

A generalization of the Funk–Radon transform

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The Funk–Radon transform assigns to a function on the two-sphere its mean values along all great circles. We consider the following generalization: we replace the great circles by the small circles being the intersection of the sphere with planes containing a common point ζ inside the sphere. If ζ is the origin, this is just the classical Funk–Radon transform. We find two mappings from the sphere to itself that enable us to represent the generalized Radon transform in terms of the Funk–Radon transform. This representation is utilized to characterize the nullspace and range as well as to prove an inversion formula of the generalized Radon transform.

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1 Background

On the two-dimensional sphere \mathbb{S}^2 , every circle can be described as the intersection of the sphere with a plane,

$$\mathcal{C}(\boldsymbol{\xi}, x) = \{ \boldsymbol{\eta} \in \mathbb{S}^2 \mid \langle \boldsymbol{\xi}, \boldsymbol{\eta} \rangle = x \},$$

where $\boldsymbol{\xi} \in \mathbb{S}^2$ is the normal vector of the plane and $x \in [-1, 1]$ is the signed distance of the plane to the origin. For $x = \pm 1$, the circle $\mathcal{C}(\boldsymbol{\xi}, x)$ consists of only the singleton $\pm \boldsymbol{\xi}$. The spherical mean operator $\mathcal{S}: C(\mathbb{S}^2) \rightarrow C(\mathbb{S}^2 \times [-1, 1])$ assigns to a continuous function f defined on \mathbb{S}^2 its mean values along all circles of the sphere, i.e.

$$\mathcal{S}f(\boldsymbol{\xi}, x) = \int_{\mathcal{C}(\boldsymbol{\xi}, x)} f(\boldsymbol{\eta}) \, d\mu(\boldsymbol{\eta}),$$

where μ denotes the Lebesgue measure on the circle $\mathcal{C}(\boldsymbol{\xi}, x)$ normalized such that $\mu(\mathcal{C}(\boldsymbol{\xi}, x)) = 1$. The inversion of the spherical mean operator \mathcal{S} is an overdetermined problem, e.g. $\mathcal{S}f(\boldsymbol{\xi}, 1) = f(\boldsymbol{\xi})$ for all $\boldsymbol{\xi} \in \mathbb{S}^2$. However, in practical applications $\mathcal{S}f$ is often known only on a two-dimensional sub-manifolds of $\mathbb{S}^2 \times [-1, 1]$.

An important example of such restriction is the Funk–Radon transform \mathcal{F} , namely the restriction of \mathcal{S} to $x = 0$. It computes the averages along all great circles $\mathcal{C}(\boldsymbol{\xi}, 0)$ of the sphere. Based on the work of Minkowski [13], Funk [6] showed that every even, continuous function can be reconstructed from its Funk–Radon transform. There are several reconstruction formulas, a famous one is due to Helgason [8, Sec. III.1.C]. The range of the Funk–Radon transform in terms of Sobolev spaces was characterized by Strichartz [24].

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A similar problem is the restriction of \mathcal{S} to a fixed value $x = x_0 \in [-1, 1]$, which corresponds to the family of circles with fixed diameter. Schneider [23] proved a so-called “freak theorem”, which says that the set of values x_0 for which $\mathcal{S}f(\boldsymbol{\xi}, x_0) = 0$ for all $\boldsymbol{\xi} \in \mathbb{S}^2$ does not imply $f = 0$ is countable and dense in $[-1, 1]$. These possible values of x_0 were further investigated by Rubin [19]. Similar results were obtained for circles whose radius is one of two fixed values by Volchkov and Volchkov [26].

Abouelaz and Daher [1] considered the restriction to the family of circles containing the north pole. An inversion formula was found by Gindikin et al. [7]. Helgason [8, Sec. III.1.D] gave this restriction the name spherical slice transform and showed that it is injective for continuously differentiable functions vanishing at the north pole. Injectivity has also been shown to hold for square-integrable functions vanishing in a neighborhood of the north pole [5], and for bounded functions [20, Sec. 5].

Restricted to $\xi_3 = 0$, which corresponds to the family of circles perpendicular to the equator, the mean operator is injective for all functions f that are even with respect to the north–south direction, i.e. $f(\xi_1, \xi_2, \xi_3) = f(\xi_1, \xi_2, -\xi_3)$. Different reconstruction schemes were proposed in [7, 27, 10]. More generally, it was shown in [3] (see also [2]) that the restriction of the mean operator to the set $A \times [-1, 1]$, where A is some subset of \mathbb{S}^2 , is injective if and only if A is not contained in the zero set of any harmonic polynomial.

In this article, we are going to look at circles that are the intersections of the sphere with planes containing a fixed point $(0, 0, z)^\top$ located on the north–south axis inside the unit sphere, where $z \in [0, 1)$. The spherical transform

$$\mathcal{U}_z f(\boldsymbol{\xi}) = \mathcal{S}f(\boldsymbol{\xi}, z\xi_3), \quad \boldsymbol{\xi} \in \mathbb{S}^2,$$

computes the mean values of a continuous function $f: \mathbb{S}^2 \rightarrow \mathbb{C}$ along all such circles. The spherical transform \mathcal{U}_z was first investigated by Salman [21] in 2015. He proved the injectivity of the spherical transform for smooth functions supported inside the spherical cap $\{\boldsymbol{\xi} \in \mathbb{S}^2 \mid \xi_3 < z\}$. Furthermore, he showed an inversion formula (see Proposition 6.1) using stereographic projection combined with an inversion formula of a Radon-like transform in the plane, which integrates along all circles that intersect the unit circle in two antipodal points. An inversion formula of \mathcal{U}_1 for multi-dimensional spheres was shown in [22].

The central result of the present paper is Theorem 3.1, where we prove the factorization of the spherical transform

$$\mathcal{U}_z = \mathcal{N}_z \mathcal{F} \mathcal{M}_z,$$

into the Funk–Radon transform \mathcal{F} and the two operators \mathcal{M}_z and \mathcal{N}_z , which are defined in (3.1) and (3.2), respectively. Both \mathcal{M}_z and \mathcal{N}_z consist of a dilation from the sphere to itself composed with the multiplication of some weight.

Based on this factorization, we show in Theorem 4.4 that the nullspace of the spherical transform \mathcal{U}_z consists of all functions that are, multiplied with some weight, odd with respect to the point reflection of the sphere about the point $(0, 0, z)^\top$. Moreover, it turns out that the ranges of the spherical transform and the Funk–Radon transform coincide, considered they are both defined on square-integrable functions on the sphere, see Theorem 4.6. The relation with the Funk–Radon transform also allows us to state an inversion formula of the spherical transform in Theorem 5.1. In Section 6, we review the proof of Theorem 3.1 from a different perspective that is connected with Salman’s approach. We close the paper in Section 7 by examining the continuity of the spherical transform \mathcal{U}_z with respect to z that yields an injectivity result for \mathcal{U}_1 .

2 Definitions

We denote with \mathbb{R} and \mathbb{C} the fields of real and complex numbers, respectively. We define the two-dimensional sphere $\mathbb{S}^2 = \{\boldsymbol{\xi} \in \mathbb{R}^3 \mid \|\boldsymbol{\xi}\| = 1\}$ as the set of unit vectors $\boldsymbol{\xi} = (\xi_1, \xi_2, \xi_3)^\top \in \mathbb{R}^3$ in the three-dimensional Euclidean space \mathbb{R}^3 equipped with the scalar product $\langle \boldsymbol{\xi}, \boldsymbol{\eta} \rangle = \xi_1\eta_1 + \xi_2\eta_2 + \xi_3\eta_3$ and the norm $\|\boldsymbol{\xi}\| = \langle \boldsymbol{\xi}, \boldsymbol{\xi} \rangle^{1/2}$. We make use of the sphere's parametrization in terms of cylindrical coordinates,

$$\boldsymbol{\xi}(\varphi, t) = \left(\cos \varphi \sqrt{1-t^2}, \sin \varphi \sqrt{1-t^2}, t \right)^\top, \quad \varphi \in [0, 2\pi), t \in [-1, 1], \quad (2.1)$$

where we assume that the longitude φ is 2π -periodic. Let $f: \mathbb{S}^2 \rightarrow \mathbb{C}$ be some measurable function. With respect to cylindrical coordinates, the surface measure $d\boldsymbol{\xi}$ on the sphere reads

$$\int_{\mathbb{S}^2} f(\boldsymbol{\xi}) d\boldsymbol{\xi} = \int_{-1}^1 \int_0^{2\pi} f(\boldsymbol{\xi}(\varphi, t)) d\varphi dt.$$

The Hilbert space $L^2(\mathbb{S}^2)$ is defined as the space of all measurable functions $f: \mathbb{S}^2 \rightarrow \mathbb{C}$, whose norm $\|f\|_{L^2(\mathbb{S}^2)} = \langle f, f \rangle^{1/2}$ is finite, where

$$\langle f, g \rangle = \int_{\mathbb{S}^2} f(\boldsymbol{\xi}) \overline{g(\boldsymbol{\xi})} d\boldsymbol{\xi}$$

is the usual L^2 -inner product. Furthermore, we denote with $C(\mathbb{S}^2)$ the set of continuous, complex-valued functions defined on the sphere.

Let $\gamma: [0, 1] \rightarrow \mathbb{S}^2$, $s \mapsto \gamma(\varphi(s), t(s))$ be a regular path on the sphere parameterized in cylindrical coordinates. The line integral of a function $f \in C(\mathbb{S}^2)$ along the path γ with respect to the arc-length $d\ell$ is given by

$$\int_{\gamma} f d\ell = \int_0^1 f(\gamma(\varphi(s), t(s))) \sqrt{(1-t(s)^2) \left(\frac{d\varphi(s)}{ds} \right)^2 + \frac{1}{1-t(s)^2} \left(\frac{dt(s)}{ds} \right)^2} ds. \quad (2.2)$$

The spherical transform. Every circle on the sphere can be described as the intersection of the sphere with a plane, i.e.

$$\mathcal{C}(\boldsymbol{\xi}, x) = \{\boldsymbol{\eta} \in \mathbb{S}^2 \mid \langle \boldsymbol{\xi}, \boldsymbol{\eta} \rangle = x\},$$

where $\boldsymbol{\xi} \in \mathbb{S}^2$ is the normal vector of the plane and $x \in [-1, 1]$ is the signed distance of the plane to the origin. We consider circles whose planes contain a common point $\boldsymbol{\zeta} \in \mathbb{R}^3$ located in the interior of the unit ball, i.e. $\|\boldsymbol{\zeta}\| < 1$. We say that a circle passes through $\boldsymbol{\zeta}$ if its respective plane contains $\boldsymbol{\zeta}$. By rotational symmetry, we can assume that the point $\boldsymbol{\zeta}$ lies on the positive ξ_3 axis. For $z \in [0, 1)$, we set

$$\boldsymbol{\zeta}_z = (0, 0, z)^\top.$$

The circles passing through $\boldsymbol{\zeta}_z$ can be described by $\mathcal{C}(\boldsymbol{\xi}, x)$ with $x = \langle \boldsymbol{\xi}, \boldsymbol{\zeta}_z \rangle = z\xi_3$. For a function $f \in C(\mathbb{S}^2)$, we define the spherical transform

$$\mathcal{U}_z f(\boldsymbol{\xi}) = \frac{1}{2\pi\sqrt{1-z^2\xi_3^2}} \int_{\mathcal{C}(\boldsymbol{\xi}, z\xi_3)} f(\boldsymbol{\eta}) d\ell(\boldsymbol{\eta}), \quad \boldsymbol{\xi} \in \mathbb{S}^2, \quad (2.3)$$

which computes the mean values of f along all circles passing through $\boldsymbol{\zeta}_z$. Note that the denominator in (2.3) is equal to the circumference of the circle $\mathcal{C}(\boldsymbol{\xi}, z\xi_3)$.

The Funk–Radon transform. Setting the parameter $z = 0$, the point $\boldsymbol{\zeta}_0 = (0, 0, 0)^\top$ is the center of the sphere. Hence, the spherical transform \mathcal{U}_0 integrates along all great circles of the sphere. This special case is the Funk–Radon transform

$$\mathcal{F}f(\boldsymbol{\xi}) = \mathcal{U}_0f(\boldsymbol{\xi}) = \frac{1}{2\pi} \int_{\langle \boldsymbol{\xi}, \boldsymbol{\eta} \rangle = 0} f(\boldsymbol{\eta}) \, d\ell(\boldsymbol{\eta}), \quad \boldsymbol{\xi} \in \mathbb{S}^2, \quad (2.4)$$

which is also known by the terms Funk transform, Minkowski–Funk transform or spherical Radon transform, where the latter term is occasionally also refers to means over spheres in \mathbb{R}^3 , cf. [16].

3 Relation with the Funk–Radon transform

Let $z \in [0, 1)$ and $f \in C(\mathbb{S}^2)$. We define the two transformations $\mathcal{M}_z, \mathcal{N}_z: C(\mathbb{S}^2) \rightarrow C(\mathbb{S}^2)$ by

$$\mathcal{M}_zf(\boldsymbol{\xi}(\varphi, t)) = \frac{\sqrt{1-z^2}}{1+zt} f\left(\boldsymbol{\xi}\left(\varphi, \frac{t+z}{1+zt}\right)\right), \quad \boldsymbol{\xi} \in \mathbb{S}^2 \quad (3.1)$$

and

$$\mathcal{N}_zf(\boldsymbol{\xi}(\varphi, t)) = \frac{1}{\sqrt{1-z^2t^2}} f\left(\boldsymbol{\xi}\left(\varphi, t\sqrt{\frac{1-z^2}{1-z^2t^2}}\right)\right), \quad \boldsymbol{\xi} \in \mathbb{S}^2. \quad (3.2)$$

Theorem 3.1. *Let $z \in [0, 1)$. Then the factorization of the spherical transform*

$$\mathcal{U}_z = \mathcal{N}_z \mathcal{F} \mathcal{M}_z \quad (3.3)$$

holds, where \mathcal{F} is the Funk–Radon transform (2.4).

Proof. Let $f \in C(\mathbb{S}^2)$ and $\boldsymbol{\xi} \in \mathbb{S}^2$. By the definition of \mathcal{U}_z in (2.3), we have

$$2\pi\sqrt{1-z^2\xi_3^2}\mathcal{U}_zf(\boldsymbol{\xi}) = \int_{\mathcal{C}(\boldsymbol{\xi}, z\xi_3)} f(\boldsymbol{\eta}) \, d\ell(\boldsymbol{\eta}), \quad (3.4)$$

where $d\ell$ is the arc-length. We are going to use cylindrical coordinates $\boldsymbol{\eta}(\psi, u) \in \mathbb{S}^2$, see (2.1). Let

$$[0, 1] \rightarrow \mathcal{C}(\boldsymbol{\xi}, z\xi_3) \subset \mathbb{S}^2, \quad s \mapsto \boldsymbol{\eta}(\psi(s), u(s))$$

be some parameterization of the circle $\mathcal{C}(\boldsymbol{\xi}, z\xi_3)$, which acts as domain of integration in (3.4). Then we have by (2.2)

$$2\pi\sqrt{1-z^2\xi_3^2}\mathcal{U}_zf(\boldsymbol{\xi}) = \int_0^1 f(\boldsymbol{\eta}(\psi, u)) \sqrt{(1-u^2) \left(\frac{d\psi}{ds}\right)^2 + \frac{1}{1-u^2} \left(\frac{du}{ds}\right)^2} \, ds.$$

We perform the substitution $u(s) \mapsto v(s)$, where

$$u = \frac{v+z}{1+zv}. \quad (3.5)$$

By the chain rule,

$$\frac{du}{ds} = \frac{du}{dv} \frac{dv}{ds} = \frac{1+zv - z(z+v)}{(1+zv)^2} \frac{dv}{ds} = \frac{1-z^2}{(1+zv)^2} \frac{dv}{ds}.$$

Thus, we have

$$\begin{aligned}
& 2\pi\sqrt{1-z^2\xi_3^2}\mathcal{U}_z f(\boldsymbol{\xi}) \\
&= \int_0^1 f\left(\boldsymbol{\eta}\left(\psi, \frac{v+z}{1+zv}\right)\right) \sqrt{\frac{1+z^2v^2-z^2-v^2}{(1+zv)^2} \left(\frac{d\psi}{ds}\right)^2 + \frac{(1+zv)^2}{1+z^2v^2-z^2-v^2} \frac{(1-z^2)^2}{(1+zv)^4} \left(\frac{dv}{ds}\right)^2} ds \\
&= \int_0^1 f\left(\boldsymbol{\eta}\left(\psi, \frac{v+z}{1+zv}\right)\right) \sqrt{\frac{(1-v^2)(1-z^2)}{(1+zv)^2} \left(\frac{d\psi}{ds}\right)^2 + \frac{1-z^2}{(1-v^2)(1+zv)^2} \left(\frac{dv}{ds}\right)^2} ds \\
&= \int_0^1 f\left(\boldsymbol{\eta}\left(\psi, \frac{v+z}{1+zv}\right)\right) \frac{\sqrt{1-z^2}}{1+zv} \sqrt{(1-v^2) \left(\frac{d\psi}{ds}\right)^2 + \frac{1}{1-v^2} \left(\frac{dv}{ds}\right)^2} ds. \tag{3.6}
\end{aligned}$$

Plugging (3.2) into the last equation, we obtain by (2.2)

$$2\pi\sqrt{1-z^2\xi_3^2}\mathcal{U}_z(\boldsymbol{\xi}) = \int_{\mathcal{D}_z(\boldsymbol{\xi})} \mathcal{M}_z f(\boldsymbol{\eta}) d\ell(\boldsymbol{\eta}), \tag{3.7}$$

where

$$\mathcal{D}_z(\boldsymbol{\xi}) = \left\{ \boldsymbol{\eta}(\psi, v) \in \mathbb{S}^2 : \boldsymbol{\eta}\left(\psi, \frac{v+z}{1+zv}\right) \in \mathcal{C}(\boldsymbol{\xi}, z\xi_3) \right\}.$$

In the second part of the proof, we are going to show that

$$\mathcal{D}_z(\boldsymbol{\xi}(\varphi, t)) = \mathcal{C}\left(\boldsymbol{\xi}\left(\varphi, t\sqrt{\frac{1-z^2}{1-z^2t^2}}\right), 0\right), \tag{3.8}$$

which is a great circle on the sphere. The point $\boldsymbol{\eta}(\psi, v) \in \mathbb{S}^2$ lies in the set $\mathcal{D}_z(\boldsymbol{\xi}(\varphi, t))$ if and only if

$$\left\langle \boldsymbol{\eta}\left(\psi, \frac{v+z}{1+zv}\right), \boldsymbol{\xi}(\varphi, t) \right\rangle = zt.$$

By the definition of the cylindrical coordinates (2.1), this equation can be rewritten as

$$(\cos\psi \cos\varphi + \sin\psi \sin\varphi) \sqrt{1 - \left(\frac{v+z}{1+zv}\right)^2} \sqrt{1-t^2} + t \frac{v+z}{1+zv} = zt.$$

By the addition formula for the cosine, this is equivalent to

$$\cos(\varphi - \psi) \frac{\sqrt{1-v^2}\sqrt{1-z^2}}{1+zv} \sqrt{1-t^2} + t \frac{v-vz^2}{1+zv} = 0.$$

Now we multiply the last equation with $(1+zv)(1-z^2)^{-1/2}(1-z^2t^2)^{-1/2}$ and obtain

$$\begin{aligned}
0 &= \cos(\varphi - \psi) \sqrt{1-v^2} \frac{\sqrt{1-t^2}}{\sqrt{1-z^2t^2}} + tv \frac{\sqrt{1-z^2}}{\sqrt{1-z^2t^2}} \\
&= \cos(\varphi - \psi) \sqrt{1-v^2} \sqrt{1-t^2} \frac{1-z^2}{1-z^2t^2} + vt \sqrt{\frac{1-z^2}{1-z^2t^2}},
\end{aligned}$$

which is exactly the equation of the great circle

$$\mathcal{C}\left(\boldsymbol{\xi}\left(\varphi, t\sqrt{\frac{1-z^2}{1-z^2t^2}}\right), 0\right).$$

This shows (3.8). Combining (3.7) and (3.8), we obtain

$$\mathcal{U}_z(\boldsymbol{\xi}(\varphi, t)) = \frac{1}{\sqrt{1-z^2\xi_3^2}} \mathcal{FM}_z f\left(\boldsymbol{\xi}\left(\varphi, t\sqrt{\frac{1-z^2}{1-z^2t^2}}\right)\right). \quad \blacksquare$$

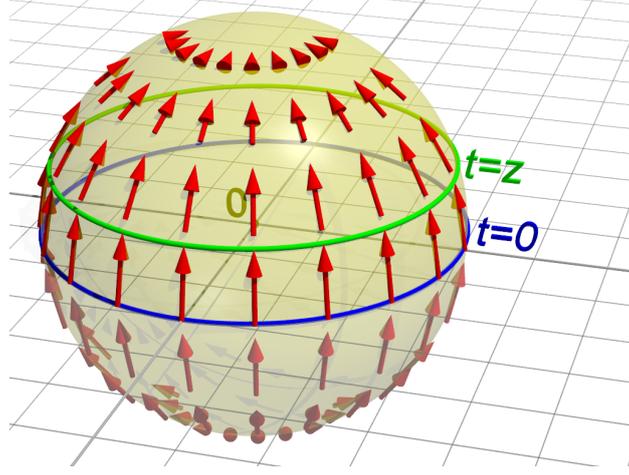


Figure 3.1: The red arrows indicate the transformation $\mathbf{h}_z: \mathbb{S}^2 \rightarrow \mathbb{S}^2$, which was defined in (3.9) and maps the equator (blue) to the circle of latitude z (green), for $z = 0.33$.

The proof of the decomposition of the spherical transform \mathcal{U}_z in Theorem 3.1 is based on the substitution (3.5), which can be expressed as the transformation

$$\mathbf{h}_z: \mathbb{S}^2 \rightarrow \mathbb{S}^2, \quad \mathbf{h}_z(\boldsymbol{\xi}(\varphi, t)) = \boldsymbol{\xi}\left(\varphi, \frac{t+z}{1+zt}\right) \quad (3.9)$$

where $z \in [0, 1)$. Then $\mathcal{M}_z f(\boldsymbol{\xi}) = f \circ \mathbf{h}_z(\boldsymbol{\xi}) \cdot \sqrt{1-z^2}/(1+z\xi_3)$. By (3.6), the map \mathbf{h}_z is conformal, i.e., it preserves angles. The transformation \mathbf{h}_z moves the points on the sphere northwards while leaving the north and south pole unchanged. It maps the equator $t = 0$ to the circle of latitude $t = z$, see Figure 3.1. Moreover, \mathbf{h}_z maps all great circles to circles passing through ζ_z . An interpretation of \mathbf{h}_z in terms of the stereographic projection will be given in Section 6.

4 Properties of the spherical transform

4.1 The operators \mathcal{M}_z and \mathcal{N}_z

In the following two lemmas, we investigate the two transformations \mathcal{M}_z and \mathcal{N}_z from Theorem 3.1 as operators $L^2(\mathbb{S}^2) \rightarrow L^2(\mathbb{S}^2)$ and compute their inverses.

Lemma 4.1. *The operator \mathcal{M}_z given in (3.1) can be extended to a unitary operator $\mathcal{M}_z: L^2(\mathbb{S}^2) \rightarrow L^2(\mathbb{S}^2)$. Its inverse is given by*

$$\mathcal{M}_z^{-1}g(\boldsymbol{\xi}(\varphi, u)) = g\left(\boldsymbol{\xi}\left(\varphi, \frac{u-z}{1-zu}\right)\right) \frac{\sqrt{1-z^2}}{1-zu}, \quad \boldsymbol{\xi}(\varphi, u) \in \mathbb{S}^2. \quad (4.1)$$

Proof. Let $f \in C(\mathbb{S}^2)$. In order to prove that \mathcal{M}_z is unitary, we are going to show first that $\|\mathcal{M}_z f\|_{L^2(\mathbb{S}^2)} = \|f\|_{L^2(\mathbb{S}^2)}$, which implies that \mathcal{M}_z is an isometry on $L^2(\mathbb{S}^2)$ since the continuous functions $C(\mathbb{S}^2)$ are dense in $L^2(\mathbb{S}^2)$. In the integral

$$\|\mathcal{M}_z f\|_{L^2(\mathbb{S}^2)}^2 = \int_0^{2\pi} \int_{-1}^1 \left| f\left(\boldsymbol{\xi}\left(\varphi, \frac{t+z}{1+zt}\right)\right) \frac{\sqrt{1-z^2}}{1+zt} \right|^2 dt d\varphi,$$

we substitute

$$t = \frac{u-z}{1-zu}, \quad dt = \frac{1-z^2}{(1-zu)^2} du \quad (4.2)$$

and obtain

$$\begin{aligned}\|\mathcal{M}_z f\|_{L^2(\mathbb{S}^2)}^2 &= \int_0^{2\pi} \int_{-1}^1 \left| f \left(\boldsymbol{\xi} \left(\varphi, \frac{u-z+z(1-zu)}{1-zu+z(u-z)} \right) \right) \right|^2 \frac{(1-z^2)(1-zu)^2}{(1-zu+z(u-z))^2} \frac{1-z^2}{(1-zu)^2} du d\varphi \\ &= \int_0^{2\pi} \int_{-1}^1 |f(\boldsymbol{\xi}(\varphi, u))|^2 du d\varphi = \|f\|_{L^2(\mathbb{S}^2)}^2.\end{aligned}$$

For the inversion formula (4.1), we apply the substitution (4.2) to (3.1) and obtain

$$\mathcal{M}_z f \left(\boldsymbol{\xi} \left(\varphi, \frac{u-z}{1-zu} \right) \right) \frac{1+z\frac{u-z}{1-zu}}{\sqrt{1-z^2}} = f(\boldsymbol{\xi}(\varphi, u)), \quad \boldsymbol{\xi}(\varphi, u) \in \mathbb{S}^2.$$

This equality implies that \mathcal{M}_z is surjective and hence unitary. ■

Lemma 4.2. *The operator \mathcal{N}_z given in (3.2) can be extended to a bijective and continuous operator $\mathcal{N}_z: L^2(\mathbb{S}^2) \rightarrow L^2(\mathbb{S}^2)$ satisfying*

$$\|f\|_{L^2(\mathbb{S}^2)} \leq \|\mathcal{N}_z f\|_{L^2(\mathbb{S}^2)} \leq (1-z^2)^{-1/4} \|f\|_{L^2(\mathbb{S}^2)} \quad (4.3)$$

for all $f \in L^2(\mathbb{S}^2)$. Its inverse is given by

$$\mathcal{N}_z^{-1} g(\boldsymbol{\xi}(\varphi, u)) = g \left(\boldsymbol{\xi} \left(\varphi, \frac{u}{\sqrt{1-z^2+z^2u^2}} \right) \right) \sqrt{\frac{1-z^2}{1-z^2+z^2u^2}}. \quad (4.4)$$

Proof. Let $f \in C(\mathbb{S}^2)$. In the integral

$$\|\mathcal{N}_z f\|_{L^2(\mathbb{S}^2)}^2 = \int_0^{2\pi} \int_{-1}^1 \left| \frac{1}{\sqrt{1-z^2t^2}} f \left(\boldsymbol{\xi} \left(\varphi, t\sqrt{\frac{1-z^2}{1-z^2t^2}} \right) \right) \right|^2 dt d\varphi,$$

we substitute

$$t = \frac{u}{\sqrt{1-z^2+u^2z^2}}$$

with the derivative

$$\frac{dt}{du} = \frac{\sqrt{1-z^2+u^2z^2} - \frac{2u^2z^2}{2\sqrt{1-z^2+u^2z^2}}}{1-z^2+u^2z^2} = \frac{1-z^2}{(1-z^2+u^2z^2)^{3/2}}.$$

Hence, we have

$$\begin{aligned}\|\mathcal{N}_z f\|_{L^2(\mathbb{S}^2)}^2 &= \int_0^{2\pi} \int_{-1}^1 |f(\boldsymbol{\xi}(\varphi, u))|^2 \frac{1}{1-z^2\frac{u^2}{1-z^2+u^2z^2}} \frac{1-z^2}{(1-z^2+u^2z^2)^{3/2}} du d\varphi \\ &= \int_0^{2\pi} \int_{-1}^1 |f(\boldsymbol{\xi}(\varphi, u))|^2 \frac{1}{\sqrt{1-z^2+u^2z^2}} du d\varphi.\end{aligned} \quad (4.5)$$

Since the weight $(1-z^2+u^2z^2)^{-1/2}$ in the integrand of (4.5) for $u \in [-1, 1]$ attains its maximum value of $(1-z^2)^{-1/2}$ at $u = 0$ and its minimum 1 at $u = \pm 1$, we can conclude (4.3). For the inversion formula (4.4), we apply the substitution from the first part of the proof to (3.2) and obtain

$$f(\boldsymbol{\xi}(\varphi, u)) = \sqrt{1 - \frac{z^2u^2}{1-z^2+z^2u^2}} \mathcal{N}_z f \left(\boldsymbol{\xi} \left(\varphi, \frac{u}{\sqrt{1-z^2+z^2u^2}} \right) \right). \quad \blacksquare$$

4.2 Nullspace

Lemma 4.3. *Let $z \in [0, 1)$. We define*

$$\mathbf{R}_z: \mathbb{S}^2 \rightarrow \mathbb{S}^2, \quad \boldsymbol{\xi}(\varphi, t) \mapsto \boldsymbol{\xi} \left(\varphi + \pi, \frac{2z - t - tz^2}{1 - 2tz + z^2} \right).$$

Then \mathbf{R}_z is the point reflection of the sphere across the point $\boldsymbol{\zeta}_z = (0, 0, z)^\top$, i.e., for every $\boldsymbol{\xi} \in \mathbb{S}^2$ the three points $\boldsymbol{\xi}$, $\mathbf{R}_z \boldsymbol{\xi}$ and $\boldsymbol{\zeta}_z$ are located on one line.

Proof. We are going to show that $\mathbf{R}_z \boldsymbol{\xi}$ can be written as an affine combination of $\boldsymbol{\xi}$ and $\boldsymbol{\zeta}_z$. We assume that $\varphi = 0$, the general case then follows by rotation about the north–south axis. We have

$$\boldsymbol{\xi}(0, t) = (\sqrt{1 - t^2}, 0, t)^\top.$$

Setting

$$\alpha = \frac{z^2 - 1}{1 - 2tz + z^2} < 0,$$

we obtain in 3D coordinates

$$\begin{aligned} \alpha \boldsymbol{\xi} + (1 - \alpha) \boldsymbol{\zeta}_z &= \left(\frac{z^2 - 1}{1 - 2tz + z^2} \sqrt{1 - t^2}, 0, \frac{z^2 - 1}{1 - 2tz + z^2} (t - z) + z \right)^\top \\ &= \left(-\sqrt{1 - \frac{(1 - 2tz + z^2)^2 - (z^2 - 1)^2 (1 - t^2)}{(1 - 2tz + z^2)^2}}, 0, \frac{tz^2 - t - z^3 + 2z - 2tz^2 + z^3}{1 - 2tz + z^2} \right)^\top \\ &= \left(-\sqrt{1 - \left(\frac{2z - t - tz^2}{1 - 2tz + z^2} \right)^2}, 0, \frac{2z - t - tz^2}{1 - 2tz + z^2} \right)^\top = \mathbf{R}_z \boldsymbol{\xi}. \quad \blacksquare \end{aligned}$$

The following theorem shows that the functions in the nullspace of the spherical transform \mathcal{U}_z can be imagined as the set of functions that are odd with respect to the point reflection \mathbf{R}_z and the multiplication with some weight.

Theorem 4.4. *Let $z \in [0, 1)$. The nullspace of the spherical transform \mathcal{U}_z consists of all functions $f \in L^2(\mathbb{S}^2)$ for which $\mathcal{M}_z f$ is odd. The latter is equivalent to the condition that for almost every $\boldsymbol{\xi} \in \mathbb{S}^2$*

$$f(\boldsymbol{\xi}) = f(\mathbf{R}_z(\boldsymbol{\xi})) \frac{1 - z^2}{2z\xi_3 - 1 - z^2}. \quad (4.6)$$

Proof. Let $f \in L^2(\mathbb{S}^2)$ with $\mathcal{U}_z f = 0$. By the factorization (3.3), we have $\mathcal{N}_z \mathcal{F} \mathcal{M}_z f = 0$. Since \mathcal{N}_z is injective by Lemma 4.2, we conclude that $\mathcal{F} \mathcal{M}_z f = 0$. It is well-known that the nullspace of the Funk–Radon transform \mathcal{F} consists of the odd functions, cf. [6]. It follows that $\mathcal{U}_z f = 0$ if and only if $\mathcal{M}_z f$ is odd. That is, for almost every $\boldsymbol{\xi} \in \mathbb{S}^2$, we have

$$\mathcal{M}_z f(\boldsymbol{\xi}) = -\mathcal{M}_z f(-\boldsymbol{\xi})$$

and hence in cylindrical coordinates

$$f \left(\boldsymbol{\xi} \left(\varphi, \frac{t + z}{1 + tz} \right) \right) \frac{\sqrt{1 - z^2}}{1 + zt} = -f \left(\boldsymbol{\xi} \left(\varphi + \pi, \frac{-t + z}{1 - tz} \right) \right) \frac{\sqrt{1 - z^2}}{1 - zt}. \quad (4.7)$$

By setting

$$t = \frac{z - u}{uz - 1},$$

equation (4.7) becomes

$$f\left(\xi\left(\varphi, \frac{z-u+z(uz-1)}{uz-1+(z-u)z}\right)\right) = -f\left(\xi\left(\varphi+\pi, \frac{-z+u+z(uz-1)}{uz-1-z(z-u)}\right)\right) \frac{uz-1+z(z-u)}{uz-1-z(z-u)},$$

which is equivalent to

$$f(\xi(\varphi, u)) = f\left(\xi\left(\varphi+\pi, \frac{u-2z+uz^2}{2uz-1-z^2}\right)\right) \frac{1-z^2}{2uz-z^2-1}. \quad \blacksquare$$

4.3 Range

In order to obtain a description of the range of the spherical transform \mathcal{U}_z , we introduce Sobolev spaces on the sphere. For more details on such Sobolev spaces, we refer the reader to [12]. We start by defining the associated Legendre polynomials

$$P_n^k(t) = \frac{(-1)^k}{2^n n!} (1-t^2)^{k/2} \frac{d^{n+k}}{dt^{n+k}} (t^2-1)^n, \quad t \in [-1, 1],$$

for all $(n, k) \in I$, where

$$I = \{(n, k) \mid n \in \mathbb{N}_0, k = -n, \dots, n\}$$

and \mathbb{N}_0 denotes the set of non-negative integers. The spherical harmonics

$$Y_n^k(\xi(\varphi, t)) = \sqrt{\frac{2n+1}{4\pi} \frac{(n-k)!}{(n+k)!}} P_n^k(t) e^{ik\varphi}, \quad \xi(\varphi, t) \in \mathbb{S}^2,$$

form an orthonormal basis in the Hilbert space $L^2(\mathbb{S}^2)$. Accordingly, any function $f \in L^2(\mathbb{S}^2)$ can be expressed by its Fourier series

$$f = \sum_{n=0}^{\infty} \sum_{k=-n}^n \hat{f}(n, k) Y_n^k$$

with the Fourier coefficients

$$\hat{f}(n, k) = \int_{\mathbb{S}^2} f(\xi) \overline{Y_n^k(\xi)} d\xi.$$

For $s \geq 0$, the Sobolev space $H^s(\mathbb{S}^2)$ is defined as the space of all functions $f \in L^2(\mathbb{S}^2)$ with finite Sobolev norm

$$\|f\|_{H^s(\mathbb{S}^2)}^2 = \sum_{n=0}^{\infty} \sum_{k=-n}^n (n + \frac{1}{2})^{2s} |\hat{f}(n, k)|^2.$$

Obviously, $H^0(\mathbb{S}^2) = L^2(\mathbb{S}^2)$. Furthermore, we set $L_e^2(\mathbb{S}^2)$ and $H_e^s(\mathbb{S}^2)$ as the respective spaces restricted to even functions.

Before we give the theorem about the range of \mathcal{U}_z , we need the following technical lemma.

Lemma 4.5. *Let $z \in (0, 1)$. The restriction of \mathcal{N}_z , which was defined in (3.2), to an operator*

$$\mathcal{N}_z: H_e^{1/2}(\mathbb{S}^2) \rightarrow H_e^{1/2}(\mathbb{S}^2)$$

is continuous and bijective with

$$\|\mathcal{N}_z\|_{H^{1/2}(\mathbb{S}^2) \rightarrow H^{1/2}(\mathbb{S}^2)} \leq \sqrt{3}(1-z^2)^{-3/8} \quad (4.8)$$

and

$$\|\mathcal{N}_z^{-1}\|_{H^{1/2}(\mathbb{S}^2) \rightarrow H^{1/2}(\mathbb{S}^2)} \leq \sqrt{2}(1-z^2)^{-1/4}. \quad (4.9)$$

Proof. The structure of this proof is as follows. At first, we consider the Sobolev space $H^1(\mathbb{S}^2)$, where we compute the norms of f and $\mathcal{N}_z f$, from which we subsequently derive that \mathcal{N}_z is continuous on the Sobolev space $H^1(\mathbb{S}^2)$. Afterwards, we see the continuity of the inverse \mathcal{N}_z^{-1} . Hence, $\mathcal{N}_z: H_e^1(\mathbb{S}^2) \rightarrow H_e^1(\mathbb{S}^2)$ is a continuous bijection. In the last part, we utilize interpolation theory to transfer the obtained continuity to the space $H_e^{1/2}(\mathbb{S}^2)$.

The Sobolev norm in H^1 . Let $f \in C^\infty(\mathbb{S}^2)$. In order to show that \mathcal{N}_z is continuous on $H^1(\mathbb{S}^2)$, we use a different characterization of the Sobolev norm (see [12, Theorems 4.12 and 6.12])

$$\|f\|_{H^1(\mathbb{S}^2)}^2 = \|\nabla^* f\|_{L^2(\mathbb{S}^2)}^2 + \frac{1}{4} \|f\|_{L^2(\mathbb{S}^2)}^2,$$

with the surface gradient

$$\nabla^* = \mathbf{e}_\varphi \frac{1}{\sqrt{1-t^2}} \frac{\partial}{\partial \varphi} + \mathbf{e}_t \sqrt{1-t^2} \frac{\partial}{\partial t},$$

where $\mathbf{e}_\varphi = (-\sin \varphi, \cos \varphi, 0)^\top$ and $\mathbf{e}_t = (-t \cos \varphi, -t \sin \varphi, \sqrt{1-t^2})^\top$ are the orthonormal tangent vectors of the sphere with respect to the cylindrical coordinates (φ, t) . Let $f \in C^\infty(\mathbb{S}^2)$. Then we have

$$\|f\|_{H^1(\mathbb{S}^2)}^2 = \int_0^{2\pi} \int_{-1}^1 \left[\frac{1}{4} |f(\boldsymbol{\xi}(\varphi, t))|^2 + \frac{1}{1-t^2} \left| \frac{\partial f(\boldsymbol{\xi}(\varphi, t))}{\partial \varphi} \right|^2 + (1-t^2) \left| \frac{\partial f(\boldsymbol{\xi}(\varphi, t))}{\partial t} \right|^2 \right] dt d\varphi. \quad (4.10)$$

As in the proof of Lemma 4.2, we define

$$u = t \sqrt{\frac{1-z^2}{1-z^2 t^2}},$$

which implies

$$t = \frac{u}{\sqrt{1-z^2 + z^2 u^2}}.$$

Hence,

$$\frac{\partial u}{\partial t} = \frac{\sqrt{1-z^2}}{(1-z^2 t^2)^{3/2}} = \frac{(1-z^2 + z^2 u^2)^{3/2}}{1-z^2}.$$

Furthermore, we set

$$v = \frac{1}{\sqrt{1-z^2 t^2}} = \sqrt{\frac{1-z^2 + z^2 u^2}{1-z^2}},$$

and we have

$$\frac{\partial v}{\partial t} = \frac{z^2 t}{(1-z^2 t^2)^{3/2}} = \frac{z^2 u(1-z^2 + z^2 u^2)}{(1-z^2)^{3/2}}.$$

Hence, we can write

$$\mathcal{N}_z f(\boldsymbol{\xi}(\varphi, t)) = v f(\boldsymbol{\xi}(\varphi, u)).$$

Thus, we have

$$\begin{aligned} \|\nabla^* \mathcal{N}_z f\|_{L^2(\mathbb{S}^2)}^2 &= \int_0^{2\pi} \int_{-1}^1 \left[\frac{v^2}{1-t^2} \left| \frac{\partial f(\boldsymbol{\xi}(\varphi, u))}{\partial \varphi} \right|^2 \right. \\ &\quad \left. + (1-t^2) \left| \frac{\partial v}{\partial t} f(\boldsymbol{\xi}(\varphi, u)) + v \frac{\partial f(\boldsymbol{\xi}(\varphi, u))}{\partial u} \frac{\partial u}{\partial t} \right|^2 \right] dt d\varphi. \end{aligned}$$

By the above formulas for u and v as well as their derivatives, we obtain

$$\begin{aligned} \|\nabla^* \mathcal{N}_z f\|_{L^2(\mathbb{S}^2)}^2 &= \int_0^{2\pi} \int_{-1}^1 \left[\frac{1-z^2+z^2u^2}{1-z^2} \frac{1-z^2+z^2u^2}{(1-z^2)(1-u^2)} \left| \frac{\partial f(\boldsymbol{\xi}(\varphi, u))}{\partial \varphi} \right|^2 \right. \\ &\quad + \frac{(1-z^2)(1-u^2)}{1-z^2+z^2u^2} \left| \frac{z^2u(1-z^2+z^2u^2)}{(1-z^2)^{3/2}} f(\boldsymbol{\xi}(\varphi, u)) \right. \\ &\quad \left. \left. + \sqrt{\frac{1-z^2+z^2u^2}{1-z^2}} \frac{(1-z^2+z^2u^2)^{3/2}}{1-z^2} \frac{\partial f(\boldsymbol{\xi}(\varphi, u))}{\partial u} \right|^2 \right] \\ &\quad \cdot \frac{1-z^2}{(1-z^2+z^2u^2)^{3/2}} du d\varphi \end{aligned}$$

and hence

$$\begin{aligned} \|\nabla^* \mathcal{N}_z f\|_{L^2(\mathbb{S}^2)}^2 &= \int_0^{2\pi} \int_{-1}^1 \left[\frac{\sqrt{1-z^2+z^2u^2}}{(1-z^2)(1-u^2)} \left| \frac{\partial f(\boldsymbol{\xi}(\varphi, u))}{\partial \varphi} \right|^2 \right. \\ &\quad \left. + \frac{1-u^2}{1-z^2} \left| \frac{z^2u f(\boldsymbol{\xi}(\varphi, u))}{(1-z^2+z^2u^2)^{1/4}} + (1-z^2+z^2u^2)^{3/4} \frac{\partial f(\boldsymbol{\xi}(\varphi, u))}{\partial u} \right|^2 \right] du d\varphi. \end{aligned} \quad (4.11)$$

Boundedness on H^1 . By Lemma 4.2, we know that \mathcal{N}_z is bounded on $L^2(\mathbb{S}^2)$, i.e., $\|\mathcal{N}_z f\|_{L^2(\mathbb{S}^2)} \leq (1-z^2)^{-1/4} \|f\|_{L^2(\mathbb{S}^2)}$. In order to prove the boundedness \mathcal{N}_z of in $H^1(\mathbb{S}^2)$, we still have to show that $\|\nabla^* \mathcal{N}_z f\|_{L^2(\mathbb{S}^2)}$ is bounded by a multiple of $\|f\|_{H^1(\mathbb{S}^2)}$. By (4.11) and the inequality $|a+b|^2 \leq 2|a|^2 + 2|b|^2$ for all $a, b \in \mathbb{C}$, we have the upper bound

$$\begin{aligned} \|\nabla^* \mathcal{N}_z f\|_{L^2(\mathbb{S}^2)}^2 &\leq \int_0^{2\pi} \int_{-1}^1 \left[\frac{2z^4u^2(1-u^2) |f(\boldsymbol{\xi}(\varphi, u))|^2}{\sqrt{1-z^2+z^2u^2}(1-z^2)} + \frac{\sqrt{1-z^2+z^2u^2}}{(1-z^2)(1-u^2)} \left| \frac{\partial f(\boldsymbol{\xi}(\varphi, u))}{\partial \varphi} \right|^2 \right. \\ &\quad \left. + \frac{2(1-z^2+z^2u^2)^{3/2}(1-u^2)}{1-z^2} \left| \frac{\partial f(\boldsymbol{\xi}(\varphi, u))}{\partial u} \right|^2 \right] du d\varphi. \end{aligned}$$

We denote the coefficients of f and its derivatives in the integrand of the last equation with $\alpha_z(u)$, $\beta_z(u)$ and $\gamma_z(u)$, such that

$$\begin{aligned} \|\nabla^* \mathcal{N}_z f\|_{L^2(\mathbb{S}^2)}^2 &\leq \int_0^{2\pi} \int_{-1}^1 \left[\alpha_z(u) |f(\boldsymbol{\xi}(\varphi, u))|^2 + \beta_z(u) \left| \frac{\partial f(\boldsymbol{\xi}(\varphi, u))}{\partial \varphi} \right|^2 \right. \\ &\quad \left. + \gamma_z(u) \left| \frac{\partial f(\boldsymbol{\xi}(\varphi, u))}{\partial u} \right|^2 \right] du d\varphi. \end{aligned} \quad (4.12)$$

Comparing (4.12) with (4.10), we obtain

$$\|\nabla^* \mathcal{N}_z f\|_{L^2(\mathbb{S}^2)}^2 \leq \sup_{u \in (-1, 1)} \left(\max \left\{ 4\alpha_z(u), (1-u^2)\beta_z(u), \frac{\gamma_z(u)}{1-u^2} \right\} \right) \|f\|_{H^1(\mathbb{S}^2)}^2.$$

Thus, if the arguments of the maximum in the previous equation are bounded uniformly with respect to $u \in (-1, 1)$, it follows that the operator \mathcal{N}_z is bounded on $H^1(\mathbb{S}^2)$, since the space $C^\infty(\mathbb{S}^2)$ is dense in $H^1(\mathbb{S}^2)$. We see that the three terms

$$\begin{aligned} 4\alpha_z(u) &= \frac{8z^4u^2(1-u^2)}{\sqrt{1-(1-u^2)z^2}(1-z^2)} \\ &\leq \frac{8z^4u^2(1-u^2)}{\sqrt{1-(1-u^2)}(1-z^2)} = \frac{8z^4|u|(1-u^2)}{1-z^2} \leq \frac{8}{1-z^2} \end{aligned} \quad (4.13a)$$

and

$$(1 - u^2)\beta_z(u) = \frac{\sqrt{1 - z^2 + z^2u^2}}{1 - z^2} \leq \frac{1}{1 - z^2} \quad (4.13b)$$

and

$$\frac{\gamma_z(u)}{1 - u^2} = \frac{2(1 - z^2 + z^2u^2)^{3/2}}{1 - z^2} \leq \frac{2}{1 - z^2} \quad (4.13c)$$

are bounded independently of $u \in (-1, 1)$. Putting together the maximum of the three terms with Lemma 4.2, we obtain

$$\|\mathcal{N}_z\|_{H^1(\mathbb{S}^2) \rightarrow H^1(\mathbb{S}^2)} \leq \frac{3}{\sqrt{1 - z^2}}. \quad (4.14)$$

Surjectivity on H^1 . Lemma 4.2 implies that \mathcal{N}_z is injective. For proving that $\mathcal{N}_z: H^1(\mathbb{S}^2) \rightarrow H^1(\mathbb{S}^2)$ is surjective, it is sufficient to show that the inverse operator \mathcal{N}_z^{-1} restricted to $H^1(\mathbb{S}^2)$ is continuous on $H^1(\mathbb{S}^2)$. Let $g \in C^\infty(\mathbb{S}^2)$, which is dense in $H^1(\mathbb{S}^2)$. With a computation that is similar to the first part of the proof and therefore skipped, we can obtain

$$\begin{aligned} \|\mathcal{N}_z^{-1}g\|_{H^1(\mathbb{S}^2)}^2 &= \int_0^{2\pi} \int_{-1}^1 \left[\frac{\sqrt{1 - z^2}}{4\sqrt{1 - z^2t^2}} |g(\xi(\varphi, t))|^2 + \frac{\sqrt{1 - z^2}\sqrt{1 - z^2t^2}}{1 - t^2} \left| \frac{\partial g(\xi(\varphi, t))}{\partial \varphi} \right|^2 \right. \\ &\quad \left. + \frac{1 - t^2}{\sqrt{1 - z^2}\sqrt{1 - z^2t^2}} \left| (1 - z^2t^2) \frac{\partial g(\xi(\varphi, t))}{\partial t} - tz^2g(\xi(\varphi, t)) \right|^2 \right] dt d\varphi, \end{aligned}$$

which is bounded by

$$\begin{aligned} \|\mathcal{N}_z^{-1}g\|_{H^1(\mathbb{S}^2)}^2 &\leq \int_0^{2\pi} \int_{-1}^1 \left[\left(\frac{\sqrt{1 - z^2}}{4\sqrt{1 - z^2t^2}} + \frac{2t^2z^4(1 - t^2)}{\sqrt{1 - z^2}\sqrt{1 - z^2t^2}} \right) |g(\xi(\varphi, t))|^2 \right. \\ &\quad \left. + \frac{\sqrt{1 - z^2}\sqrt{1 - z^2t^2}}{1 - t^2} \left| \frac{\partial g(\xi(\varphi, t))}{\partial \varphi} \right|^2 + \frac{(1 - t^2)(1 - z^2t^2)^2}{\sqrt{1 - z^2}\sqrt{1 - z^2t^2}} \left| \frac{\partial g(\xi(\varphi, t))}{\partial t} \right|^2 \right] dt d\varphi. \end{aligned}$$

We proceed as in the previous part and denote the coefficients of g and its derivatives with $\tilde{\alpha}_z(t)$, $\tilde{\beta}_z(t)$ and $\tilde{\gamma}_z(t)$. We have analogously to (4.13)

$$\begin{aligned} 4\tilde{\alpha}_z(t) &= \frac{\sqrt{1 - z^2}}{\sqrt{1 - z^2t^2}} + \frac{8t^2z^4(1 - t^2)}{\sqrt{1 - z^2}\sqrt{1 - z^2t^2}} \leq 1 + \frac{8t^2z^4\sqrt{1 - t^2}}{\sqrt{1 - z^2}} \leq \frac{9}{\sqrt{1 - z^2}}, \\ (1 - t^2)\tilde{\beta}_z(t) &= \sqrt{1 - z^2}\sqrt{1 - z^2t^2} \leq 1, \\ \frac{\tilde{\gamma}_z(t)}{1 - t^2} &= \frac{2(1 - z^2t^2)^2}{\sqrt{1 - z^2}\sqrt{1 - z^2t^2}} = \frac{2(1 - z^2t^2)^{3/2}}{\sqrt{1 - z^2}} \leq \frac{2}{\sqrt{1 - z^2}}, \end{aligned}$$

which implies

$$\|\mathcal{N}_z^{-1}g\|_{H^1(\mathbb{S}^2)} \leq 3(1 - z^2)^{-1/4} \|g\|_{H^1(\mathbb{S}^2)}. \quad (4.15)$$

Interpolation to $H^{1/2}$. Every function f in the Sobolev spaces $H^s(\mathbb{S}^2)$ can be identified with the sequence of its Fourier coefficients $\hat{f}(n, k)$, $(n, k) \in I$. Hence, the Sobolev space $H^s(\mathbb{S}^2)$ is isometrically isomorphic to a weighted L^2 -space on the set I with the counting measure μ and the weight $w_s(n, k) = (n + \frac{1}{2})^s$, i.e.

$$H^s(\mathbb{S}^2) \cong L_{w_s}^2(I; \mu) = \left\{ \hat{f} \in L^2(I; \mu) \left| \|\hat{f}\|_{L_{w_s}^2(I; \mu)}^2 = \sum_{(n, k) \in I} |\hat{f}(n, k)|^2 w_s(n, k)^2 < \infty \right. \right\}.$$

For $0 \leq s \leq t$ and $\theta \in [0, 1]$, we can compute the interpolation space

$$[L_{w_s}^2(I; \mu), L_{w_t}^2(I; \mu)]_\theta = L_w^2(I; \mu),$$

where

$$w(n, k) = w_s(n, k)^{1-\theta} w_t(n, k)^\theta = \left(n + \frac{1}{2}\right)^{(1-\theta)s + \theta t} = w_{(1-\theta)s + \theta t}(n, k),$$

see [25, Theorem 1.18.5]. So, for $s = 0$, $t = 1$ and $\theta = 1/2$, the space $H^{1/2}(\mathbb{S}^2)$ is an interpolation space between $H^0(\mathbb{S}^2)$ and $H^1(\mathbb{S}^2)$. By Lemma 4.2 and the first part of this proof, the operator \mathcal{N}_z is continuous on both $H^0(\mathbb{S}^2) \rightarrow H^0(\mathbb{S}^2)$ and $H^1(\mathbb{S}^2) \rightarrow H^1(\mathbb{S}^2)$ with norms given in (4.3) and (4.14), respectively. Hence, it is also continuous $H^{1/2}(\mathbb{S}^2) \rightarrow H^{1/2}(\mathbb{S}^2)$ with

$$\|\mathcal{N}_z\|_{H^{1/2}(\mathbb{S}^2) \rightarrow H^{1/2}(\mathbb{S}^2)} \leq \|\mathcal{N}_z\|_{L^2(\mathbb{S}^2) \rightarrow L^2(\mathbb{S}^2)}^{1/2} \|\mathcal{N}_z\|_{H^1(\mathbb{S}^2) \rightarrow H^1(\mathbb{S}^2)}^{1/2} \leq \sqrt{3}(1-z^2)^{-3/8},$$

which shows (4.8). Also by interpolation between L^2 and H^1 and the respective norms from (4.3) and (4.15), the inverse operator \mathcal{N}_z^{-1} is continuous with

$$\|\mathcal{N}_z^{-1}\|_{H^{1/2}(\mathbb{S}^2) \rightarrow H^{1/2}(\mathbb{S}^2)} \leq \sqrt{2}(1-z^2)^{-1/4}.$$

which shows (4.9).

In order to obtain the claimed result on $H_e^{1/2}(\mathbb{S}^2)$, it is left to show that \mathcal{N}_z is invariant for even functions. This follows from the fact that an even function plugged into (3.2) and (4.4) for \mathcal{N}_z and \mathcal{N}_z^{-1} , respectively, yields again an even function. \blacksquare

Theorem 4.6. *Let $z \in [0, 1)$. Define $\tilde{L}_{e,z}^2(\mathbb{S}^2)$ as the subspace of $L^2(\mathbb{S}^2)$ of functions satisfying*

$$f(\xi(\varphi, t)) = f\left(\xi\left(\varphi + \pi, \frac{t - 2z + tz^2}{2tz - 1 - z^2}\right)\right) \frac{1 - z^2}{1 + z^2 - 2tz}$$

almost everywhere on \mathbb{S}^2 . The spherical transform

$$\mathcal{U}_z: \tilde{L}_{e,z}^2(\mathbb{S}^2) \rightarrow H_e^{1/2}(\mathbb{S}^2)$$

is linear, continuous and bijective.

Proof. This proof is based on the decomposition $\mathcal{U}_z = \mathcal{N}_z \mathcal{F} \mathcal{M}_z$ derived in Theorem 3.1. Analogously to the proof of Theorem 4.4, we see that $\mathcal{M}_z^{-1} L_e^2(\mathbb{S}^2) = \tilde{L}_{e,z}^2(\mathbb{S}^2)$. Furthermore, the operator $\mathcal{M}_z: \tilde{L}_{e,z}^2(\mathbb{S}^2) \rightarrow L_e^2(\mathbb{S}^2)$ is continuous and bijective by Lemma 4.1. It is well-known that the Funk–Radon transform

$$\mathcal{F}: L_e^2(\mathbb{S}^2) \rightarrow H_e^{1/2}(\mathbb{S}^2)$$

is bijective and continuous, cf. [24, Lemma 4.3]. In Lemma 4.5, we have seen that $\mathcal{N}_z: H_e^{1/2}(\mathbb{S}^2) \rightarrow H_e^{1/2}(\mathbb{S}^2)$ is continuous and bijective. \blacksquare

5 An inversion formula

In the following theorem, we give an inversion formula for the spherical transform \mathcal{U}_z . This formula is based on the work of Helgason [8, Section III.1.C], who proved that every even function f can be reconstructed from its Funk–Radon transform $\mathcal{F}f$ via

$$f(\eta) = \frac{1}{2\pi} \frac{d}{du} \int_0^u \int_{\langle \xi, \eta \rangle^2 = 1-w^2} \mathcal{F}f(\xi) d\ell(\xi) \frac{1}{\sqrt{u^2 - w^2}} dw \Big|_{u=1}, \quad \eta \in \mathbb{S}^2. \quad (5.1)$$

Theorem 5.1. Let $z \in [0, 1)$ and $f \in \tilde{L}_{e,z}^2(\mathbb{S}^2)$. Then for $\boldsymbol{\eta}(\psi, v) \in \mathbb{S}^2$

$$f(\boldsymbol{\eta}(\psi, v)) = \frac{1-z^2}{2\pi(1-zv)} \frac{d}{du} \int_0^u \int_{\mathcal{S}_z(v,w)} \frac{\mathcal{U}_z f \left(\boldsymbol{\xi} \left(\varphi, \frac{t}{\sqrt{1-z^2+z^2t^2}} \right) \right)}{\sqrt{1-z^2+z^2t^2}} d\ell(\boldsymbol{\xi}(\varphi, t)) \frac{dw}{\sqrt{u^2-w^2}} \Big|_{u=1},$$

where $d\ell$ is the arc-length on the circle

$$\mathcal{S}_z(v, w) = \left\{ \boldsymbol{\xi} \in \mathbb{S}^2 \mid \left\langle \boldsymbol{\xi}, \boldsymbol{\eta} \left(\psi, \frac{z-v}{zv-1} \right) \right\rangle = \sqrt{1-w^2} \right\}.$$

Proof. We set $g = \mathcal{U}_z f$. By the decomposition from Theorem 3.1 together with Lemma 4.1, we have

$$\begin{aligned} f(\boldsymbol{\eta}(\psi, v)) &= \mathcal{M}_z^{-1} \mathcal{F}^{-1} \mathcal{N}_z^{-1} g(\boldsymbol{\eta}(\psi, v)) \\ &= \frac{\sqrt{1-z^2}}{1-zv} \mathcal{F}^{-1} \mathcal{N}_z^{-1} g \left(\boldsymbol{\eta} \left(\psi, \frac{v-z}{1-zv} \right) \right). \end{aligned} \quad (5.2)$$

By Helgason's formula (5.1), we obtain

$$f(\boldsymbol{\eta}(\psi, v)) = \frac{\sqrt{1-z^2}}{2\pi(1-zv)} \frac{d}{du} \int_0^u \int_{\langle \boldsymbol{\xi}, \boldsymbol{\eta}(\psi, \frac{v-z}{1-zv}) \rangle^2 = 1-w^2} \mathcal{N}_z^{-1} g(\boldsymbol{\xi}) d\ell(\boldsymbol{\xi}) \frac{dw}{\sqrt{u^2-w^2}} \Big|_{u=1}.$$

Plugging (4.4) into the above equation, we conclude that

$$f(\boldsymbol{\eta}(\psi, v)) = \frac{1-z^2}{2\pi(1-zv)} \frac{d}{du} \int_0^u \int_{\mathcal{S}_z(v,w)} \frac{g \left(\boldsymbol{\xi} \left(\varphi, \frac{t}{\sqrt{1-z^2+z^2t^2}} \right) \right)}{\sqrt{1-z^2+z^2t^2}} d\ell(\boldsymbol{\xi}(\varphi, t)) \frac{dw}{\sqrt{u^2-w^2}} \Big|_{u=1}. \quad \blacksquare$$

The inversion of the Funk–Radon transform \mathcal{F} is a well-studied problem. Instead of Helgason's formula we used for Theorem 5.1, other inversion schemes of \mathcal{F} could also be applied to (5.2), like the reconstruction formulas in [7, 18, 14, 4]. For the numerical inversion of the Funk–Radon transform, Louis et al. [11] proposed the mollifier method, which was used with locally supported mollifiers in [17]. The mollifier method was combined with the spherical Fourier transform leading to fast algorithms in [9]. Variational splines were suggested by Pesenson [15].

6 Relation with the stereographic projection

In this section, we take a closer look at the inversion method of the spherical transform used by Salman [21] and describe its connection with our approach. His proof relies on the stereographic projection $\boldsymbol{\pi}: \mathbb{S}^2 \rightarrow \mathbb{R}^2$. In cylindrical coordinates (2.1) on the sphere and polar coordinates

$$\boldsymbol{x}(r, \phi) = (r \cos \phi, r \sin \phi)^\top \in \mathbb{R}^2$$

in the plane \mathbb{R}^2 , the stereographic projection is expressed by

$$\boldsymbol{\pi}(\boldsymbol{\xi}(\varphi, t)) = \boldsymbol{x} \left(\sqrt{\frac{1+t}{1-t}}, \varphi \right)$$

and conversely

$$\boldsymbol{\pi}^{-1}(\boldsymbol{x}(r, \phi)) = \boldsymbol{\xi} \left(\phi, \frac{r^2-1}{r^2+1} \right).$$

Proposition 6.1. For $z \in [0, 1)$, define

$$\sigma_z = \sqrt{\frac{1+z}{1-z}}. \quad (6.1)$$

Let $f \in C^\infty(\mathbb{S}^2)$ be a smooth function supported strictly inside the spherical cap $\{\boldsymbol{\xi} \in \mathbb{S}^2 \mid \xi_3 < z\}$. Then f can be reconstructed from $\mathcal{U}_z f$ via

$$\begin{aligned} & (f \circ \pi^{-1}) \left(\frac{2\sigma_z}{1 + \sqrt{1 + 4\|\mathbf{x}\|^2}} \mathbf{x} \right) \\ &= \frac{\sqrt{1 + 4\|\mathbf{x}\|^2} \left((1-z) \left(1 + \sqrt{1 + 4\|\mathbf{x}\|^2} \right) + 4(1+z)\|\mathbf{x}\|^2 \right)}{8\pi \left(1 + \sqrt{1 + 4\|\mathbf{x}\|^2} \right)} \\ & \quad \Delta_{\mathbf{x}} \int_{-\pi}^{\pi} \int_0^{\pi/2} \frac{\mathcal{U}_z f(\boldsymbol{\xi}(\varphi, \sin \theta)) \log \left| x_1 \cos \varphi + x_2 \sin \varphi - \frac{1}{2} \sqrt{1-z^2} \tan \theta \right|}{\cos \theta} d\theta d\varphi, \end{aligned} \quad (6.2)$$

where $\Delta_{\mathbf{x}} = \frac{\partial^2}{\partial x_1^2} + \frac{\partial^2}{\partial x_2^2}$ is the Laplacian with respect to $\mathbf{x} = (x_1, x_2)^\top \in \mathbb{R}^2$.

The inversion formula (6.2) was derived in [21] by considering the function $f \circ \pi^{-1} \circ \sigma_z$, where $\sigma_z: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ is the uniform scaling in the plane defined by $\sigma_z \mathbf{x} = \sigma_z \mathbf{x}$ with the scaling factor σ_z given in (6.1). By the transformation

$$\pi^{-1} \circ \sigma_z: \mathbb{R}^2 \rightarrow \mathbb{S}^2,$$

every circle in the plane that intersects the unit circle $\{\mathbf{x} \in \mathbb{R}^2 \mid x_1^2 + x_2^2 = 1\}$ in two antipodal points of the unit circle is mapped to a circle on the sphere passing through ζ_z and vice versa. Afterwards, an inversion formula is applied to the function $f \circ \pi^{-1} \circ \sigma_z$ for the Radon-like transform that integrates a function along the circles intersecting the unit circle in antipodal points.

In this light, we can look in a different way at proof of Theorem 3.1. There we have considered $f \circ \mathbf{h}_z$. The transformation \mathbf{h}_z from (3.9) can be written in terms of the stereographic projection as

$$\mathbf{h}_z = \pi^{-1} \circ \sigma_z \circ \pi.$$

Indeed, we have for any $\boldsymbol{\xi}(\varphi, t) \in \mathbb{S}^2$

$$\begin{aligned} \pi^{-1} \circ \sigma_z \circ \pi(\boldsymbol{\xi}(\varphi, t)) &= \pi^{-1} \left(\mathbf{x} \left(\sqrt{\frac{1+z}{1-z}} \sqrt{\frac{1+t}{1-t}}, \varphi \right) \right) \\ &= \boldsymbol{\xi} \left(\varphi, \frac{\frac{1+z}{1-z} \frac{1+t}{1-t} - 1}{\frac{1+z}{1-z} \frac{1+t}{1-t} + 1} \right) = \boldsymbol{\xi} \left(\varphi, \frac{t+z}{1+tz} \right) = \mathbf{h}_z(\boldsymbol{\xi}). \end{aligned}$$

So, like Salman, we first perform the stereographic projection π followed by the scaling σ_z^{-1} in the plane. But then, we use the inverse stereographic projection π^{-1} to come back to the sphere.

Both the stereographic projection π and the scaling σ_z map circles onto circles. Therefore, \mathbf{h}_z also maps circles to circles. Furthermore, the stereographic projection maps great circles on the sphere to circles that intersect the unit circle in two antipodal points. This way, we have found another way to prove that the transformation \mathbf{h}_z maps great circles onto circles through ζ_z .

7 Continuity results

In our previous considerations, we have left out $z = 1$. The spherical slice transform \mathcal{U}_1 computes the mean values along all circles passing through the north pole $(0, 0, 1)^\top$. In this section, we look at the continuity of \mathcal{U}_z with respect to z and use this continuity to give an injectivity result for \mathcal{U}_1 .

7.1 Continuity on $C(\mathbb{S}^2)$

Lemma 7.1 (Parametrization of the circles of integration). *Let $z \in [0, 1]$ and $\xi(\varphi, t) \in \mathbb{S}^2$. Then*

$$\mathcal{U}_z f(\xi) = \frac{1}{2\pi} \int_0^{2\pi} f(C_{z\xi_3}^\xi(\alpha)) d\alpha,$$

where for $x \in [-1, 1]$

$$C_x^{\xi(\varphi, t)}(\alpha) = \eta \left(\arctan \left(\frac{\sqrt{1-x^2} \sin \alpha}{t\sqrt{1-x^2} \cos \alpha + x\sqrt{1-t^2}} \right) + \varphi, xt - \sqrt{1-t^2} \sqrt{1-x^2} \cos \alpha \right). \quad (7.1)$$

Proof. We derive a parametric representation of the circles

$$\mathcal{C}(\xi, x) = \{C_x^\xi(\alpha) \mid \alpha \in [0, 2\pi)\}, \quad \xi \in \mathbb{S}^2, x \in [-1, 1].$$

If $\xi = e^3$ is the north pole, $\mathcal{C}(e^3, x)$ is the circle of latitude x , which can be parameterized in Cartesian coordinates by

$$C_x^{e^3}(\alpha) = \begin{pmatrix} \sqrt{1-x^2} \cos \alpha \\ \sqrt{1-x^2} \sin \alpha \\ x \end{pmatrix}. \quad (7.2)$$

We rotate $C_x^{e^3}$ about the ξ_2 -axis with the angle $\arccos(t)$ by multiplication with the respective rotation matrix and obtain

$$C_x^{\xi(0, t)}(\alpha) = \begin{pmatrix} t & 0 & \sqrt{1-t^2} \\ 0 & 1 & 0 \\ -\sqrt{1-t^2} & 0 & t \end{pmatrix} C_x^{e^3}(\alpha) = \begin{pmatrix} t\sqrt{1-x^2} \cos \alpha + x\sqrt{1-t^2} \\ \sqrt{1-x^2} \sin \alpha \\ -\sqrt{1-t^2} \sqrt{1-x^2} \cos \alpha + xt \end{pmatrix}.$$

Switching back to cylindrical coordinates, we rotate $C_x^{\xi(0, t)}$ about the north-south axis with the angle φ and obtain (7.1). \blacksquare

In the following theorem, we show the continuity of the spherical transform \mathcal{U}_z with respect to z on the set of continuous functions $C(\mathbb{S}^2)$. The geodesic distance of two points $\xi, \eta \in \mathbb{S}^2$ is given by

$$d(\xi, \eta) = \arccos(\langle \xi, \eta \rangle).$$

Theorem 7.2. *Let $f \in C(\mathbb{S}^2)$. Then for $z, w \in [0, 1]$,*

$$\lim_{z \rightarrow w} \|\mathcal{U}_z f - \mathcal{U}_w f\|_{L^\infty(\mathbb{S}^2)} = 0. \quad (7.3)$$

Proof. By Lemma 7.1,

$$|\mathcal{U}_z f(\xi) - \mathcal{U}_w f(\xi)| \leq \frac{1}{2\pi} \int_0^{2\pi} \left| f(C_{z\xi_3}^\xi(\alpha)) - f(C_{w\xi_3}^\xi(\alpha)) \right| d\alpha. \quad (7.4)$$

Let $\delta > 0$. Since f is uniformly continuous on \mathbb{S}^2 , there exists a $\mu > 0$ such that

$$\left| f(C_{z\xi_3}^\xi(\alpha)) - f(C_{w\xi_3}^\xi(\alpha)) \right| < \delta \quad \text{whenever} \quad d(C_{z\xi_3}^\xi(\alpha), C_{w\xi_3}^\xi(\alpha)) < \mu.$$

The circles $C_{z\xi_3}^\xi$ and $C_{w\xi_3}^\xi$ can be rotated to circles of latitude as in the proof of Lemma 7.1, so

$$d(C_{z\xi_3}^\xi(\alpha), C_{w\xi_3}^\xi(\alpha)) = d(C_{z\xi_3}^{e^3}(\alpha), C_{w\xi_3}^{e^3}(\alpha)) = |\arccos(z\xi_3) - \arccos(w\xi_3)|. \quad (7.5)$$

Since the map $(z, \xi_3) \mapsto \arccos(z\xi_3)$ is uniformly continuous on $[0, 1] \times [-1, 1]$, there exists a $\nu > 0$ such that

$$d(C_{z\xi_3}^\xi(\alpha), C_{w\xi_3}^\xi(\alpha)) < \mu \quad \text{whenever} \quad |z - w| < \nu. \quad \blacksquare$$

7.2 Injectivity of \mathcal{U}_1

We have seen that the spherical transform \mathcal{U}_z is continuous with respect to $z \in [0, 1]$ and injective for $z < 1$ on functions vanishing in a certain neighborhood of the north pole. Therefore, it would be natural to assume that also \mathcal{U}_1 is injective for functions vanishing around the north pole. In order to show the injectivity of \mathcal{U}_1 , we restrict ourselves to Lipschitz continuous functions vanishing around the north pole, which enables us to obtain a bound of $\|\mathcal{U}_z f - \mathcal{U}_1 f\|_{H^{1/2}(\mathbb{S}^2)}$ in terms of z . This corresponds to a more general result from [5], where it was shown in a different way that the spherical slice transform \mathcal{U}_1 is injective for functions in $L^2(\mathbb{S}^2)$ vanishing around the north pole.

A function $f \in C(\mathbb{S}^2)$ is called Lipschitz continuous if there exists a constant L_f such that for all $\xi, \tilde{\xi} \in \mathbb{S}^2$

$$|f(\xi) - f(\tilde{\xi})| \leq L_f \cdot d(\xi, \tilde{\xi}).$$

For $\epsilon > 0$, denote with $C_\epsilon(\mathbb{S}^2)$ and $L_\epsilon^2(\mathbb{S}^2)$ the subspace of functions in $C(\mathbb{S}^2)$ or $L^2(\mathbb{S}^2)$, respectively, that vanish on the spherical cap $\{\eta \in \mathbb{S}^2 \mid \eta_3 > 1 - \epsilon\}$.

Theorem 7.3. *For $\epsilon > 0$, let $f \in C_\epsilon(\mathbb{S}^2)$ be Lipschitz continuous and $\mathcal{U}_1 f = 0$. Then $f = 0$.*

The proof of Theorem 7.3 relies on the following observation. For $z \in (1 - \epsilon/2, 1)$, Theorem 4.6 shows that \mathcal{U}_z is injective on $L_\epsilon^2(\mathbb{S}^2)$ and we have

$$\|f\|_{L^2(\mathbb{S}^2)} = \|\mathcal{U}_z^{-1} \mathcal{U}_z f\|_{L^2(\mathbb{S}^2)} \leq \|\mathcal{U}_z^{-1}\|_{H_\epsilon^{1/2}(\mathbb{S}^2) \rightarrow L^2(\mathbb{S}^2)} \|\mathcal{U}_z f\|_{H_\epsilon^{1/2}(\mathbb{S}^2)}. \quad (7.6)$$

Before we come to the proof that $f = 0$, we derive a bound of $\|\mathcal{U}_z f - \mathcal{U}_1 f\|_{H^{1/2}(\mathbb{S}^2)}$ by a power of $(1 - z)$.

The following lemma shows that $\mathcal{U}_z f$ vanishes around the north pole if $f \in C_\epsilon(\mathbb{S}^2)$ and $z > 1 - \epsilon$.

Lemma 7.4. *Let $\epsilon \in (0, 1)$, $f \in C_\epsilon(\mathbb{S}^2)$ and $z > 1 - \epsilon$. Then $\mathcal{U}_z f(\xi) = 0$ for all $\xi \in \mathbb{S}^2$ satisfying*

$$\xi_3^2 > \frac{2\epsilon - \epsilon^2}{1 - 2z + 2\epsilon z + z^2}. \quad (7.7)$$

Particularly, the spherical slice transform $\mathcal{U}_1 f(\xi)$ vanishes for $\xi_3^2 > 1 - \epsilon/2$.

Proof. By the parametrization (7.1), the southmost point of the circle $\mathcal{C}(\xi, z\xi_3)$ has the latitude $z\xi_3^2 - \sqrt{1 - \xi_3^2} \sqrt{1 - z^2 \xi_3^2}$. Hence, $\mathcal{U}_z f(\xi)$ must vanish for all ξ_3 satisfying $z\xi_3^2 - \sqrt{1 - \xi_3^2} \sqrt{1 - z^2 \xi_3^2} > 1 - \epsilon$, which can be rewritten as

$$(z\xi_3^2 - 1 + \epsilon)^2 > (1 - \xi_3^2)(1 - z^2 \xi_3^2).$$

Expanding and collecting terms yields (7.7). \blacksquare

Lemma 7.5. *Let $\epsilon \in (0, 1)$ and $f \in C_\epsilon(\mathbb{S}^2)$ be Lipschitz continuous with constant L_f . Then for all $z \in [1 - \epsilon/2, 1]$*

$$\|\mathcal{U}_z f - \mathcal{U}_1 f\|_{L^\infty(\mathbb{S}^2)} \leq (1 - z) L_f \sqrt{\frac{8 - 4\epsilon}{\epsilon}}. \quad (7.8)$$

Proof. Let $\boldsymbol{\xi} \in \mathbb{S}^2$ and $z, w \in [0, 1]$. We have by Lemma 7.1 and because f is Lipschitz continuous

$$\begin{aligned} |\mathcal{U}_z f(\boldsymbol{\xi}) - \mathcal{U}_w f(\boldsymbol{\xi})| &\leq \frac{1}{2\pi} \int_0^{2\pi} \left| f(C_{z\xi_3}^\xi(\alpha)) - f(C_{w\xi_3}^\xi(\alpha)) \right| d\alpha \\ &\leq L_f \frac{1}{2\pi} \int_0^{2\pi} d(C_{z\xi_3}^\xi(\alpha), C_{w\xi_3}^\xi(\alpha)) d\alpha. \end{aligned} \quad (7.9)$$

By (7.5),

$$d(C_{z\xi_3}^\xi(\alpha), C_{w\xi_3}^\xi(\alpha)) = |\arccos(z\xi_3) - \arccos(w\xi_3)| \leq (1-z) \frac{|\xi_3|}{\sqrt{1-\xi_3^2}},$$

where the last inequality follows by the mean value theorem applied to the arccosine with

$$\left| \frac{\partial}{\partial z} \arccos(z\xi_3) \right| = \left| \frac{-\xi_3}{\sqrt{1-z^2\xi_3^2}} \right| \leq \frac{|\xi_3|}{\sqrt{1-\xi_3^2}}.$$

Because $f \in C_\epsilon(\mathbb{S}^2)$ and $z > 1 - \epsilon$, Corollary 7.4 implies that for $\xi_3^2 \geq \frac{2\epsilon - \epsilon^2}{1+z^2-2z(1-\epsilon)}$, we have $\mathcal{U}_z f(\boldsymbol{\xi}) = 0$ and $\mathcal{U}_1 f(\boldsymbol{\xi}) = 0$. For $\xi_3^2 \leq \frac{2\epsilon - \epsilon^2}{1+z^2-2z(1-\epsilon)}$, we have

$$|\mathcal{U}_z f(\boldsymbol{\xi}) - \mathcal{U}_1 f(\boldsymbol{\xi})| \leq L_f(1-z) \sqrt{\frac{2\epsilon - \epsilon^2}{1+z^2-2z+2z\epsilon-2\epsilon+\epsilon^2}} = L_f(1-z) \frac{\sqrt{2\epsilon - \epsilon^2}}{z + \epsilon - 1}.$$

The claim (7.8) follows since $z \geq 1 - \epsilon/2$ and the denominator $z + \epsilon - 1$ in the last equation is monotone in z . \blacksquare

Lemma 7.6. *Let $z \in [0, 1]$ and $f \in C(\mathbb{S}^2)$ be Lipschitz continuous with constant L_f . Then $\mathcal{U}_z f$ is differentiable almost everywhere with*

$$\|\nabla^* \mathcal{U}_z f(\boldsymbol{\xi})\| \leq 2L_f.$$

Proof. Let $\boldsymbol{\xi}(\varphi, t), \boldsymbol{\eta}(\psi, u) \in \mathbb{S}^2$. As in (7.9), the Lipschitz continuity implies

$$|\mathcal{U}_z f(\boldsymbol{\xi}(\varphi, t)) - \mathcal{U}_z f(\boldsymbol{\eta}(\psi, u))| \leq L_f \frac{1}{2\pi} \int_0^{2\pi} d\left(C_{zt}^{\boldsymbol{\xi}(\varphi, t)}(\alpha), C_{zu}^{\boldsymbol{\eta}(\psi, u)}(\alpha)\right) d\alpha.$$

By the triangle inequality and (7.5),

$$\begin{aligned} d\left(C_{zt}^{\boldsymbol{\xi}(\varphi, t)}(\alpha), C_{zu}^{\boldsymbol{\eta}(\psi, u)}(\alpha)\right) &\leq d\left(C_{zt}^{\boldsymbol{\xi}(\varphi, t)}(\alpha), C_{zu}^{\boldsymbol{\xi}(\varphi, t)}(\alpha)\right) + d\left(C_{zu}^{\boldsymbol{\xi}(\varphi, t)}(\alpha), C_{zu}^{\boldsymbol{\eta}(\psi, u)}(\alpha)\right) \\ &= |\arccos(zt) - \arccos(zu)| + d(\boldsymbol{\xi}(\varphi, t), \boldsymbol{\eta}(\psi, u)). \end{aligned}$$

Because $\mathcal{U}_z f$ is even, it suffices to consider $0 \leq t \leq u$. Then

$$|\arccos(zt) - \arccos(zu)| = \arccos(zt) - \arccos(zu) \leq \arccos(t) - \arccos(u),$$

where the last inequality follows because the derivative

$$\frac{\partial}{\partial z} (\arccos(zt) - \arccos(zu)) = \frac{u\sqrt{1-z^2t^2} - t\sqrt{1-z^2u^2}}{\sqrt{1-z^2u^2}\sqrt{1-z^2t^2}}$$

is positive whenever $t^2(1-z^2u^2) < u^2(1-z^2t^2)$, which is equivalent to $t^2 < u^2$. Moreover,

$$|\arccos(t) - \arccos(u)| = d(\boldsymbol{\xi}(\varphi, t), \boldsymbol{\xi}(\varphi, u)) \leq d(\boldsymbol{\xi}(\varphi, t), \boldsymbol{\eta}(\psi, u)).$$

Collecting the previous calculations, we have

$$|\mathcal{U}_z f(\boldsymbol{\xi}) - \mathcal{U}_z f(\boldsymbol{\eta})| \leq 2d(\boldsymbol{\xi}, \boldsymbol{\eta})L_f.$$

Hence $\mathcal{U}_z f$ is Lipschitz continuous and thus, by Rademacher's theorem, it is differentiable almost everywhere with

$$\|\nabla^* \mathcal{U}_z f(\boldsymbol{\xi})\| \leq 2L_f. \quad \blacksquare$$

Combining the two previous lemmas, we obtain that $\mathcal{U}_z f$ converges sufficiently fast to $\mathcal{U}_1 f$ in $H^{1/2}(\mathbb{S}^2)$ as follows.

Lemma 7.7. *Let $\epsilon \in (0, 1)$ and $f \in C_\epsilon(\mathbb{S}^2)$ be Lipschitz continuous with constant L_f . Then there exists a constant $K_\epsilon > 0$ such that for all $z \in (1 - \epsilon/2, 1)$*

$$\|\mathcal{U}_z f - \mathcal{U}_1 f\|_{H^{1/2}(\mathbb{S}^2)} \leq \sqrt{1-z} L_f K_\epsilon.$$

Proof. We use interpolation between L^2 and H^1 . By Lemma 7.5,

$$\|\mathcal{U}_z f - \mathcal{U}_1 f\|_{L^2(\mathbb{S}^2)} \leq \sqrt{4\pi} \|\mathcal{U}_z f - \mathcal{U}_1 f\|_{L^\infty(\mathbb{S}^2)} \leq \sqrt{4\pi} \sqrt{\frac{8-4\epsilon}{\epsilon}} L_f (1-z). \quad (7.10)$$

On the other hand, we have

$$\|\mathcal{U}_z f - \mathcal{U}_1 f\|_{H^1(\mathbb{S}^2)}^2 = \|\nabla^*(\mathcal{U}_z f - \mathcal{U}_1 f)\|_{L^2(\mathbb{S}^2)}^2 + \frac{1}{4} \|\mathcal{U}_z f - \mathcal{U}_1 f\|_{L^2(\mathbb{S}^2)}^2.$$

Since $z > 1 - \epsilon/2$ and by (7.10), we see that

$$\|\mathcal{U}_z f - \mathcal{U}_1 f\|_{L^2(\mathbb{S}^2)}^2 \leq 8\pi L_f^2.$$

By Lemma 7.6,

$$\begin{aligned} \|\nabla^*(\mathcal{U}_z f - \mathcal{U}_1 f)\|_{L^2(\mathbb{S}^2)}^2 &\leq 2 \left(\|\nabla^* \mathcal{U}_z f\|_{L^2(\mathbb{S}^2)}^2 + \|\nabla^* \mathcal{U}_1 f\|_{L^2(\mathbb{S}^2)}^2 \right) \\ &\leq 8\pi \left(\|\nabla^* \mathcal{U}_z f\|_{L^\infty(\mathbb{S}^2)}^2 + \|\nabla^* \mathcal{U}_1 f\|_{L^\infty(\mathbb{S}^2)}^2 \right) \leq 64\pi L_f^2. \end{aligned}$$

Hence, we obtain

$$\|\mathcal{U}_z f - \mathcal{U}_1 f\|_{H^1(\mathbb{S}^2)} \leq \sqrt{66\pi} L_f.$$

Since $H^{1/2}(\mathbb{S}^2)$ is an interpolation space, by [25, Sec. 1.9.3] there exists a constant $c > 0$ such that

$$\begin{aligned} \|\mathcal{U}_z f - \mathcal{U}_1 f\|_{H^{1/2}(\mathbb{S}^2)} &\leq c \|\mathcal{U}_z f - \mathcal{U}_1 f\|_{H^{1/2}(\mathbb{S}^2)}^{1/2} \|\mathcal{U}_z f - \mathcal{U}_1 f\|_{H^1(\mathbb{S}^2)}^{1/2} \\ &\leq c \sqrt{1-z} L_f \left(4\pi \frac{8-4\epsilon}{\epsilon} 66\pi \right)^{1/4}. \quad \blacksquare \end{aligned}$$

Now we have assembled all ingredients to proof the injectivity theorem.

Proof of Theorem 7.3. Let $f \in C_\epsilon(\mathbb{S}^2)$ be Lipschitz continuous with constant L_f , $\mathcal{U}_1 f = 0$ and $z \in (1 - \epsilon/2, 1)$. The decomposition of \mathcal{U}_z in Theorem 3.1 together with the unitarity of \mathcal{M}_z from Lemma 4.1 and the estimate of \mathcal{N}_z^{-1} from (4.9) yield

$$\begin{aligned} \|\mathcal{U}_z^{-1}\|_{H_e^{1/2}(\mathbb{S}^2) \rightarrow L^2(\mathbb{S}^2)} &\leq \|\mathcal{M}_z^{-1}\|_{L^2(\mathbb{S}^2) \rightarrow L^2(\mathbb{S}^2)} \|\mathcal{F}^{-1}\|_{H_e^{1/2}(\mathbb{S}^2) \rightarrow L_e^2(\mathbb{S}^2)} \|\mathcal{N}_z^{-1}\|_{H_e^{1/2}(\mathbb{S}^2) \rightarrow H_e^{1/2}(\mathbb{S}^2)} \\ &\leq \|\mathcal{F}^{-1}\|_{H_e^{1/2}(\mathbb{S}^2) \rightarrow L_e^2(\mathbb{S}^2)} \sqrt{3} (1-z)^{-1/4}. \end{aligned}$$

By Lemma 7.7 and because $\mathcal{U}_1 f = 0$, there exists a constant K_ϵ such that

$$\|\mathcal{U}_z f\|_{H^{1/2}(\mathbb{S}^2)} \leq \sqrt{1-z^2} L_f K_\epsilon \leq \sqrt{2}\sqrt{1-z} L_f K_\epsilon.$$

Hence, by (7.6),

$$\begin{aligned} \|f\|_{L^2(\mathbb{S}^2)} &\leq \|\mathcal{U}_z^{-1}\|_{H_\epsilon^{1/2}(\mathbb{S}^2) \rightarrow L^2(\mathbb{S}^2)} \|\mathcal{U}_z f\|_{H_\epsilon^{1/2}(\mathbb{S}^2)} \\ &\leq (1-z)^{1/4} \sqrt{6} L_f K_\epsilon \|\mathcal{F}^{-1}\|_{H^{1/2}(\mathbb{S}^2) \rightarrow L^2(\mathbb{S}^2)}. \end{aligned}$$

Computing the limit $z \rightarrow 1$ shows that $f = 0$. ■

7.3 Continuity on $L^2(\mathbb{S}^2)$

Theorem 7.2 shows the strong continuity of the spherical transform \mathcal{U}_z on $C(\mathbb{S}^2)$. In order to extend that result to $L_\epsilon^2(\mathbb{S}^2)$, we first prove the boundedness of \mathcal{U}_z .

Theorem 7.8. *Let $\epsilon \in (0, 1)$. Then the operator $\mathcal{U}_z: L_\epsilon^2(\mathbb{S}^2) \rightarrow L^2(\mathbb{S}^2)$ is bounded independently of $z \in [0, 1]$ by*

$$\|\mathcal{U}_z\|_{L_\epsilon^2(\mathbb{S}^2) \rightarrow L^2(\mathbb{S}^2)} \leq (2\epsilon - \epsilon^2)^{-1/4}. \quad (7.11)$$

Proof. We first show the boundedness of \mathcal{U}_z on $L_\epsilon^1(\mathbb{S}^2)$. Let $f \in L_\epsilon^1(\mathbb{S}^2)$. By Lemma 7.1,

$$\|\mathcal{U}_z |f|\|_{L^1(\mathbb{S}^2)} = \int_{-1}^1 \int_0^{2\pi} \frac{1}{2\pi} \int_0^{2\pi} \left| f\left(C_{zt}^{\xi(\varphi, t)}(\alpha)\right) \right| d\alpha d\varphi dt.$$

By Fubini's theorem and since the azimuth is 2π -periodic,

$$\|\mathcal{U}_z |f|\|_{L^1(\mathbb{S}^2)} = \frac{1}{\pi} \int_{-1}^1 \int_0^\pi \int_0^{2\pi} \left| f\left(\xi\left(\varphi, -\sqrt{1-t^2}\sqrt{1-z^2 t^2} \cos \alpha + zt^2\right)\right) \right| d\varphi d\alpha dt.$$

We perform the substitution $\alpha \mapsto x$ with

$$\begin{aligned} \alpha &= \arccos\left(\frac{-x + zt^2}{\sqrt{1-t^2}\sqrt{1-z^2 t^2}}\right), \\ \frac{d\alpha}{dx} &= \frac{1}{\sqrt{1-t^2}\sqrt{1-z^2 t^2} \sqrt{1 - \left(\frac{-x + zt^2}{\sqrt{1-t^2}\sqrt{1-z^2 t^2}}\right)^2}} = \frac{1}{\sqrt{1-x^2 + t^2(-1-z^2 + 2xz)}} \end{aligned}$$

and obtain

$$\|\mathcal{U}_z |f|\|_{L^1(\mathbb{S}^2)} = \frac{1}{\pi} \int_{-1}^1 \int_{zt^2 - \sqrt{1-t^2}\sqrt{1-z^2 t^2}}^{zt^2 + \sqrt{1-t^2}\sqrt{1-z^2 t^2}} \frac{1}{\sqrt{1-x^2 + t^2(-1-z^2 + 2xz)}} \int_0^{2\pi} |f(\xi(\varphi, x))| d\varphi dx dt.$$

By Fubini's theorem and because $f(\xi(\varphi, x))$ vanishes for $x > 1 - \epsilon$

$$\|\mathcal{U}_z |f|\|_{L^1(\mathbb{S}^2)} = \frac{1}{\pi} \int_{-1}^{1-\epsilon} \int_{-\frac{\sqrt{1-x^2}}{\sqrt{1+z^2-2xz}}}^{\frac{\sqrt{1-x^2}}{\sqrt{1+z^2-2xz}}} \frac{1}{\sqrt{1-x^2 + t^2(-1-z^2 + 2xz)}} dt \int_0^{2\pi} |f(\xi(\varphi, x))| d\varphi dx.$$

With the substitution $u = t\sqrt{1+z^2-2xz}/\sqrt{1-x^2}$, we obtain

$$\|\mathcal{U}_z |f|\|_{L^1(\mathbb{S}^2)} = \int_{-1}^{1-\epsilon} \frac{1}{\sqrt{1-2xz+z^2}} \int_0^{2\pi} |f(\xi(\varphi, x))| d\varphi dx. \quad (7.12)$$

The term $(1 - 2xz + z^2)^{-1/2}$ is increasing with respect to x and thus attains its maximum at $x = 1 - \epsilon$. Hence,

$$\|\mathcal{U}_z f\|_{L^1(\mathbb{S}^2)} \leq \|\mathcal{U}_z |f|\|_{L^1(\mathbb{S}^2)} \leq \frac{1}{\sqrt{1 - 2z(1 - \epsilon) + z^2}} \|f\|_{L^1(\mathbb{S}^2)}.$$

The right hand side of the last equation attains its maximum with respect to z at $z = 1 - \epsilon$, so

$$\|\mathcal{U}_z f\|_{L^1_\epsilon(\mathbb{S}^2) \rightarrow L^1(\mathbb{S}^2)} \leq \frac{1}{\sqrt{1 - 2(1 - \epsilon)z + z^2}} \leq \frac{1}{\sqrt{1 - (1 - \epsilon)^2}} = \frac{1}{\sqrt{2\epsilon - \epsilon^2}}.$$

For $f \in L^\infty(\mathbb{S}^2)$,

$$|\mathcal{U}_z f(\boldsymbol{\xi})| \leq \frac{1}{2\pi} \int_0^{2\pi} |f(C_{z\xi_3}^\xi(\alpha\xi_3))| \, d\alpha \leq \|f\|_{L^\infty(\mathbb{S}^2)}.$$

The Riesz–Thorin interpolation theorem (cf. [25]) yields

$$\|\mathcal{U}_z\|_{L^2_\epsilon(\mathbb{S}^2) \rightarrow L^2(\mathbb{S}^2)} \leq \|\mathcal{U}_z\|_{L^1_\epsilon(\mathbb{S}^2) \rightarrow L^1(\mathbb{S}^2)}^{1/2} \|\mathcal{U}_z\|_{L^\infty_\epsilon(\mathbb{S}^2) \rightarrow L^\infty(\mathbb{S}^2)}^{1/2} \leq (2\epsilon - \epsilon^2)^{-1/4}. \quad \blacksquare$$

In the following theorem, we conclude the strong continuity of \mathcal{U}_z on $L^2_\epsilon(\mathbb{S}^2)$ with a density argument.

Theorem 7.9. *Let $\epsilon > 0$. The map $[0, 1] \rightarrow \mathcal{L}(L^2_\epsilon(\mathbb{S}^2), L^2(\mathbb{S}^2))$, $z \mapsto \mathcal{U}_z$ is strongly continuous, i.e., for every $f \in L^2_\epsilon(\mathbb{S}^2)$*

$$\lim_{w \rightarrow z} \|\mathcal{U}_w f - \mathcal{U}_z f\|_{L^2(\mathbb{S}^2)} = 0.$$

Proof. Let $f \in L^2_\epsilon(\mathbb{S}^2)$. Since the continuous functions are dense in $L^2_\epsilon(\mathbb{S}^2)$, for every $\delta > 0$ there exists a continuous function $g \in C_\epsilon(\mathbb{S}^2)$ such that $\|f - g\|_{L^2(\mathbb{S}^2)} < \delta$. Then by (7.11)

$$\begin{aligned} \|\mathcal{U}_z f - \mathcal{U}_w f\|_{L^2(\mathbb{S}^2)} &\leq \|\mathcal{U}_z(f - g)\|_{L^2(\mathbb{S}^2)} + \|\mathcal{U}_z g - \mathcal{U}_w g\|_{L^2(\mathbb{S}^2)} + \|\mathcal{U}_w(f - g)\|_{L^2(\mathbb{S}^2)} \\ &\leq 2(2\epsilon - \epsilon^2)^{-1/4} \delta + \sqrt{4\pi} \|\mathcal{U}_z g - \mathcal{U}_w g\|_{L^\infty(\mathbb{S}^2)}. \end{aligned}$$

By Theorem 7.2, we have

$$\limsup_{w \rightarrow z} \|\mathcal{U}_z f - \mathcal{U}_w f\|_{L^2(\mathbb{S}^2)} \leq 2(2\epsilon - \epsilon^2)^{-1/4} \delta.$$

The claim follows because the last equation is valid for all $\delta > 0$. \blacksquare

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