



# Fourier Reconstruction in Diffraction Tomography of an Irregularly Moving Object

Michael Quellmalz | TU Berlin | IPMS Conference, Malta, 23 May 2022 joint work with Robert Beinert, Florian Faucher, Clemens Kirisits, Monika Ritsch-Marte, Otmar Scherzer, Eric Setterqvist, Gabriele Steidl





Optical Diffraction Tomography (ODT)  $x_1$ Measurement plane  $x_3 = r_M$ f = 0 $X_3$ u<sup>inc</sup> object ( $t \neq 0$ ) Incident field: Plane wave with normal  $x_3$ 

C Kirisits, M Quellmalz, M Ritsch-Marte, O Scherzer, E Setterqvist, G Steidl. Fourier reconstruction for diffraction tomography of an object rotated into arbitrary orientations. *Inverse Problems* 37, 2021.

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# **Optical Diffraction**

Optical diffraction occurs when the wavelength of the imaging beam is large  $\approx$  the size of the object ( $\mu m$  scale)



Simulation of the scattered field from spherical particles (size  $\approx$  wavelength)



Image with diffraction © Medizinische Universität Innsbruck



- We have: field  $u^{tot}(x_1, x_2, r_M)$  at measurement plane  $x_3 = r_M$
- We want: scattering potential  $f(\mathbf{x})$  with  $\operatorname{supp} f \subset \mathcal{B}_{f_M} \subset \mathbb{R}^3$
- Object illuminated by plane wave  $u^{inc}(\textbf{x}) = e^{ik_0x_3}$
- Total field  $u^{\text{tot}}(\mathbf{x}) = u^{\text{sca}}(\mathbf{x}) + u^{\text{inc}}(\mathbf{x})$  solves the wave equation

$$-\left(\Delta + f(\boldsymbol{x}) + k_0^2\right) u^{\text{tot}}(\boldsymbol{x}) = 0$$

Rearranging yields

$$-\left(\Delta+k_0^2\right)u^{\mathrm{sca}}(\mathbf{x})-\underbrace{\left(\Delta+k_0^2\right)u^{\mathrm{inc}}(\mathbf{x})}_{=0}=f(\mathbf{x})\left(u^{\mathrm{sca}}(\mathbf{x})+u^{\mathrm{inc}}(\mathbf{x})\right)$$

#### Born approximation

Assuming  $|u^{\rm sca}| \ll |u^{\rm inc}|$ , we obtain

$$-\left(\Delta+k_0^2\right)u^{\rm sca}(\boldsymbol{x})=f(\boldsymbol{x})u^{\rm inc}(\boldsymbol{x})$$

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## Fourier diffraction theorem [Kirisits Q. Ritsch-Marte Scherzer Setterqvist Steidl 2021]

- 1. scattering potential  $f \in L^p$ , p > 1, where  $\operatorname{supp}(f) \subset \mathcal{B}_{r_M}$ ,  $0 < r_M$ ,
- 2. incident field is plane wave  $u^{\text{inc}}(\mathbf{x}) = e^{ik_0 x_3}$ ,
- 3. Born approximation is valid and  $u^{sca}$  satisfies the Sommerfeld condition  $(u^{sca} \text{ is an outgoing wave})$ ,
- 4. scattered field  $u^{sca}$  measured at the plane  $x_3 = r_{\rm M}$ .

#### Then

$$\sqrt{\frac{2}{\pi}}\kappa i e^{-i\kappa n_{M}} \mathcal{F}_{1,2} \underbrace{u^{\text{sca}}(k_{1},k_{2},r_{M})}_{\text{measurements}} = \mathcal{F}f(-h(k_{1},k_{2})), \quad (k_{1},k_{2}) \in \mathbb{R}^{2},$$

where 
$$h(k_1, k_2) \coloneqq \begin{pmatrix} k_1 \\ k_2 \\ \kappa - k_0 \end{pmatrix}$$
 and  $\kappa := \sqrt{k_0^2 - k_1^2 - k_2^2}$ .



Semisphere h(k) of available data in Fourier space

#### based on [Wolf 1969] [Natterer Wuebbeling 2001] [Kak Slaney 2001]

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#### Fourier diffraction theorem (with rotation)

- 1. scattering potential  $f \in L^p$ , p > 1, where  $\operatorname{supp}(f) \subset \mathcal{B}_{r_M}$ ,  $0 < r_M$ ,
- 2. incident field is plane wave  $u^{\text{inc}}(\mathbf{x}) = e^{ik_0 x_3}$ ,
- 3. Born approximation is valid and  $u^{sca}$  satisfies the Sommerfeld condition  $(u^{sca} \text{ is an outgoing wave})$ ,
- 4. scattered field  $u^{sca}$  measured at the plane  $x_3 = r_{\rm M}$ .

#### Then

$$\sqrt{\frac{2}{\pi}}\kappa i e^{-i\kappa n_{M}} \mathcal{F}_{1,2} \underbrace{u^{\text{sca}}(k_{1},k_{2},r_{M})}_{\text{measurements}} = \mathcal{F}f(\mathbf{R}_{t}\mathbf{h}(k_{1},k_{2})), \quad (k_{1},k_{2}) \in \mathbb{R}^{2},$$

where 
$$\boldsymbol{h}(k_1,k_2)\coloneqq egin{pmatrix} k_1\ k_2\ \kappa-k_0 \end{pmatrix}$$
 and  $\kappa:=\sqrt{k_0^2-k_1^2-k_2^2}.$ 



Set of available Fourier space data for full rotation

## Rotation $R_t \in SO(3)$ at time $t \in [0, T]$

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## Comparison with Computerized Tomography

## Optical diffraction tomography (ODT)

diffraction of imaging beam Data: Fourier transform on semispheres containing  ${\bf 0}$ 



# Computerized tomography (CT)

light travels on lines Data: Fourier transform on planes containing  ${\bf 0}$ 







#### Discretization

- Uniform sampling of  $f(\mathbf{x}_{\mathbf{k}} = \mathbf{k} \frac{2L_s}{\kappa})$ ,  $\mathbf{k} \in \mathcal{I}^3_{\kappa} \coloneqq \{-\kappa/2, \dots, \kappa/2 1\}^3$
- Uniform sampling of measurements  $u_{t_m}^{\text{tot}}(\boldsymbol{y}_n, \boldsymbol{r}_M)$ ,  $\boldsymbol{y}_n = n \frac{2L_M}{N}$ , m = 1, ..., M,  $n \in \mathcal{I}_N^2$
- discrete Fourier transform (DFT)

$$\left[ \mathbf{F}_{\mathsf{DFT}} \, u_{t_m}^{\mathsf{sca}} \right]_{\boldsymbol{\ell}} \coloneqq \sum_{\mathbf{n} \in \mathcal{I}_N^2} u_{t_m}^{\mathsf{sca}}(\mathbf{y}_{\mathbf{n}}, \mathbf{r}_{\mathsf{M}}) \, \mathrm{e}^{-2\pi \mathrm{i} \mathbf{n} \cdot \boldsymbol{\ell} / N}, \qquad \boldsymbol{\ell} \in \mathcal{I}_N^2,$$

- Non-uniform discrete Fourier transform (NDFT)

$$[\mathbf{F}_{\mathsf{NDFT}}\mathbf{f}]_{m,\ell} \coloneqq \sum_{\mathbf{k}\in\mathcal{I}_{K}^{3}} f_{\mathbf{k}} \, \mathrm{e}^{-\mathrm{i}\mathbf{x}_{\mathbf{k}}\cdot\left(R_{l_{m}}\mathbf{h}(\mathbf{y}_{\ell})\right)}, \qquad m\in\mathcal{J}_{M}, \ \ell\in\mathcal{I}_{N}^{2}$$

#### Discretized forward operator

$$oldsymbol{D}^{ ext{tot}}oldsymbol{f} \coloneqq oldsymbol{F}_{ ext{DFT}}^{-1}(oldsymbol{c}\odotoldsymbol{F}_{ ext{NDFT}}oldsymbol{f}) + extbf{e}^{ ext{i} k_0 n_{ ext{M}}}, \qquad oldsymbol{f} \in \mathbb{R}^{K^d},$$

where  $\boldsymbol{c} = \left[\frac{i}{\kappa(\boldsymbol{y}_{\ell})} e^{i \kappa(\boldsymbol{y}_{\ell}) \eta_{M}} \left(\frac{N}{L_{M}}\right)^{d-1} \left(\frac{L_{s}}{K}\right)^{d}\right]_{\ell \in \mathcal{I}_{M}^{2}}$ 

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## Reconstruction

Input: Scattered wave 
$$\mathbf{v}_{m,n}^{\text{sca}} \coloneqq u_{lm}^{\text{tot}}(\mathbf{n} \frac{2L_M}{N}, \mathbf{r}_M) - e^{i\mathbf{k}_0 \cdot \mathbf{M}}, m \in \mathcal{J}_M, \mathbf{n} \in \mathcal{I}_N^{d-1}$$
  
for  $m = 1, ..., M$   
 $\tilde{\mathbf{g}}_m \coloneqq \mathbf{F}_{\text{DFT}} \mathbf{v}_m^{\text{sca}}$   
 $\mathbf{g}_m \coloneqq \tilde{\mathbf{g}}_m \oslash \mathbf{c}$ , where  $\oslash$  is the Hadamard (entrywise) division  
Solve  $\mathbf{F}_{\text{NDFT}} \mathbf{f} = \mathbf{g}$  for  $\mathbf{f}$  (inverse NDFT)  
**Output:** Scattering potential  $\mathbf{f} \approx [f(\mathbf{x}_k)]_{k \in I_n^d}$ .



1





## Approach 1: Backpropagation

Idea: Compute inverse Fourier transform of  $\mathcal{F}f$  restricted to the set of available data  $\mathcal{Y}$ :

$$\mathbf{f}_{\mathrm{bp}}(\mathbf{x}) := (2\pi)^{-\frac{3}{2}} \int_{\mathcal{Y}} \mathcal{F}\mathbf{f}(\mathbf{y}) \, \mathrm{e}^{\mathrm{i}\mathbf{y}\cdot\mathbf{x}} \, \mathrm{d}\mathbf{y}.$$

#### Theorem

[Kirisits, Q, Ritsch-Marte, Scherzer, Setterqvist, Steidl 2021]

Consider the rotation  $R_t$  round axis a(t) with angle  $\alpha(t)$  in  $C^1[0, T]$ . Then

$$f_{\rm bp}(\mathbf{x}) = (2\pi)^{-\frac{3}{2}} \int_0^T \int_{\mathcal{B}_{k_0}} \mathcal{F}f(R_l \mathbf{h}(k_1, k_2)) \, \mathrm{e}^{\mathrm{i} \, R_l \mathbf{h}(k_1, k_2) \cdot \mathbf{x}} \frac{|\det \nabla \mathcal{T}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{t})|}{\operatorname{Card} \mathcal{T}^{-1}(\mathcal{T}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{t}))} \, \mathrm{d}(k_1, k_2) \, \mathrm{d}\mathbf{t},$$

where

$$|\det \nabla T(k_1, k_2, t)| = \frac{k_0}{\kappa} \left| \left( (1 - \cos \alpha) (a_3 \, \mathbf{a}' \cdot \mathbf{h} - a_3' \mathbf{a} \cdot \mathbf{h}) - a_3 \, \mathbf{a} \cdot (\mathbf{a}' \times \mathbf{h}) \sin \alpha \right) - \alpha' (a_1 k_2 - a_2 k_1) + (\mathbf{a} \cdot \mathbf{h}) (a_1 a_2' - a_2 a_1') \sin \alpha \right|,$$
  
and  $T(k_1, k_2, t) := R_t \mathbf{h}(k_1, k_2).$ 

It is complicated to determine the Crofton symbol  $\operatorname{Card}(\mathcal{T}^{-1}(\mathbf{y}))$  algebraically (except for a constant  $\mathbf{a}$ ).

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#### where

$$\begin{aligned} \left| \det \nabla T(k_1, k_2, t) \right| &= \frac{k_0}{\kappa} \left| \left( (1 - \cos \alpha) (a_3 \, \mathbf{a}' \cdot \mathbf{h} - a_3' \mathbf{a} \cdot \mathbf{h}) - a_3 \, \mathbf{a} \cdot (\mathbf{a}' \times \mathbf{h}) \sin \alpha \right) - \alpha' \, (a_1 k_2 - a_2 k_1) + (\mathbf{a} \cdot \mathbf{h}) (a_1 a_2' - a_2 a_1') \sin \alpha \right|, \\ \text{and } T(k_1, k_2, t) &:= R_t \mathbf{h}(\mathbf{k}_1, k_2). \end{aligned}$$

It is complicated to determine the Crofton symbol  $Card(\mathcal{T}^{-1}(\mathbf{y}))$  algebraically (except for a constant **a**).





Example: Rotation Around the First Axis



Backpropagation formula  $f_{bp}(\mathbf{x}) = (2\pi)^{-\frac{3}{2}} \int_0^T \int_{\mathcal{B}_{k_0}} \mathcal{F}f(\mathbf{R}_t \mathbf{h}(k_1, k_2)) \, \mathbf{e}^{\mathrm{i} \, \mathbf{R}_t \mathbf{h}(k_1, k_2) \cdot \mathbf{x}} \, \frac{k_0 \, |\mathbf{k}_2|}{2\kappa} \, \mathrm{d}(k_1, k_2) \, \mathrm{d}t$ 



Set  ${\mathcal Y}$  of available data in Fourier space





Approach 2: Conjugate Gradient (CG) Method

- Inverse of the NDFT
- Find

$$\underset{\textbf{\textit{f}} \in \mathbb{R}^{K^d}}{\operatorname{arg\,min}} \quad \|\textbf{\textit{F}}_{\mathsf{NDFT}}\textbf{\textit{f}} - \textbf{\textit{g}}\|_{2,\textbf{w}}^2 = \sum_{\textbf{\textit{x}}} (\textbf{\textit{F}}_{\mathsf{NDFT}}\textbf{\textit{f}}(\textbf{\textit{x}}) - g(\textbf{\textit{x}}))^2 w(\textbf{\textit{x}})$$

- Use Conjugate Gradients (CG) on the normal equations





# Approach 3: TV (Total Variation) Regularization

- Regularized inverse of the NDFT
- Find

$$\underset{\textbf{\textit{f}} \in \mathbb{R}^{K^d}}{\arg\min} \qquad \chi_{\mathbb{R}^{K^d}_{\geq 0}}(\textbf{\textit{f}}) + \tfrac{1}{2} \|\textbf{\textit{F}}_{\text{NDFT}}(\textbf{\textit{f}}) - \textbf{\textit{g}}\|_{2,\textbf{w}}^2 + \lambda \mathsf{TV}(\textbf{\textit{f}}),$$

with total variation

$$\mathsf{TV}(f) := \sum_{k \in \mathcal{I}_{K}^{3}} \| \operatorname{grad} f(\boldsymbol{x}_{k}) \|_{2}$$

- Use primal-dual (PD) iteration [Chambolle & Pock 2010]
- Adaptive selection of step sizes [Yokota & Hontani 2017]





## Test Setup

- Normalized wavelength  $1 \Rightarrow \mathbf{k}_0 = 2\pi$
- Test function f given analytically
- Simulate data via convolution with the Green function (also based on Born approximation) to avoid the "inverse crime"
- "missing cones" around the axis of rotation
- NFFT (Non-uniform fast Fourier transform) for computing *F*<sub>NDFT</sub>*f* in *O*(*N*<sup>3</sup> log *N*) steps [Dutt Rokhlin 93], [Beylkin 95], [Potts Steidl Tasche 01], [Potts Kunis Keiner 04+]



Simulated data: Fourier transform  $|\mathcal{F}f|$  at 496944 nodes (constant rotation axis)



### Test data







Ground truth f ( $240 \times 240 \times 240$  grid) Slice at  $x_3 = 0.35$ 

Exact data  $|u_t^{\rm tot}(\cdot,{\bf r}_{\rm M})|$   $240\times240$  grid and 240 rotations

Data with 5 % Gaussian noise







Ground truth f

CG Reconstruction

79 sec

PSNR 33.36, SSIM 0.955



Backpropagation PSNR 29.07, SSIM 0.614 4 sec



CG and TV denoise ( $\lambda = 0.02$ ) PSNR 33.82, SSIM 0.988 31 sec Backprop. and TVdenoise ( $\lambda{=}0.02$ ) PSNR 32.79, SSIM 0.987 37 sec



PD with TV ( $\lambda = 0.02$ ) PSNR 34.36, SSIM 0.957 21 min







PD with TV ( $\lambda = 0.02$ ) PSNR 33.58, SSIM 0.736



Backpropagation PSNR 24.53, SSIM 0.178

-20

40

20

0

-20

-40

-40



0 6

0

20



CG Reconstruction PSNR 26.74, SSIM 0.309

Ground truth f

CG and TV denoise ( $\lambda = 0.02$ ) PSNR 33.53, SSIM 0.965

Noisy data







Ground truth f



Backpropagation PSNR 24.17, SSIM 0.171





CG and TVdenoise ( $\lambda = 0.02$ ) PSNR 36.88, SSIM 0.995

PD with TV ( $\lambda = 0.02$ )

Backpropagation and TVdenoise ( $\lambda = 0.02$ ) PSNR 29.17, SSIM 0.783



PSNR 40.95, SSIM 0.972

Moving rotation axis





# Phase Retrieval

- In practice, one can often measure only the intensity

$$\left|u_t^{\text{tot}}(\mathbf{y}, \mathbf{r}_{\mathsf{M}})\right| = \left|u_t^{\text{sca}}(\mathbf{y}, \mathbf{r}_{\mathsf{M}}) + e^{ik_0\mathbf{r}_{\mathsf{M}}}\right|, \qquad \mathbf{y} \in \mathbb{R}^2, t \in [0, T]$$

- Existing phase retrieval methods in diffraction tomography
  - require more measurements [Gbur & Wolf 2002] [Wedberg & Stamnes 1995]
  - use far zone approximations [Cheng & Han 2001] [Gureyev & Davis 2004]
  - Consider phase retrieval separate from reconstruction [Maleki & Devaney 1993]
  - Use techniques of ptychography [Horstmeyer Chung Ou Zheng & Yang 2016]
- We require additional information:
  - $f \ge 0$
  - *f* has bounded support
  - total variation of f

R Beinert, M Quellmalz.

Total Variation-Based Phase Retrieval for Diffraction Tomography.

ArXiv preprint 2201.11579, 2022.





## All-at-Once Approach for Phase Retrieval

Forward operator  $\boldsymbol{D}f(t, \boldsymbol{y}) = u_t^{\text{tot}}(\boldsymbol{y}, r_{\text{M}})$ 

### Hybrid input-output (HIO) algorithm

Input: Data 
$$d = |D(f)| = |u^{tot}|$$
, parameter  $\beta \in [0, 1]$ , support radius  $r_s > 0$ .  
Initialize  $g^{(0)} := d$   
for  $j = 0, 1, 2, ...$   
 $f^{(j)} := D^{-1}g^{(j)}$   
 $\tilde{t}_{k}^{(j)} := \begin{cases} \max\{f^{(j)}(\mathbf{x}_{k}), 0\}, & \|\mathbf{x}_{k}\|_{2} \le r_{s} \\ 0, & \|\mathbf{x}_{k}\|_{2} > r_{s} \end{cases}$   
 $f^{(j+1/2)}(\mathbf{x}_{k}) := \begin{cases} f^{(j)}(\mathbf{x}_{k}), & \text{if } f^{(j)}(\mathbf{x}_{k}) = \tilde{t}^{(j)}(\mathbf{x}_{k}) \\ f^{(j-1/2)}(\mathbf{x}_{k}) - \beta(f^{(j)}(\mathbf{x}_{k}) - \tilde{t}^{(j)}(\mathbf{x}_{k})), & \text{otherwise} \end{cases}$   
 $g^{(j+1/2)} := Df^{(j+1/2)}$   
 $g^{(j+1)} := d \operatorname{sgn}(g^{(j+1/2)})$   
Output: Approximate scattering potential  $f^{(j)}$ .

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#### [Fienup 1982]



### Numerical Phase Retrieval (Exact Data)



(a) HIO/CG reconstruction  $J_{\rm IO}=10,\,J_{\rm CG}=5$  PSNR 29.52, SSIM 0.713 5 min



(b) HIO/CG and TV denoise  $\lambda=0.02, \, J_{\rm TV}=20$  PSNR 29.88, SSIM 0.713 31 sec  $\begin{array}{c} 40\\ 20\\ 0\\ -20\\ -40\\ -40\\ -40\\ -40\\ -20\\ 0\\ 20\\ 0\\ 20\\ 40 \end{array}$ 

(c) HIO/PD with TV  $\lambda{=}0.01, J_{\rm IO}{=}200, J_{\rm PD}{=}5$  PSNR 34.92, SSIM 0.994 3h 48 min

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## Numerical Phase Retrieval (5% Gaussian noise)







(b) HIO/CG and TV denoise  $\lambda = 0.05$ ,  $J_{\rm PD} = 20$ PSNR 27.16, SSIM 0.623 (c) HIO/PD with TV  $\lambda$ =0.05, J<sub>IO</sub>=200, J<sub>PD</sub>=5 PSNR 34.10, SSIM 0.993

0

0.5

40

20

40

20

0

-20

-40

-40 - 20

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# Computational complexity

- Outer loop with HIO and inner loop with primal-dual (PD)
- Improvements:
- Restart primal-dual with the parameters dual variable from the previous outer step
- Use faster HIO/CG to obtain a starting solution for primal-dual
- Use fast FFT and NFFT algorithms
- Employ weights from the backpropagation to the minimization problem

Code available on https://github.com/michaelquellmalz/FourierODT





## Validity of the Born approximation

- Compare Born approximation with solution of the wave equation by Full Waveform Inversion (FWI)
- Quality of Born approximation depends on object's contrast and size (in relation to  $k_0$ ) [Slaney Kak Larsen 84]
- Rytov approximation is computed similarly to Born
- Numerical simulations in 2D, all using the same data

F Faucher, C Kirisits, M Quellmalz, O Scherzer, E Setterqvist. Diffraction Tomography, Fourier Reconstruction, and Full Waveform Inversion. Accepted for Handbook of Mathematical Models and Algorithms in Computer Vision and Imaging. ArXiv preprint 2110.07921, 2022.



Reconstructions for low/high contrast functions





## Conclusion

- Fourier diffraction theorem on  $\textit{L}^{\textit{p}}(\mathcal{B}_{\textit{r}_{S}}), \textit{p} > 1$
- Backpropagation formula for arbitrary rotations
- Compared reconstruction method
  - Backpropagation is faster
  - Inverse NFFT is always applicable and shows slightly better results
- Phase retrieval works well with all-at-once HIO and TV regularization

# Future research

- Detection of rotation from data
- Application to real-world data

# Thank you for your attention!





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