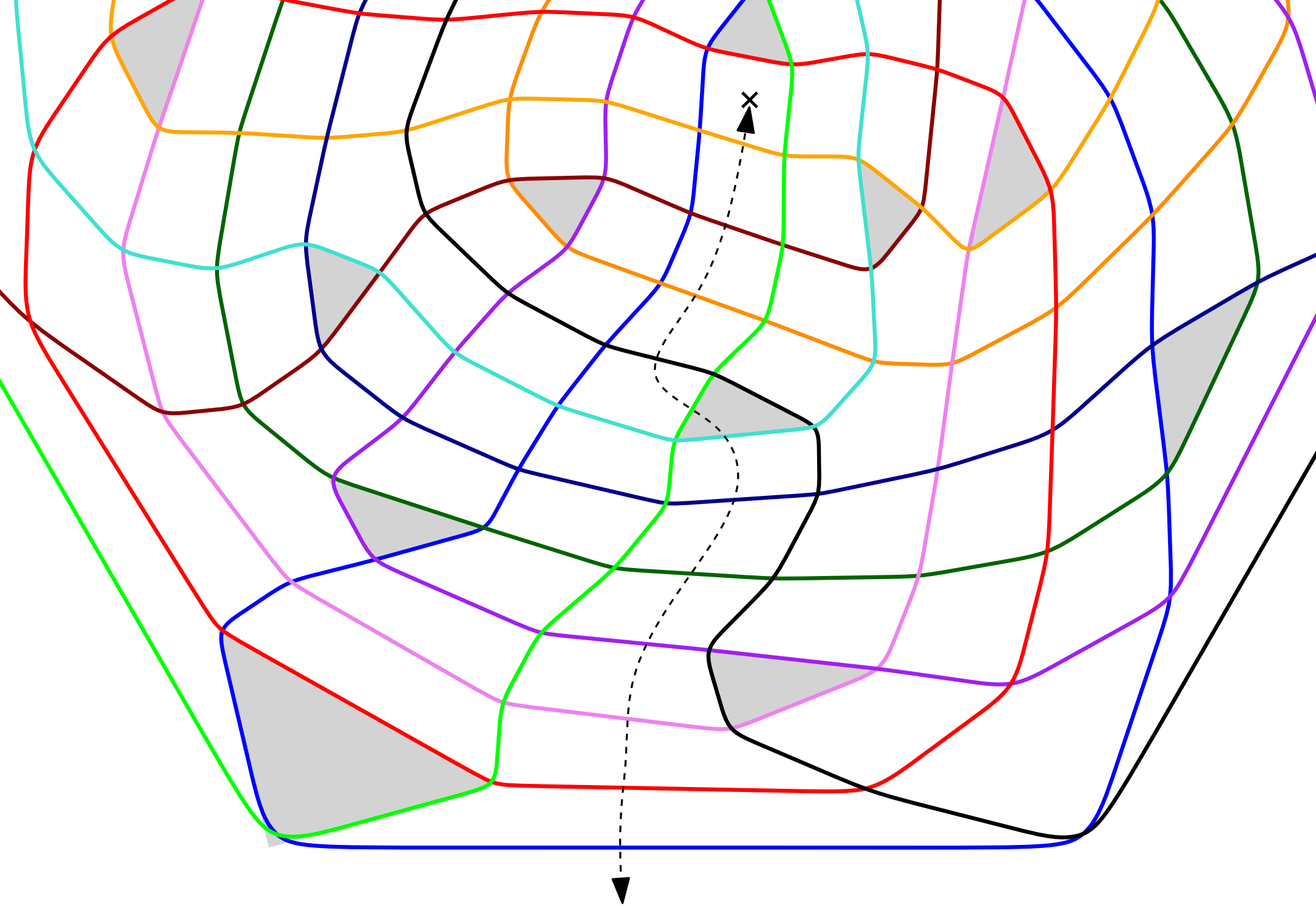
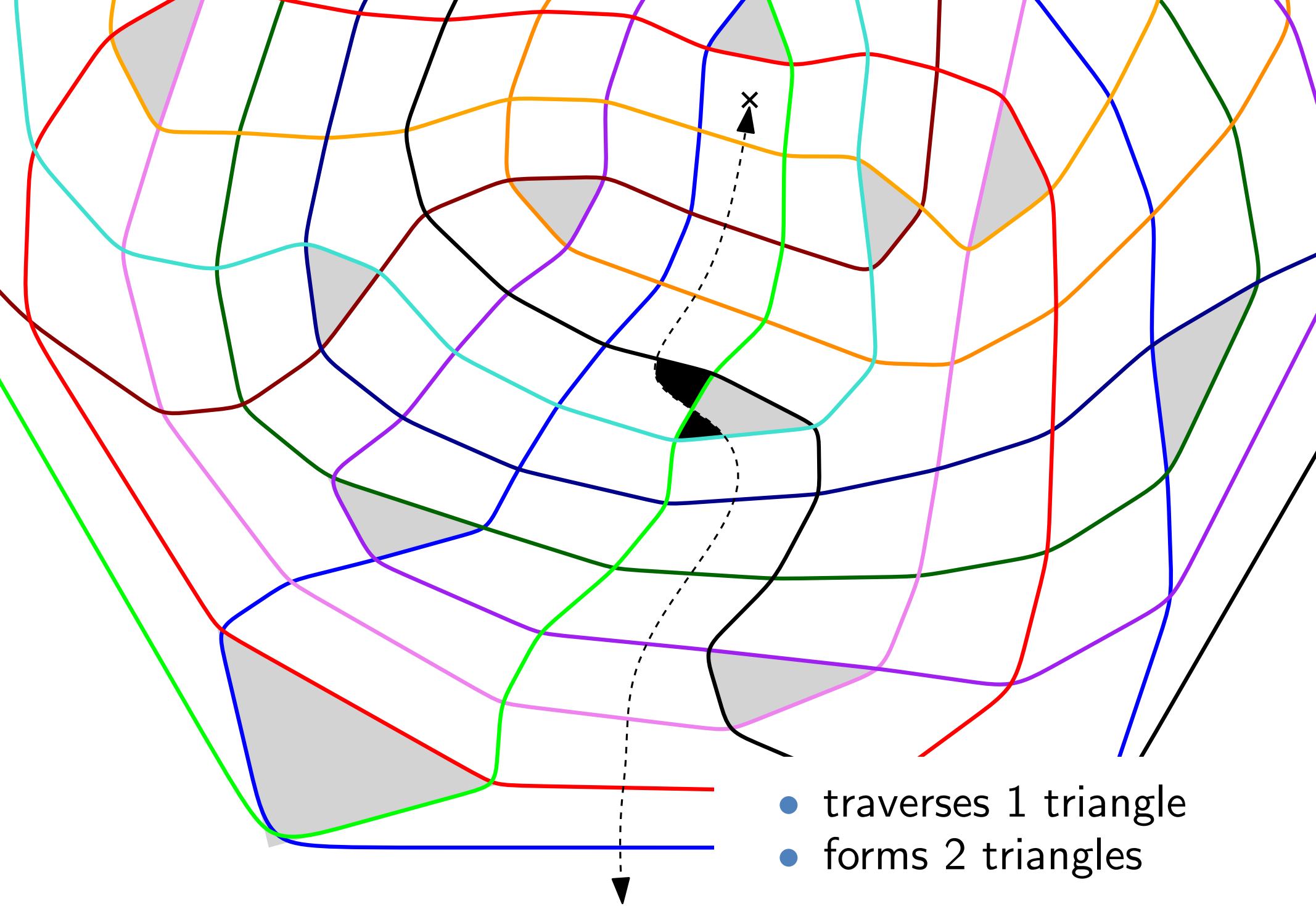
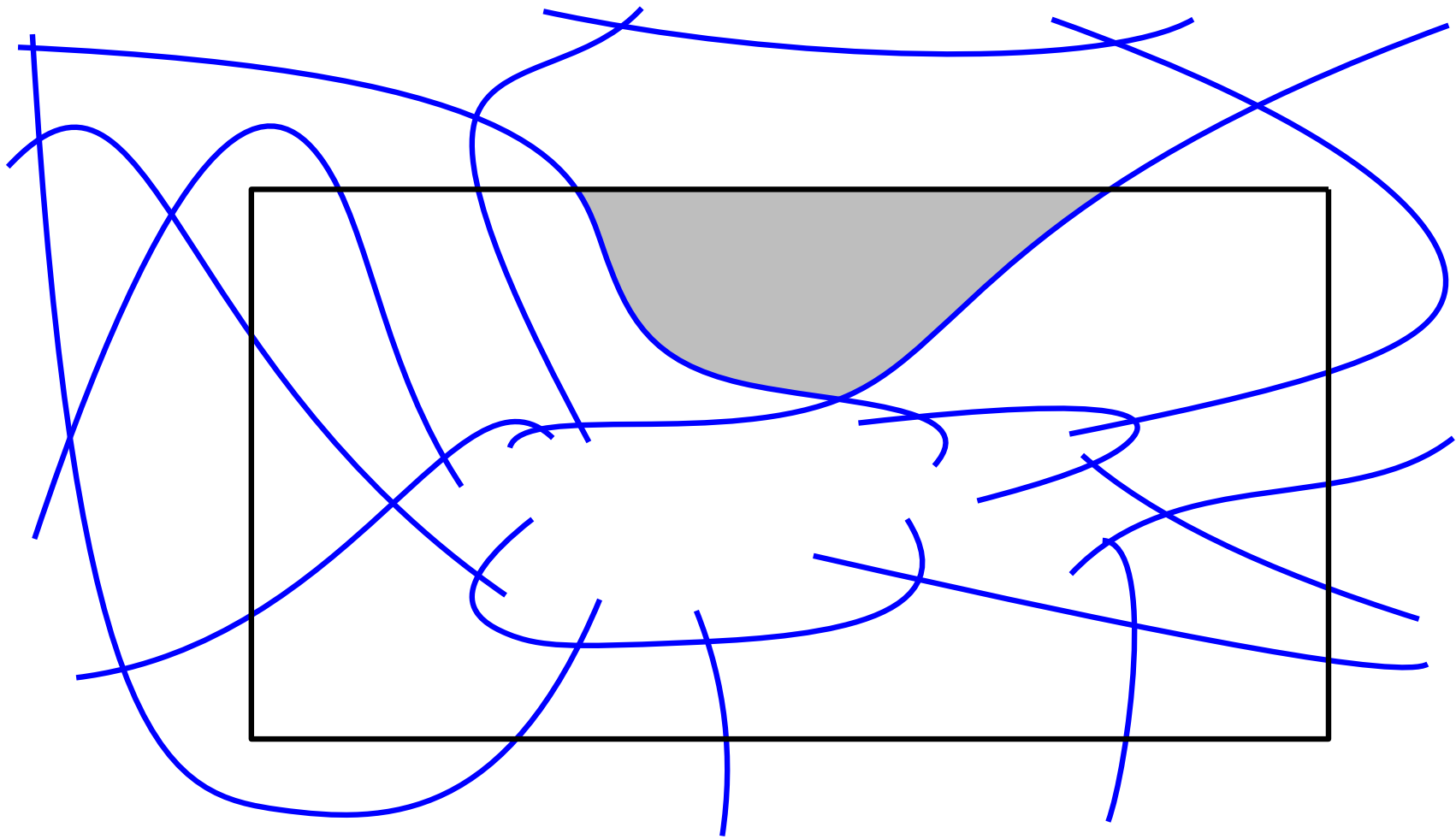


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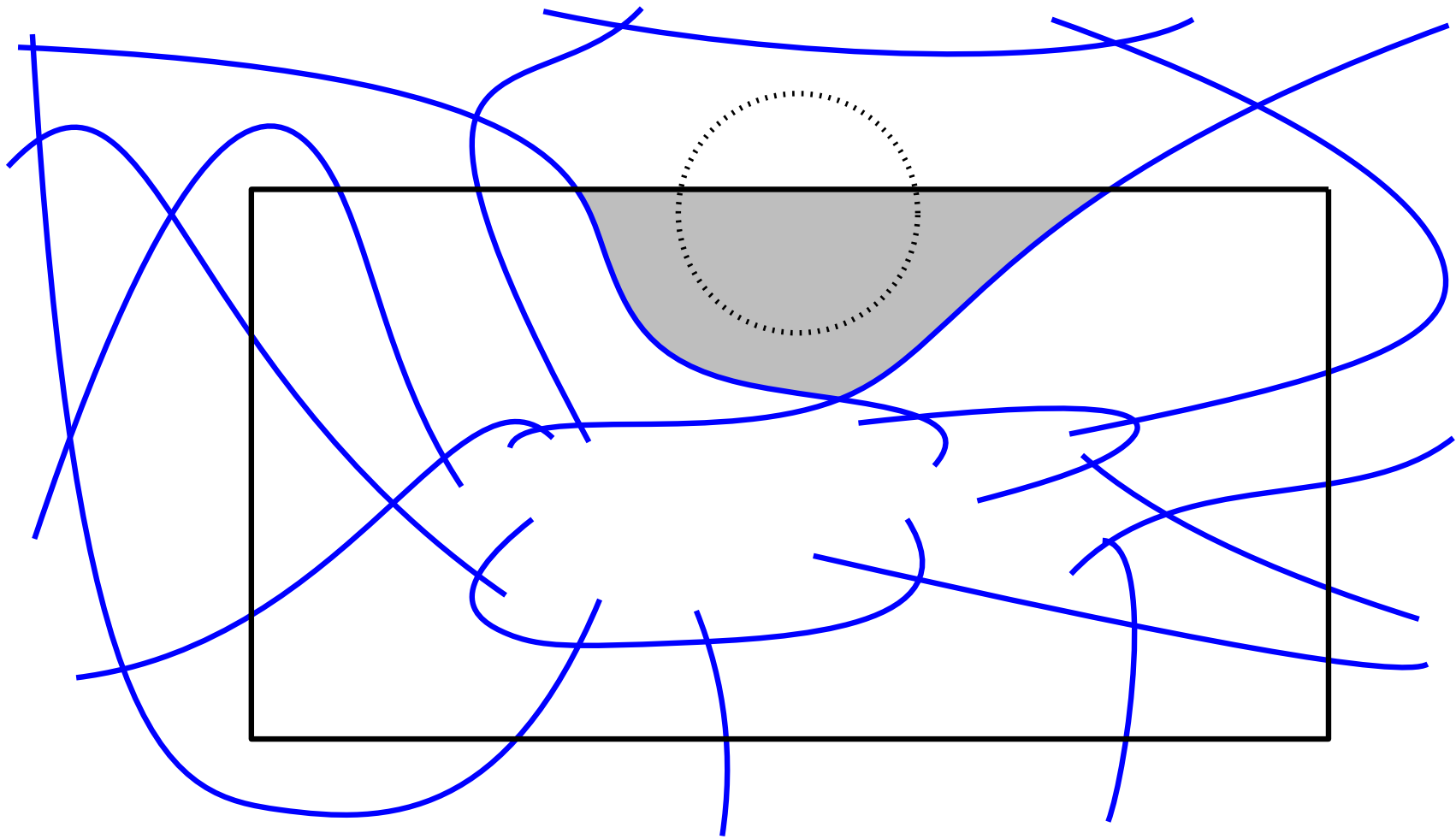




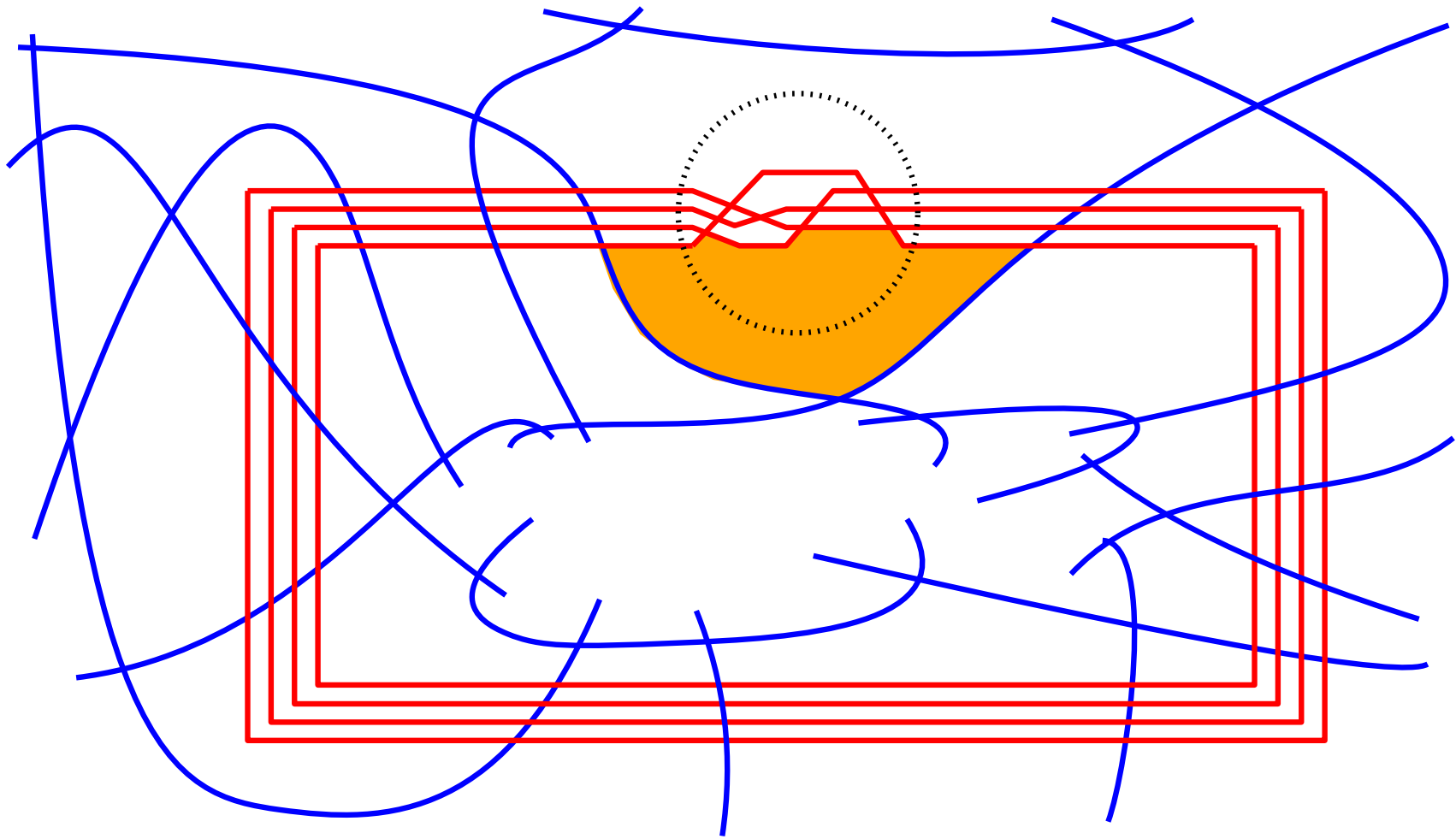
Proof of the Theorem



Proof of the Theorem



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Proof of the Theorem

- start with $\mathcal{C}_1 := \mathcal{A}_{12}$
- merge \mathcal{C}_k and $\mathcal{A}_{12} \longrightarrow \mathcal{C}_{k+1}$
- $n(\mathcal{C}_k) = 11k + 1, p_3(\mathcal{C}_k) = 16k$
- $\frac{16k}{11k+1}$ increases as k increases with limit $\frac{16}{11} = 1.\overline{45}$

Proof of the Theorem

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Triangles in Digon-free Arrangements

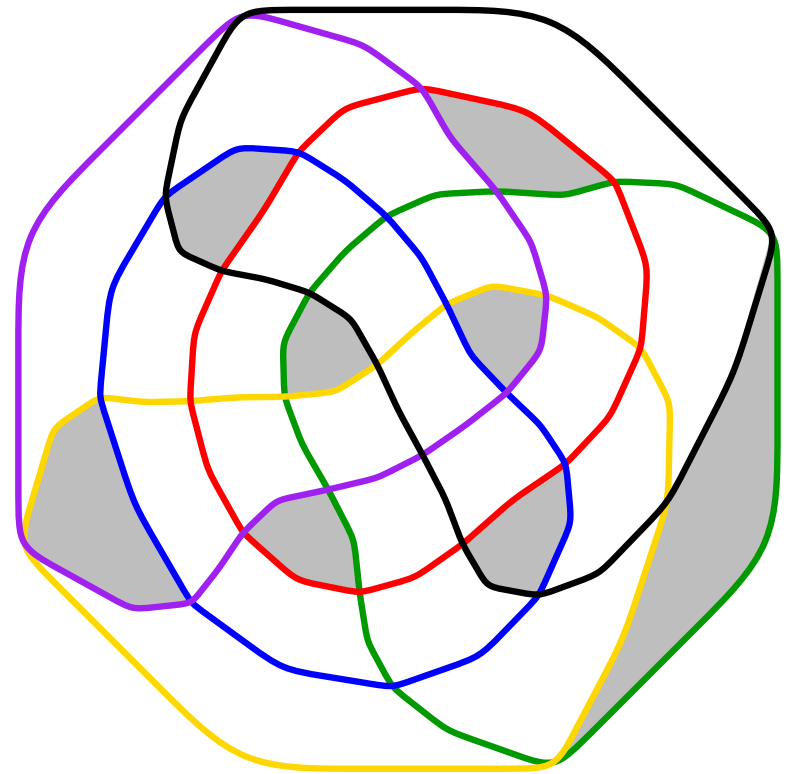
Theorem. The minimum number of triangles in digon-free arrangements of n pseudocircles is

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Conjecture. $\lceil 4n/3 \rceil$ is tight for infinitely many n .

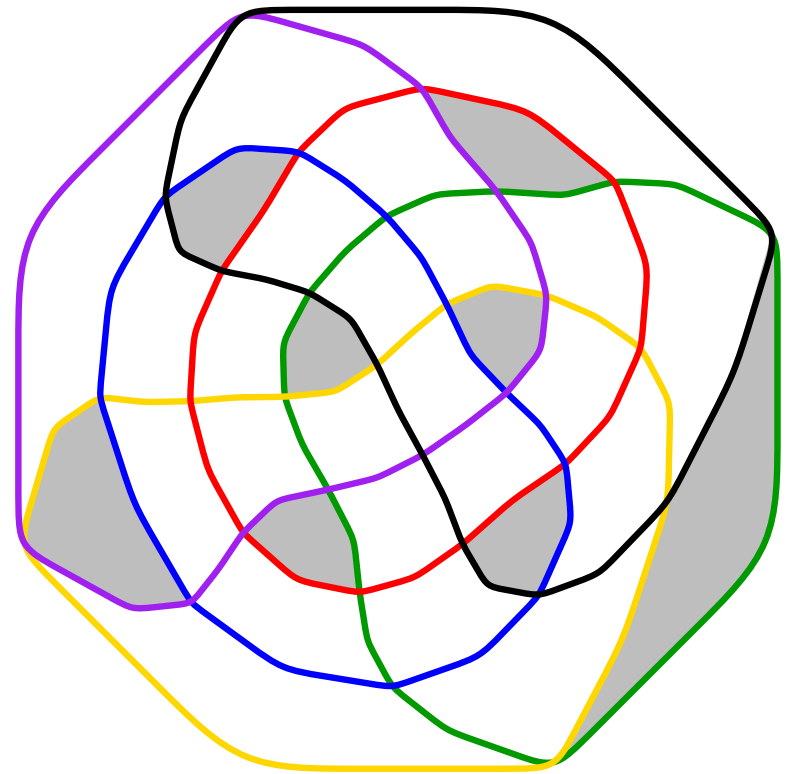
Triangles in Digon-free Arrangements

- \exists unique arrangement \mathcal{N}_6^Δ with $n = 6, p_3 = 8$
- \mathcal{N}_6^Δ appears as a subarrangement of every arr. with $p_3 < 2n - 4$ for $n = 7, 8, 9$
- \mathcal{N}_6^Δ is non-circularizable



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- \mathcal{N}_6^Δ is non-circularizable
- \Rightarrow Grünbaum's Conjecture might still be true for arrangements of **circles**!



Triangles in Arrangements **with Digons**

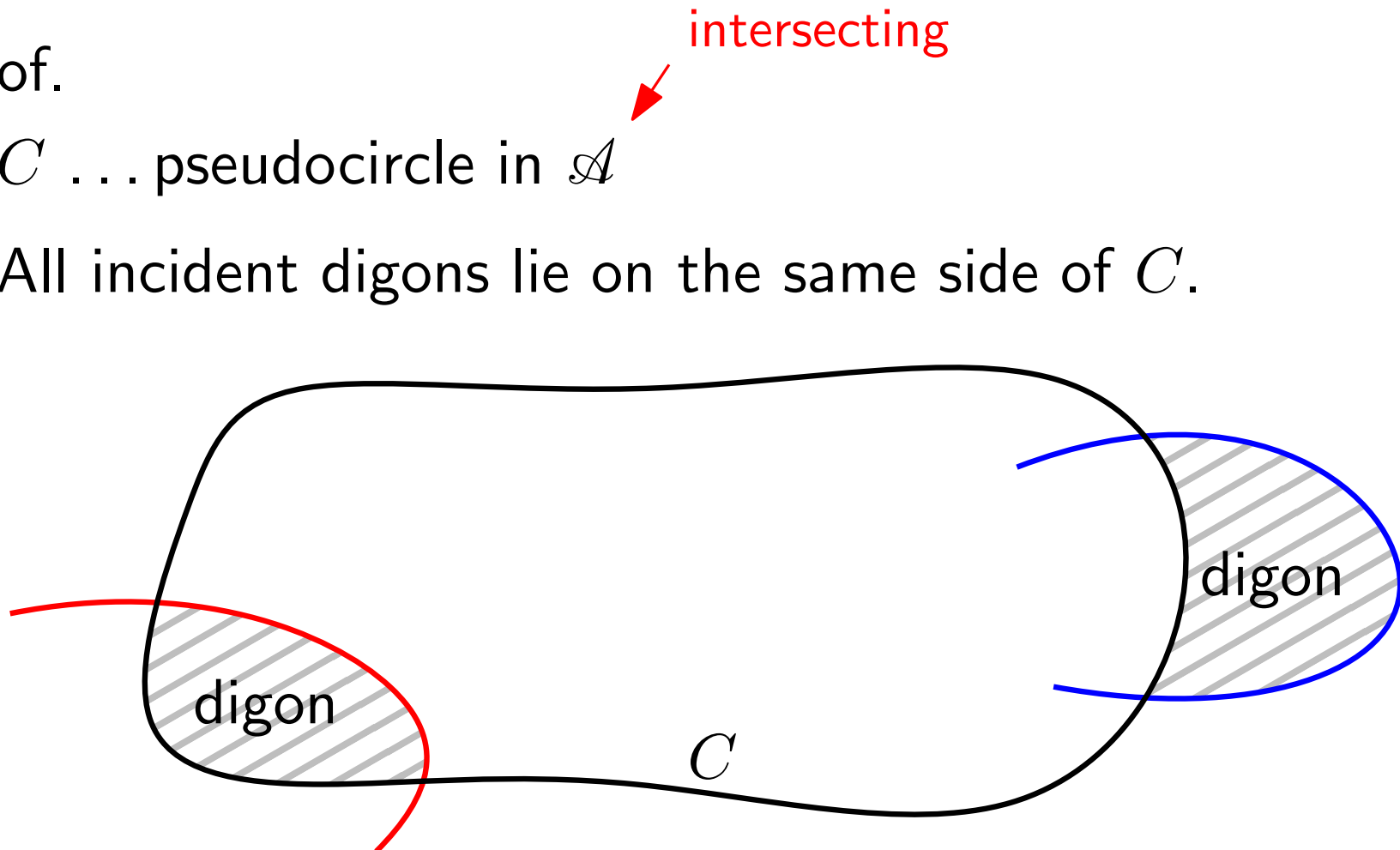
Theorem. $p_3 \geq 2n/3$

Triangles in Arrangements with Digons

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Proof.

- C ... pseudocircle in \mathcal{A}
- All incident digons lie on the same side of C .

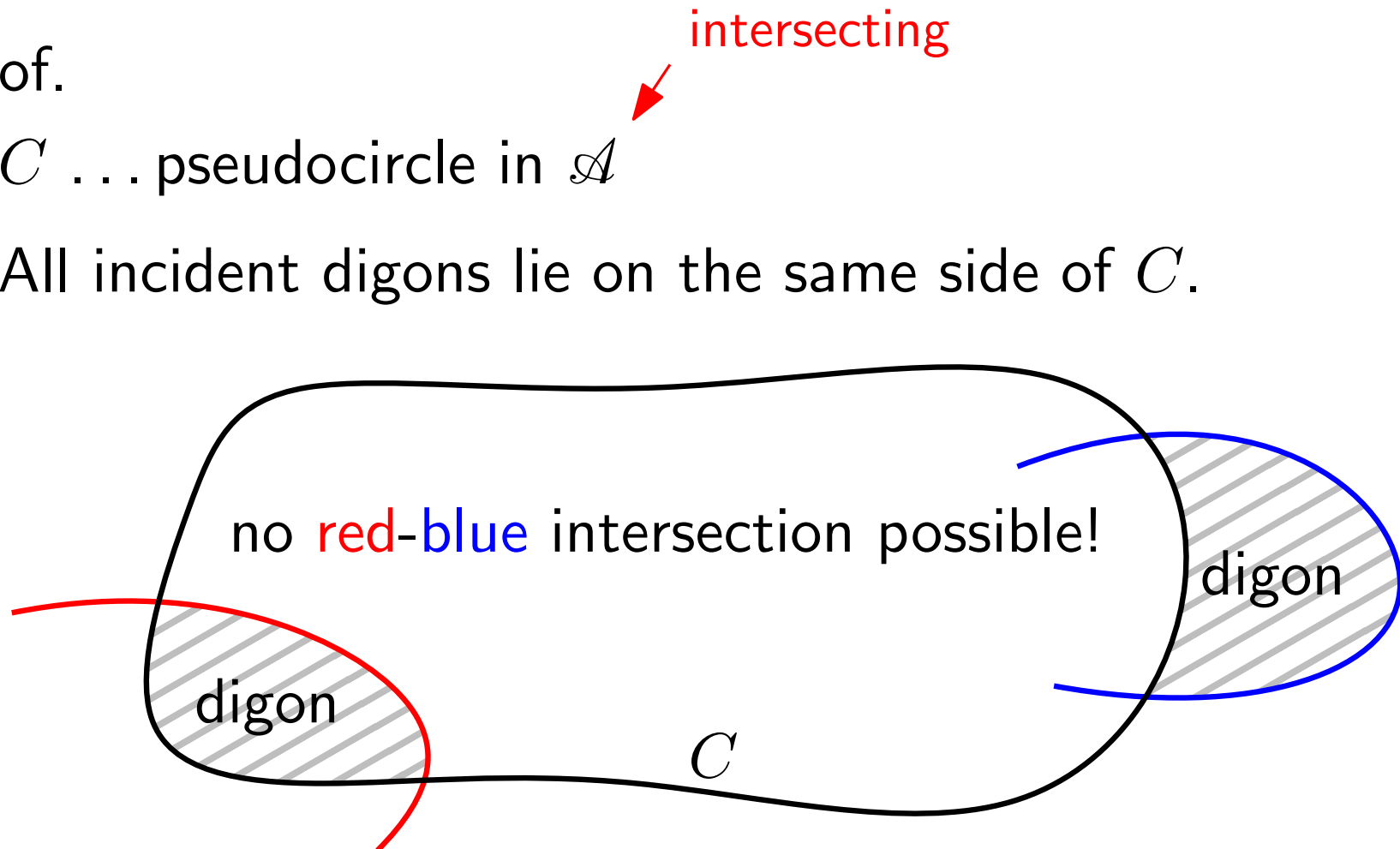


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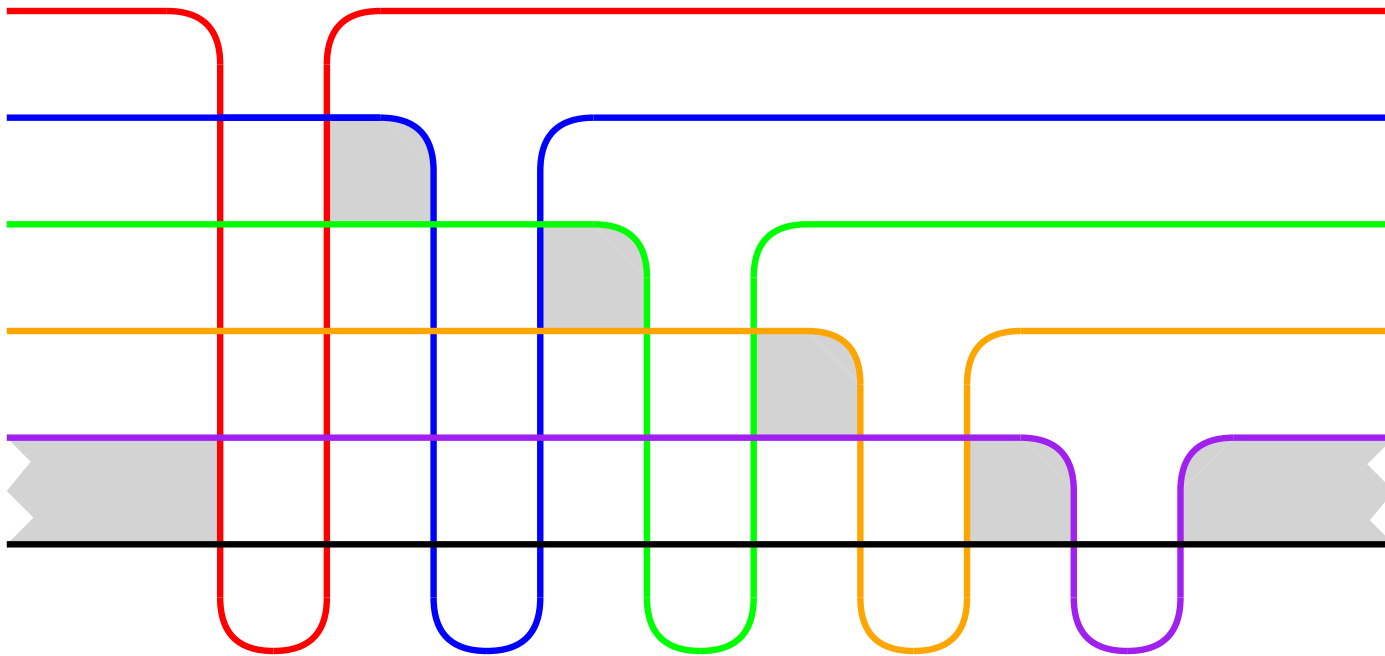
- C ... pseudocircle in \mathcal{A}
- All incident digons lie on the same side of C .
- \exists two digons or triangles on each side of C
[Hershberger and Snoeyink '91] .

□

Triangles in Arrangements with Digons

Theorem. $p_3 \geq 2n/3$

Conjecture. $p_3 \geq n - 1$



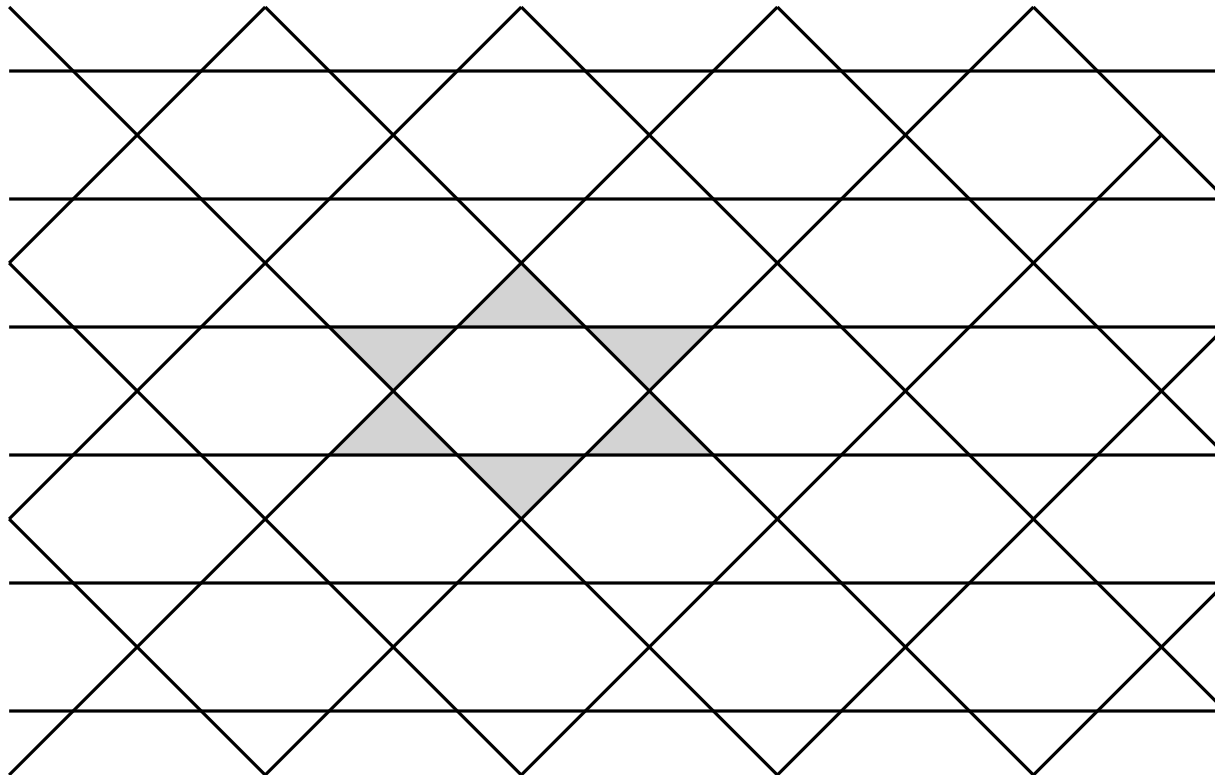
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Theorem. $p_3 \leq \frac{2}{3}n^2 + O(n)$

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n	2	3	4	5	6	7	8	9	10
simple	0	8	8	13	20	29	≥ 37	≥ 48	≥ 60
+digon-free	-	8	8	12	20	29	≥ 37	≥ 48	≥ 60
$\lfloor \frac{4}{3} \binom{n}{2} \rfloor$	1	4	8	13	20	28	37	48	60

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circularizable!

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\mathcal{A} ... arrangement of $n \geq 4$ pseudocircles

X ... set of crossings (vertices of graph)

Maximum Number of Triangles

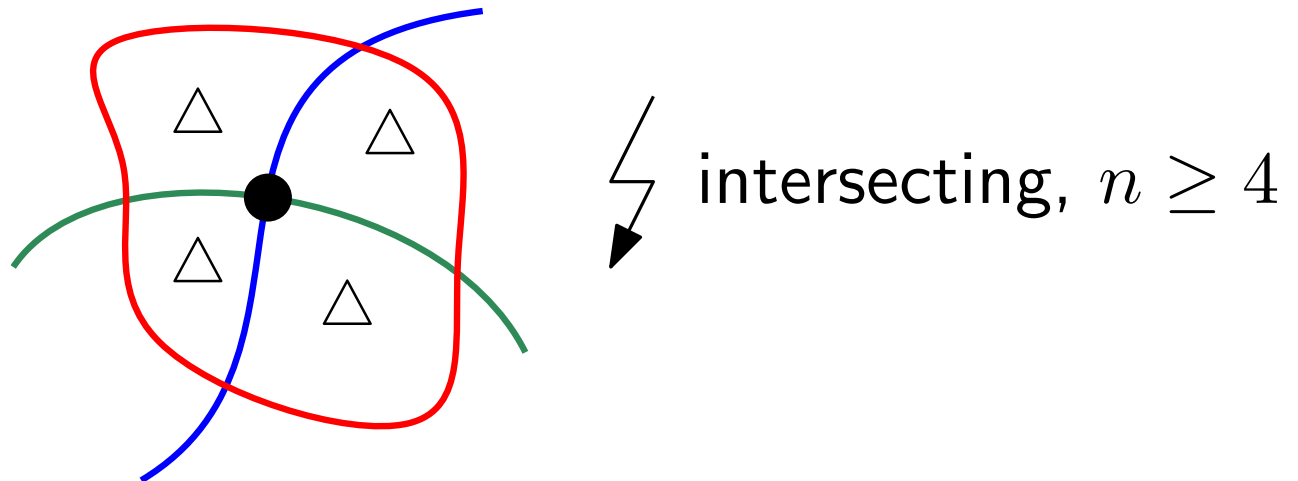
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Claim A: No vertex is incident to 4 triangular cells.



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\mathcal{A} ... arrangement of $n \geq 4$ pseudocircles

X ... set of crossings (vertices of graph)

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X' ... crossings incident to *precisely* 3 triangles

Maximum Number of Triangles

Outline:

- We will show $|X'| = O(n)$.

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- each crossing of $Y := X \setminus X'$ is incident to at most **2** triangles
- each remaining triangle is incident to **3** crossings of Y



not incident to any vertex from X'

Maximum Number of Triangles

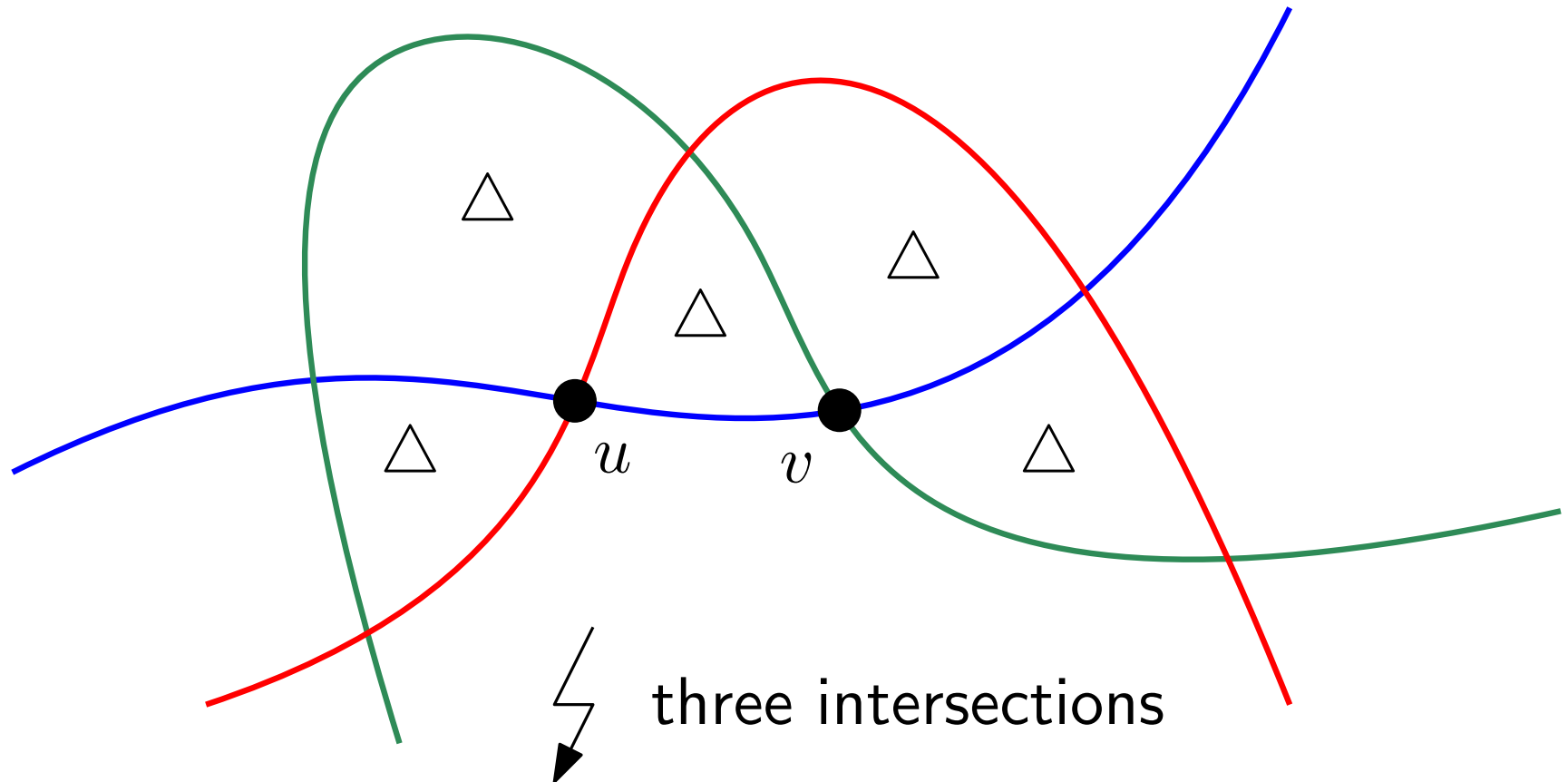
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- \Rightarrow # of triangles incident to a crossing from X' is $O(n)$.
- each crossing of $Y := X \setminus X'$ is incident to at most **2** triangles
- each remaining triangle is incident to **3** crossings of Y
- Since $|Y| \leq |X| = n(n-1)$, we count

$$p_3 \leq \frac{2}{3}|Y| + O(n) \leq \frac{2}{3}n^2 + O(n)$$

Maximum Number of Triangles

Claim B: Two adjacent crossings u, v in X' share two triangles.

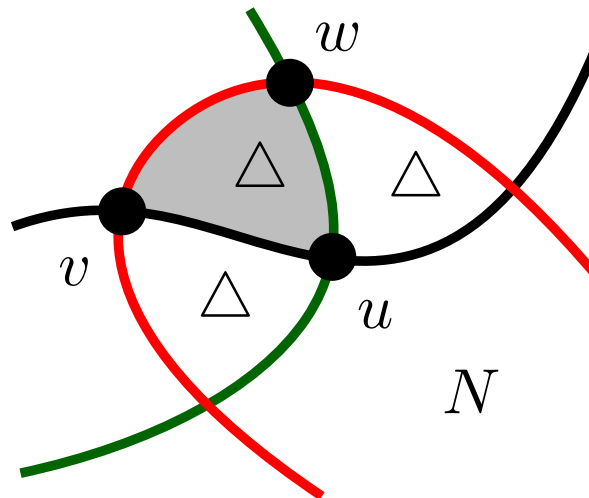


Maximum Number of Triangles

Claim B: Two adjacent crossings u, v in X' share two triangles.

Claim C: Let u, v, w be three distinct crossings in X' . If u is adjacent to both v and w , then v is adjacent to w .

(If both edges uv and uw are incident to two triangles, then uvw form a triangle)

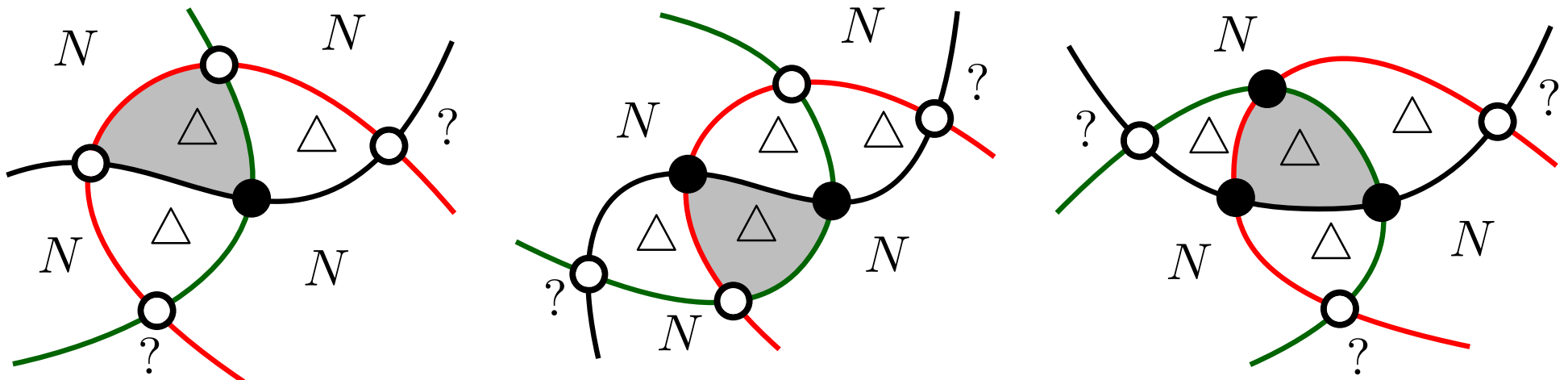


Maximum Number of Triangles

Claim B: Two adjacent crossings u, v in X' share two triangles.

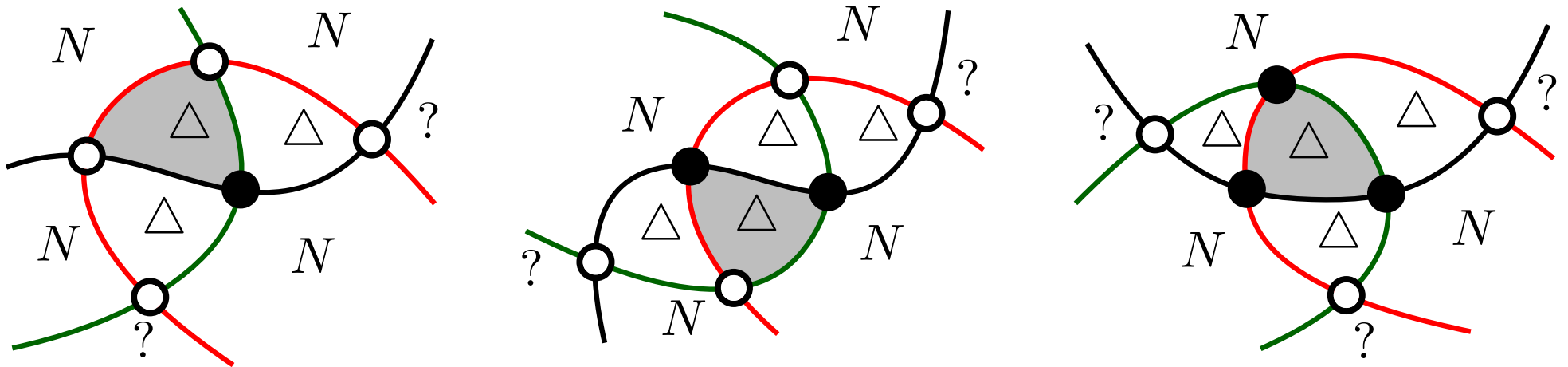
Claim C: Let u, v, w be three distinct crossings in X' . If u is adjacent to both v and w , then v is adjacent to w .

\Rightarrow each connected comp. of the graph induced by X' is either singleton, edge, or triangle.



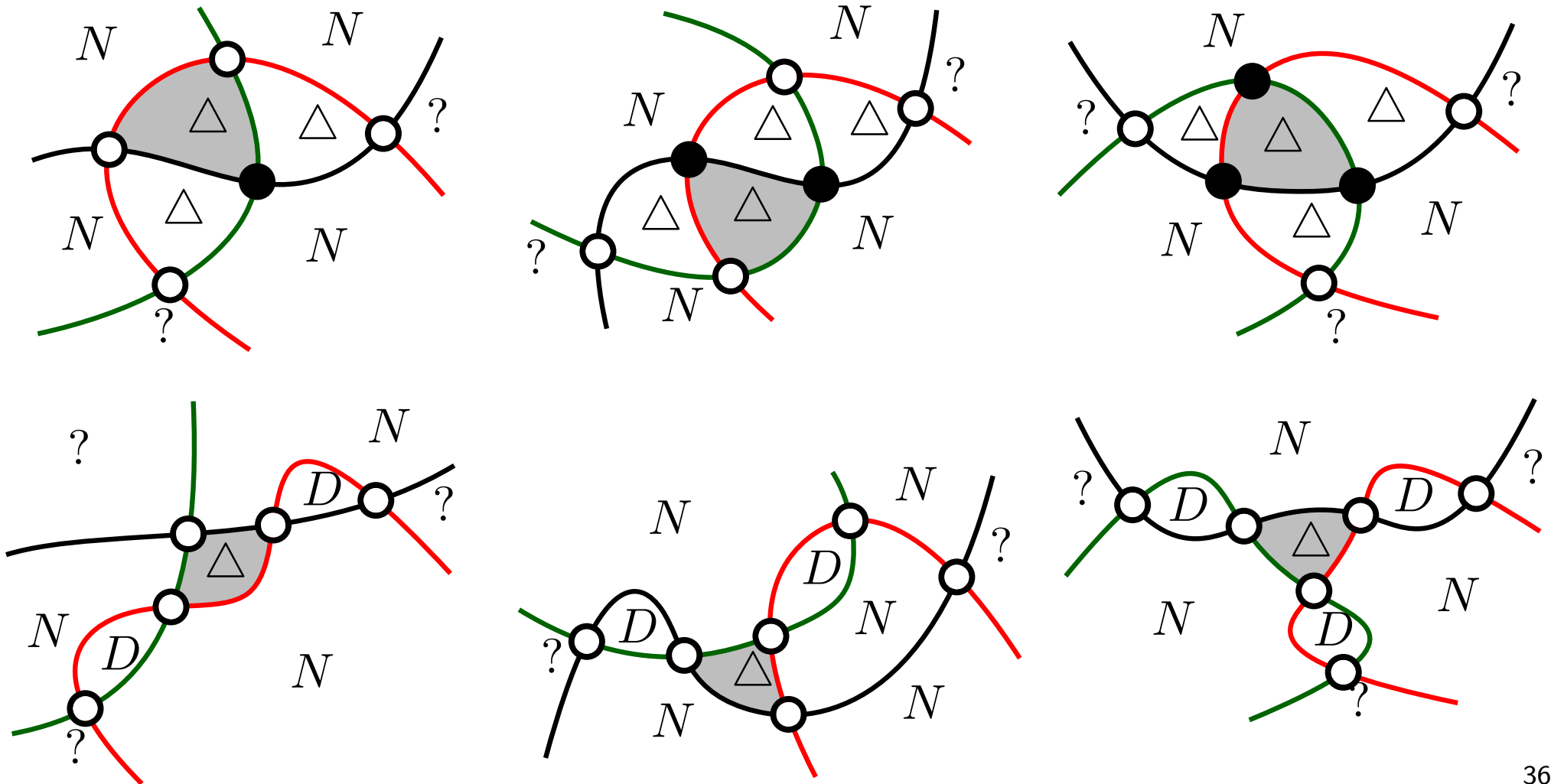
Maximum Number of Triangles

We can convert crossings of X' into digons using \triangle -flips!



Maximum Number of Triangles

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Maximum Number of Triangles

We can convert crossings of X' into digons using \triangle -flips!

There are at most $O(n)$ digons

[Agarwal, Nevo, Pach, Pinchasi, Sharir, Smorodinsky 2004]

\Rightarrow at most $O(n)$ flips

$\Rightarrow |X'|$ at most $O(n)$

$\Rightarrow p_3 \leq \frac{2}{3}n^2 + O(n)$



Thank you for your attention!

