

## 1. INTEGRAL BASES

The arithmetic in global fields bases essentially on the notion of integral elements. This concept is a generalization of the rational integers  $\mathbb{Z}$ . Those can be viewed as the intersection of all valuation rings of  $\mathbb{Q}$ . For global function fields this must be replaced adequately since the intersection of all valuation rings is the field of constants, its quotient field is not the function field itself.

**Definition 1.1.** We define as base ring  $R_0$  either the rational integers (number field case) or the polynomial ring  $\mathbb{F}_q[t]$  (function field case) and let  $F_0$  be its field of quotients. For a finite extension  $E$  of  $F_0$  we define  $o_E := Cl(R_0, E)$  (**integral closure of  $R_0$  in  $E$** ) as the intersection of all valuation rings of  $E$  containing  $R_0$ .

We remark that this definition can also be used for function fields over fields of characteristic zero. Our definition has the advantage that the integers of global fields automatically form a ring which satisfies  $Cl(Cl(R_0, E), E) = Cl(R_0, E)$ . Moreover, we have the following properties:

- (i)  $R_0$  coincides with its integral closure in its quotient field  $F_0 = \mathcal{Q}(R_0)$ . One says that  $R_0$  is **integrally closed**. From the preceding remark we conclude that the integral closure of a ring in a field is integrally closed.
- (ii)  $Cl(R_1, E) \subseteq Cl(R_2, E)$  for  $R_1 \subseteq R_2 \subseteq E$ .

**Definition 1.2.** An element  $x$  is said to be **integral** over  $R_0$  if it is a zero of a monic polynomial  $f(t) \in R_0[t]$  of positive degree.

**Lemma 1.3.** Let  $R$  be a valuation ring with quotient field  $F$ . Then  $R$  is integrally closed.

**Proof** Let us assume that the element  $0 \neq x$  of  $F$  is integral over  $R$ . Then it satisfies an equation

$$x^n + \sum_{i=1}^n a_i x^{n-i} = 0 \quad (a_i \in R) . \quad (1)$$

If  $x$  is not contained in  $R$  we have  $\varphi(x) > 1$  for the valuation  $\varphi$  belonging to  $R$ . But this implies  $\varphi(a_i x^{n-i}) \leq \varphi(x)^{n-i} < \varphi(x)^n$  for  $1 \leq i \leq n$  with the consequence

$$\varphi \left( \sum_{i=1}^n a_i x^{n-i} \right) < \varphi(x)^n$$

contradicting (1).

□

**Lemma 1.4.** *The integral elements  $x$  of a finite extension  $E$  of  $F_0$  are exactly the elements of  $Cl(R_0, E)$ .*

**Proof.**

(i) We assume that

$$x^n + \sum_{i=1}^n a_i x^{n-i} = 0 \quad (a_i \in R_0, 1 \leq i \leq n) \quad (2)$$

for some natural number  $n$ . For any non-archimedian valuation  $v$  of  $E$  containing  $R_0$  in its valuation ring we have  $v(a_i) \leq 1$ . Hence, as a consequence of the strong triangular inequality,  $v(x)$  also belongs to that valuation ring. This proves  $x \in Cl(R_0, E)$ .

(ii) We let  $x \in Cl(R_0, E)$  and assume that there is no equation

$$1 = \sum_{i=1}^n a_i x^{-i} \quad (n \in \mathbb{Z}^{>0}, a_i \in R_0, 1 \leq i \leq n, a_n \neq 0) .$$

(This implies  $x \neq 0$ , but 0 is obviously integral over any ring. If  $x$  satisfies an equation of that type we can multiply it with  $x^n$  and obtain an equation which shows that  $x$  is integral over  $R_0$ .) The non existence of such an equation shows that  $\sum_{i=1}^{\infty} R_0 x^{-i}$  is a proper ideal of the unital ring  $R_0[x^{-1}]$ . It is therefore contained in a maximal ideal  $\mathfrak{m}$  of that ring. According to the Lemma of Chevalley there exists a valuation  $w$  of  $E$  with valuation ring  $R_w$  containing  $R_0$  and valuation ideal containing  $\mathfrak{m}$ . This implies  $w(x) > 1$ , a contradiction to our assumption  $x \in Cl(R_0, E)$ .

□

The following criterion is useful for testing elements whether they are integral.

**Lemma 1.5. (Kronecker's Criterion)** *An element  $x$  is integral over  $R_0$  if and only if there exist finitely many non-zero elements  $\omega_1, \dots, \omega_n$  satisfying  $x(\omega_1, \dots, \omega_n) = (\omega_1, \dots, \omega_n)M$  with a matrix  $M \in R_0^{n \times n}$ .*

**Proof.** Clearly, we can assume that  $x$  is non-zero. If  $x$  is known to be a zero of a monic  $n$ -th degree polynomial  $f(t) \in R_0[t]$  the powers  $x^m$  for  $m \geq n$  can be expressed as linear combinations of  $1, x, \dots, x^{n-1}$  with coefficients in  $R_0$ . Hence, the elements  $\omega_i = x^{i-1}$  ( $1 \leq i \leq n$ ) satisfy Kronecker's Criterion. On the other hand, if that criterion is satisfied the corresponding linear system of equations can be interpreted as an eigenvalue equation for  $x$ . Therefore  $x$  is a zero of the characteristic polynomial  $\det(tI_n - M) \in R_0[t]$ .

□

With Kronecker's Criterion it is easy to show that the sum and the product of two integral elements is integral again. Also, if  $x$  is a zero of a non-constant monic polynomial whose coefficients are integral then  $x$  is integral itself. We leave both tasks as an exercise for the reader.

We note that the algebraic elements over  $F_0$  which are  $R_0$ -integral form a subring  $\bar{R}_0$  of the algebraic closure  $\bar{F}_0$ .

For computations with the algebraic integers of a finite extension  $E$  of  $F_0$  it is important that the ring  $Cl(R_0, E)$  is a free  $R_0$ -module. Hence, fixing a basis, its elements can be represented as vectors of  $R_0^n$  for  $n = [E : F_0]$ . This is true since  $R_0$  is a principal ideal ring. If the base ring does not have this property (for example, if we consider relative extensions) such a basis - usually called **integral basis** - need not exist. A unital subring  $S$  of  $E$  which is a free  $R_0$ -module of rank  $n$  is said to be an  $R_0$ -**order**.

For the following we must stipulate that  $E$  is separably generated over  $F_0$ . In the number field case this is guaranteed, of course. For function fields in non-zero characteristic this assumption is non-trivial.

**Lemma 1.6.** *Let  $K$  be a field of characteristic  $p$  with  $K^p = K$ . Let  $F$  be a finite extension of the function field  $K(t)$  and  $\eta$  a  $K$ -transcendental element of  $F$ . Then  $F$  is separable over  $K(\eta)$  if and only if  $\eta$  is not in  $F^p$ .*

**Proof.** If  $\eta$  belongs to  $F^p$  there exists an element  $\xi$  in  $F$  with  $\eta = \xi^p$ . Its minimal polynomial over  $K(\eta)$  is therefore  $m_{\xi/K(\eta)}(t) = t^p - \eta$  and  $\xi$  is inseparable over  $K(\eta)$ .

On the other hand, if  $F$  is inseparable over  $K(\eta)$  then we have  $K(\eta) \subseteq F_{sep} \subset F$  and  $F$  has degree  $q := p^m$  over  $F_{sep}$ . The minimal polynomial of an element  $\alpha$  of  $F$  over  $F_{sep}$  is of the form

$$m_{\alpha/F_{sep}}(t) = t^{p^l} - a \quad (a \in F_{sep}, 0 \leq l \leq m)$$

from which we conclude that  $F^q$  is contained in  $F_{sep}$ . We will show that both fields actually coincide which finishes the proof.

We first show that

$$[F^q : K(\eta)^q] = [F : K(\eta)] \quad . \quad (3)$$

Let us assume that  $F$  is of degree  $r$  over  $K(\eta)$ . Then we have  $F = K(\eta)\omega_1 + \dots + K(\eta)\omega_r$  for suitable elements  $\omega_1, \dots, \omega_r$  of  $F$ . This yields

$F^q = K(\eta)^q \omega_1^q + \dots + K(\eta)^q \omega_r^q$ , hence  $[F^q : K(\eta)^q] \leq r$ . The equations

$$\begin{aligned} 0 &= \sum_{i=1}^r \lambda_i^q \omega_i^q \\ &= \left( \sum_{i=1}^r \lambda_i \omega_i \right)^q \end{aligned}$$

with coefficients  $\lambda_i \in K(\eta)$  show that the  $\omega_i^q$  are also  $K(\eta)^q$ -linearly independent.

From our premises we know that  $K^q = K$  and obtain  $K(\eta)^q = K(\eta_0)$  for  $\eta_0 := \eta^q$ . The polynomial  $t^q - \eta_0$  is irreducible in  $K(\eta_0)[t]$  implying  $[K(\eta) : K(\eta_0)] = q$ . From

$$[F : F^q][F^q : K(\eta)^q] = [F : K(\eta)^q] = [F : K(\eta)][K(\eta) : K(\eta)^q]$$

and (3) we finally get

$$[F : F^q] = q .$$

□

**Corollary 1.7.** *Any finite extension of  $F_0$  can be separately generated.*

From now on we therefore assume that  $E$  is a separable extension of degree  $n$  of  $F_0$ . Then we have  $E = F_0(\alpha)$  with an element  $\alpha$  whose minimal polynomial  $m_{\alpha/F_0}(x) \in F_0[x]$  is of degree  $n$ . Clearing denominators we obtain  $am_{\alpha/F_0}(x) \in R_0[x]$  for a suitable element  $a \in R_0$ . Multiplication by  $a^{n-1}$  and replacement of  $x$  by  $ax$  yields a monic irreducible polynomial for  $a\alpha$  which again generates  $E$  over  $F_0$  and is integral over  $R_0$ . Hence, without loss of generality we can assume that a generating element of  $E$  over  $F_0$  is integral over  $R_0$ .

Clearly, the ring  $S := R_0[\alpha]$  is a subring of  $E$  consisting of  $R_0$ -integral elements. It is therefore contained in the maximal order  $o_E := Cl(R_0, E)$ .  $S$  is also an  $R_0$ -order. We want to show that the same holds for  $o_E$ . We note that the **trace bilinear form**

$$\text{Tr} : E \times E : (x, y) \mapsto \text{Tr}(xy)$$

is non degenerate. Namely, we have  $x = \sum_{i=1}^n \xi_i \alpha^{i-1}$ ,  $y = \sum_{j=1}^n \eta_j \alpha^{j-1}$  and therefore  $\text{Tr}(xy) = (\xi_1, \dots, \xi_n) A (\eta_1, \dots, \eta_n)^{tr}$  for the matrix  $A$  with entries  $a_{ij} = \text{Tr}(\alpha^{i+j-1})$ . The determinant of  $A$  is easily seen to be of Vandermonde's type. It is non zero since the minimal polynomial of  $\alpha$  does not have multiple roots.

We define the dual  $R_0$ -module for any  $R_0$ -module  $S$  via

$$S^* := \{y \in E \mid \text{Tr}(xy) \in R_0 \ \forall x \in S\} .$$

For any  $R_0$ -basis  $\tau_1, \dots, \tau_n$  of  $S$  there exists the dual basis  $\tau_1^*, \dots, \tau_n^*$  defined by the linear system of equations  $\text{Tr}(\tau_i \tau_j^*) = \delta_{ij}$  ( $1 \leq i, j \leq n$ ). An easy computation shows that the transformation matrix from the  $\tau_i^*$  to the  $\tau_i$  has determinant  $\det(A)$ . Because of  $Cl(R_0, E) \subseteq S^*$  we obtain that  $Cl(R_0, E)$  is indeed an  $R_0$ -order and that determinant gives further information about the maximal order. We note that the square of the determinant of a transformation matrix from a basis of  $CL(R_0, E)$  to a basis of  $R_0[\alpha]$  divides  $\det(A)$ . That determinant is also called **discriminant** of the equation order  $R_0[\alpha]$ . Similarly, the **discriminant** of an  $R_0$ -order  $S$  with basis  $\tau_1, \dots, \tau_n$  is defined as the determinant of the matrix with entries  $\text{Tr}(\tau_i \tau_j)$  ( $1 \leq i, j \leq n$ ).

Since  $R_0$  is a unique factorisation domain (even a Euclidean ring) the discriminants  $d(S)$  of  $S$  and  $d_E$  of  $o_E$  have unique factorisations up to units and the index  $(o_F : S)$  is necessarily a product of primes of  $R_0$  whose squares divide  $d(S) = d(m_\alpha)$ .

We therefore let  $\mathcal{S} = \{\pi_1, \dots, \pi_s\}$  denote the set of primes  $\pi$  of  $R_0$  for which  $\pi^2$  divides  $d(S)$ . For each prime  $\pi_j$  we calculate the so-called  **$\pi_j$ -maximal overorder**  $S_j$  of  $S$  characterized by the properties  $\pi_j \nmid (o_F : S_j)$  and  $(S_j : S)$  is a power of  $\pi_j$ . Merging the  $\pi_j$ -maximal overorders  $S_j$  for  $j = 1, \dots, s$  finally yields  $o_F$ .

We still need to develop methods for determining  $\pi$ -maximal overorders  $\Lambda_\pi$  of a given order  $\Lambda$ , usually the equation order with which we start. For this we recall a few important results about unital commutative rings  $R$ . The set  $\mathcal{N}$  consisting of all nilpotent elements of  $R$  is called the **nilradical** of  $R$ . It is easy to see that  $\mathcal{N}$  is an ideal and that the nilradical of  $R/\mathcal{N}$  is zero. We claim that  $\mathcal{N}$  is the intersection of all prime ideals of  $R$ . Indeed, for  $x \in \mathcal{N}$  a suitable power, say  $x^k$ , vanishes. Hence,  $x$  belongs to every prime ideal of  $R$ . The other direction is more complicated. We assume that there exists an element  $x$  which is contained in every prime ideal of  $R$  but which is not nilpotent. The set  $\mathcal{M}$  of all ideals  $\mathfrak{a}$  of  $R$  subject to  $x^n \notin \mathfrak{a} \ \forall n \in \mathbb{N}$  is not empty since it contains the zero ideal. According to Zorn's lemma  $\mathcal{M}$  contains a maximal element, say  $\mathfrak{p}$ . Obviously,  $\mathfrak{p}$  does not contain  $x$ . For all  $u, v \in R \setminus \mathfrak{p}$  we have  $\mathfrak{p} \subset \mathfrak{p} + Ru$ ,  $\mathfrak{p} + Rv$ , hence there exist powers  $x^k \in \mathfrak{p} + Ru$ ,  $x^l \in \mathfrak{p} + Rv$ . This yields  $x^{k+l} \in \mathfrak{p} + Ruv$  and consequently  $uv \notin \mathfrak{p}$ , i.e.  $\mathfrak{p}$  is a prime ideal not containing  $x$ . This contradicts our assumption.

The intersection of all maximal ideals of  $R$  is called the **Jacobson radical**  $J_R$  of  $R$ . We claim that an element  $x \in R$  belongs to  $J_R$  precisely, if  $1 - xy$  is a unit of  $R$  for all  $y \in R$ . If  $1 - xy$  is not a unit, it belongs to a suitable maximal ideal, say  $\mathfrak{m}$ . For  $x \in J_R \subseteq \mathfrak{m}$  we obtain

$xy \in \mathfrak{m}$  and therefore  $1 \in \mathfrak{m}$ , a contradiction. If  $x$  is not contained in some maximal ideal  $\mathfrak{m}$  we have  $\mathfrak{m} + Rx = R$ , hence  $m + yx = 1$  for appropriate elements  $m \in \mathfrak{m}$ ,  $y \in R$ . But then the element  $1 - yx = m$  belongs to  $\mathfrak{m}$  and cannot be a unit.

**Lemma 1.8. (Nakayama)** *Let  $M$  be a finitely generated unitary  $R$ -module and  $\mathfrak{a}$  an ideal of  $R$  which is contained in the Jacobson radical of  $R$  and satisfies  $\mathfrak{a}M = M$ . Then the module  $M$  is trivial.*

**Proof.** We assume that  $M$  is non-zero and that  $u_1, \dots, u_n$  is a minimal number of generators for  $M$ . Because of  $u_n \in M = \mathfrak{a}M$  there exist elements  $a_1, \dots, a_n \in \mathfrak{a}$  with  $u_n = a_1u_1 + \dots + a_nu_n$ . Since  $\mathfrak{a}$  is contained in the Jacobson radical of  $R$  the element  $1 - a_n$  is a unit of  $R$  and we obtain

$$u_n = a_1(1 - a_n)^{-1}u_1 + \dots + a_{n-1}(1 - a_n)^{-1}u_{n-1}$$

contrary to our assumption.

□

**Lemma 1.9.** *Let  $R$  be an entire noetherian local ring and  $\mathfrak{a}$  a proper ideal of  $R$ . Then we have  $\mathfrak{a}^{n+1} \subset \mathfrak{a}^n$  for all natural numbers  $n$ .*

**Proof.** Let  $\mathfrak{m}$  denote the maximal ideal of  $R$ . Clearly,  $\mathfrak{a}$  is contained in  $\mathfrak{m} = J_R$ . If we had  $\mathfrak{a}\mathfrak{a}^n = \mathfrak{a}^n$  we would obtain  $\mathfrak{a}^n = 0$  by Nakayama's lemma. But  $\mathfrak{a}$  contains non-zero elements, and so does  $\mathfrak{a}^n$  since  $R$  is entire.

□

**Lemma 1.10.** *Let  $R$  be an entire noetherian ring and  $\mathfrak{a}$  a proper ideal of  $R$ . Then we have  $\mathfrak{a}^{n+1} \subset \mathfrak{a}^n$  for all natural numbers  $n$ .*

**Proof.** We apply localisation! Let  $\mathfrak{a}$  be contained in the maximal ideal  $\mathfrak{p}$  of  $R$ . If we had  $\mathfrak{a}\mathfrak{a}^n = \widetilde{\mathfrak{a}^n}$  the same would hold for the ideal  $\tilde{\mathfrak{a}} = \frac{\mathfrak{a}}{R \setminus \mathfrak{p}}$ . One easily sees that  $\widetilde{\mathfrak{a}^{n+1}} = \tilde{\mathfrak{a}}\tilde{\mathfrak{a}}^n$  and the proof is finished by an application of the preceding lemma.

□

**Definition 1.11.** *Let  $\Lambda$  be a commutative unital ring and  $\mathfrak{a}$  be an ideal of  $\Lambda$ . We define the  **$\mathfrak{a}$ -radical** of  $\Lambda$  as the set  $J_{\mathfrak{a}}$  of all elements  $x$  of  $\Lambda$  for which a suitable power  $x^k$  belongs to  $\mathfrak{a}$ .*

We note that the elements of  $J_{\mathfrak{a}}$  are exactly the representatives of the nilpotent residue classes in  $\Lambda/\mathfrak{a}$ . Hence,  $J_{\mathfrak{a}}$  is the intersection of all prime ideals of  $\Lambda$  containing  $\mathfrak{a}$ .

**Definition 1.12.** *Let  $\Lambda$  be an order of our global field  $F$  and  $\mathfrak{a}$  a non-zero ideal of  $\Lambda$ . We define the **ring of multipliers** of  $\mathfrak{a}$  as  $[\mathfrak{a}/\mathfrak{a}] := \{x \in F \mid x\mathfrak{a} \subseteq \mathfrak{a}\}$ .*

It is immediate that  $[\mathfrak{a}/\mathfrak{a}]$  is a ring containing  $\Lambda$ . Since the ideal  $\mathfrak{a}$  has an  $R_0$ -basis the Kronecker criterion tells us that any multiplier of  $\mathfrak{a}$  is an algebraic integer of  $F$ . Hence, the ring of multipliers is itself an order of  $F$  lying between  $\Lambda$  and  $\mathcal{O}_F$ . We apply these concepts in the following situation.

The ideal  $\mathfrak{a}$  is chosen as  $\pi\Lambda$ . The corresponding radical  $J_{\pi\Lambda}$  certainly contains  $\pi\Lambda$  and the latter is of index  $\pi^n$  in  $\Lambda$ . We want to prove that

$$J_{\pi\Lambda} = \{x \in \Lambda \mid x^n \in \pi\Lambda\} . \quad (4)$$

The successive powers of  $J_{\pi\Lambda}$  form a strongly decreasing chain of ideals. Since there is a positive integer, say  $m$ , such that the  $m$ -th power of each  $R_0$ -basis element of  $J_{\pi\Lambda}$  is in  $\pi\Lambda$  the  $nm$ -th power  $J_{\pi\Lambda}^{nm}$  is contained in  $\pi\Lambda$ . This and the usual index estimates yield (4).

The following important lemma is due to Zassenhaus.

**Lemma 1.13.** *Let  $\Lambda$  be an order of  $F$  and  $\pi$  be a prime of  $R_0$ . Then  $[J_{\pi\Lambda}/J_{\pi\Lambda}]$  is an overorder of  $\Lambda$ . The index  $([J_{\pi\Lambda}/J_{\pi\Lambda}] : \Lambda)$  is a power of  $\pi$ . Especially,  $\Lambda$  is  $\pi$ -maximal precisely if it coincides with  $[J_{\pi\Lambda}/J_{\pi\Lambda}]$ .*

**Proof.** Any  $x \in [J_{\pi\Lambda}/J_{\pi\Lambda}]$  satisfies  $xJ_{\pi\Lambda} \subseteq J_{\pi\Lambda}$ . For  $\pi \in J_{\pi\Lambda}$  we obtain  $x\pi \in J_{\pi\Lambda} \subseteq \Lambda$ , hence  $x \in \pi^{-1}\Lambda$ . Therefore we have  $\pi^{-1}\Lambda \supseteq [J_{\pi\Lambda}/J_{\pi\Lambda}] \supseteq \Lambda$  from which the first part of the lemma follows.

Concerning the  $\pi$ -maximality of  $\Lambda$  we assume that  $\Lambda$  is a proper subset of the  $\pi$ -maximal overorder  $\Lambda_\pi$  and need to show  $\Lambda \subset [J_{\pi\Lambda}/J_{\pi\Lambda}]$ .

Let  $\kappa$  be the smallest exponent with  $\pi^\kappa \Lambda_\pi \subseteq J_{\pi\Lambda}$ . Since sufficiently large powers of  $J_{\pi\Lambda}$  are contained in  $\pi\Lambda$  there is a smallest natural number, say  $\mu$ , with  $J_{\pi\Lambda}^\mu \Lambda_\pi \subseteq J_{\pi\Lambda}$ . In case  $\mu = 1$  we obtain  $\Lambda_\pi \subseteq [J_{\pi\Lambda}/J_{\pi\Lambda}]$ , hence equality holds, and we indeed have  $\Lambda \subset [J_{\pi\Lambda}/J_{\pi\Lambda}]$ . In case  $\mu > 1$  we have  $J_{\pi\Lambda}^{\mu-1} \Lambda_\pi \not\subseteq J_{\pi\Lambda}$ . We choose  $x \in J_{\pi\Lambda}^{\mu-1} \Lambda_\pi \setminus J_{\pi\Lambda}$ . Clearly,  $x$  belongs to  $[J_{\pi\Lambda}/J_{\pi\Lambda}]$ . Since  $x^2$  is in  $J_{\pi\Lambda}$  a suitable power of  $x$  is in  $\pi\Lambda$ . In case of  $x \in \Lambda$  we had  $x \in J_{\pi\Lambda}$ , a contradiction to the choice of  $x$ .

□

The lemma also provides an algorithm for actually calculating  $\Lambda_\pi$ . We just need to solve two tasks:

- (1) compute the  $\pi$ -radical of an order,
- (2) compute the ring of multipliers of that  $\pi$ -radical.

After each step we have either increased the order or we know that the considered order is already  $\pi$ -maximal.

There are two solutions for the first task depending on whether the characteristic of  $\Lambda/\pi\Lambda$  is larger than  $n$ . For a smaller characteristic we

use linear algebra to determine a basis of the kernel of the homomorphism

$$\varphi : \Lambda/\pi\Lambda \rightarrow \Lambda/\pi\Lambda : x \mapsto x^{p^\kappa} \quad (5)$$

where the exponent  $\kappa$  is chosen subject to  $p^{\kappa-1} < n \leq p^\kappa$ .

**Example** The polynomial  $f(t) = t^3 + 17t^2 - 2t + 9 \in \mathbb{Z}[t]$  is irreducible with discriminant  $d(f) = -3^2 5^3 163$ . We start with the equation order  $\Lambda = \mathbb{Z}[\rho]$  for a zero  $\rho \in \mathbb{C}$ . For the computation of the corresponding maximal order we need to determine the  $p$ -maximal overorders  $\Lambda_p$  for  $p = 3$  and  $p = 5$ .

All elements of  $F = \mathbb{Q}(\rho)$  are presented in the form  $\xi = x_1 + x_2\rho + x_3\rho^2$  with a vector of coefficients  $\mathbf{x} = (x_1, x_2, x_3)^{tr} \in \mathbb{Q}^3$ . Because of 3 not being larger than the degree of the extension  $F/\mathbb{Q}$  we determine the 3-radical  $J_{3\Lambda}$  of  $\Lambda$  via the kernel of  $\varphi$  in (5). We note that we can choose  $\kappa = 1$  in this case. Upon reducing the coefficients modulo 3 the images of the basis elements  $1, \rho, \rho^2$  become

$$1, \rho^3 = \rho^2 - \rho, \rho^6 = -\rho^2 + \rho.$$

Hence, that kernel is of dimension one with generating element  $\rho^2 + \rho$ . Computing the Hermite normal form of the  $3 \times 4$  matrix whose columns are the vectors of coefficients of that element and of the generators for  $3\Lambda$  we obtain the basis

$$\alpha_1 = 3, \alpha_2 = 3\rho, \alpha_3 = \rho^2 + \rho$$

for  $J_{3\Lambda}$ . Next we compute the ring of multipliers  $T := [J_{3\Lambda}/J_{3\Lambda}]$ .  $\xi = x_1 + x_2\rho + x_3\rho^2$  belongs to  $T$  if and only if the elements  $\xi\alpha_i$  are in  $J_{3\Lambda}$  for  $i = 1, 2, 3$ . We therefore compute matrices  $M_{\alpha_i} \in \mathbb{Z}^{3 \times 3}$  such that

$$\alpha_i(1, \rho, \rho^2) = (\alpha_1, \alpha_2, \alpha_3)M_{\alpha_i}$$

and obtain

$$M_{\alpha_1} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & 0 & 3 \end{pmatrix}, M_{\alpha_2} = \begin{pmatrix} 0 & 0 & -9 \\ 1 & -1 & 19 \\ 0 & 3 & -51 \end{pmatrix}, M_{\alpha_3} = \begin{pmatrix} 0 & -3 & 48 \\ 0 & 6 & -105 \\ 1 & -16 & 274 \end{pmatrix}.$$

Then we apply row reduction to the rows of all 3 matrices. Because of  $T \subseteq \frac{1}{3}\Lambda$  we can add the rows  $(3 \ 0 \ 0)$ ,  $(0 \ 3 \ 0)$ ,  $(0 \ 0 \ 3)$  so that the reduction is carried out essentially in  $\mathbb{Z}/3\mathbb{Z}$  which keeps the intermediate entries small. The remaining non-zero rows become

$$(1 \ 0 \ 0), (0 \ 1 \ -1), (0 \ 0 \ 3).$$

Obviously, a basis for the solution space

$$\{\mathbf{x} \mid (1, \rho, \rho^2)\mathbf{x} \in T\}$$



is

$$\mathbf{x}_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \mathbf{x}_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \mathbf{x}_3 = \begin{pmatrix} 0 \\ 1/3 \\ 1/3 \end{pmatrix}.$$

The result is the 3-maximal overorder of  $\Lambda$ :

$$\Lambda_3 = [J_{3\Lambda}/J_{3\Lambda}] = \mathbb{Z} + \mathbb{Z}\rho + \mathbb{Z}\frac{\rho^2 + \rho}{3}.$$

We generalize these ideas and describe a method for computing the ring of multipliers

$$[\mathfrak{a}/\mathfrak{b}] = \{\xi \in \mathcal{Q}(R) \mid \xi\mathfrak{b} \subseteq \mathfrak{a}\}$$

for two ideals  $\mathfrak{a} = R_0\alpha_1 + \dots + R_0\alpha_n$  and  $\mathfrak{b} = R_0\beta_1 + \dots + R_0\beta_n$  of an order  $R = R_0\gamma_1 + \dots + R_0\gamma_n$ . We assume that we know the corresponding transformation matrices  $T_{\gamma,\alpha}$  and  $T_{\gamma,\beta}$  satisfying

$$\begin{aligned} (\alpha_1, \dots, \alpha_n) &= (\gamma_1, \dots, \gamma_n)T_{\gamma,\alpha} \\ (\beta_1, \dots, \beta_n) &= (\gamma_1, \dots, \gamma_n)T_{\gamma,\beta} \end{aligned}$$

and that both matrices are upper triangular matrices, more precisely, that they are in column reduced Hermite normal form.

We represent  $\xi \in [\mathfrak{a}/\mathfrak{b}]$  in the form  $\xi = \sum_{i=1}^n x_i\gamma_i$  with coefficients  $x_i \in F_0$ . Then the following criterion is immediate:

$$\xi\mathfrak{b} \subseteq \mathfrak{a} \Leftrightarrow \xi\beta_i \in \mathfrak{a} \quad (1 \leq i \leq n). \quad (6)$$

We write

$$\begin{aligned} \beta_i\xi &= \beta_i(\gamma_1, \dots, \gamma_n)\mathbf{x} \\ &= (\gamma_1, \dots, \gamma_n)\tilde{M}_i\mathbf{x} \quad \text{with} \quad \tilde{M}_i \in F_0^{n \times n} \\ &= (\alpha_1, \dots, \alpha_n)T_{\gamma,\alpha}^{-1}\tilde{M}_i\mathbf{x}. \end{aligned}$$

We put  $M_i = T_{\gamma,\alpha}^{-1}\tilde{M}_i$  and note that  $\det(M_i) \neq 0$ . The condition (6) now becomes

$$M_i\mathbf{x} \in R_0^{n \times 1} \quad (1 \leq i \leq n), \quad (7)$$

or

$$\Gamma\mathbf{x} \in R_0^{n^2 \times 1} \quad (8)$$

with

$$\Gamma = \begin{pmatrix} M_1 \\ \vdots \\ M_n \end{pmatrix}. \quad (9)$$

Let  $b_0$  be the least common multiple of the denominators of the entries of  $\Gamma$ . Then

$$b_0\Gamma \in R_0^{n^2 \times n}$$

and equation (8) becomes

$$b_0\Gamma \mathbf{x} \in b_0 R_0^{n^2 \times 1}.$$

Then we compute the (row reduced) Hermite normal form of  $b_0\Gamma$ . In order to avoid the usual growth of intermediate entries we observe the following.

We let  $b \in \mathfrak{b} \cap R_0$ . An element  $\xi \in [\mathfrak{a}/\mathfrak{b}]$  clearly maps  $b$  into  $\mathfrak{a} \subseteq R$ . We therefore know that  $\xi \in \frac{1}{b}R$  or  $b\xi \in R$ . Hence, we extend the matrix  $\Gamma$  by adding  $n$  additional rows representing  $bI_n$ ,  $I_n$  the  $n \times n$  unit matrix. In the subsequent Hermite normal form computation of an  $(n^2+n) \times n$  matrix all entries stay bounded by the size of  $b$ , respectively  $b_0b$ .

We denote the matrix consisting of the first  $n$  rows of the Hermite normal form of  $b_0\Gamma$  by  $M$ . Again,  $M$  is a regular upper triangular matrix. Then  $\mathbf{x} \in R_0^{n \times 1}$  satisfies  $M_i \mathbf{x} \in R_0^{n \times 1}$  ( $1 \leq i \leq n$ ) if and only if  $M\mathbf{x} \in b_0 R_0^{n \times 1}$ . The vector  $\mathbf{x}$  is therefore of the form

$$\mathbf{x} = b_0 M^{-1} \mathbf{y} \text{ with } \mathbf{y} \in R_0^{n \times 1}.$$

It is therefore an  $R_0$ -linear combination of the columns of the matrix  $b_0 M^{-1}$ . Hence, the elements  $\eta_1, \dots, \eta_n$  satisfying

$$(\eta_1, \dots, \eta_n) = (\gamma_1, \dots, \gamma_n) b_0 M^{-1} \quad (10)$$

form an  $R_0$ -basis of  $[\mathfrak{a}/\mathfrak{b}]$ .

For  $p > n$  there is a more efficient way of computing the  $p$ -radical of an order  $\Lambda$ .

**Proposition 1.14.** *Let  $\Lambda$  be an order of  $E$ . Let  $\pi$  be a prime of  $R_0$  and  $p = \pi$  (number field case) or let  $p$  denote the characteristic of  $F_0$  (function field case). For  $p > n = [E : F_0]$  we have  $J_{\pi\Lambda} = \{x \in \Lambda \mid \text{Tr}(xy) \in \pi R_0 \ \forall y \in \Lambda\}$ .*

**Proof.** We recall that  $J_{\pi\Lambda}$  is the intersection of all prime ideals of  $\Lambda$  containing  $\pi$ , say  $\mathfrak{p}_1, \dots, \mathfrak{p}_s$ . (We note that there can exist only finitely many such ideals since the corresponding residue class ring is finite and therefore admits only finitely many prime ideals.) Let  $\Gamma$  be the Galois closure of  $E$  and choose automorphisms  $\sigma_1, \dots, \sigma_n$  of  $\Gamma$  such that  $\sigma_i|_E$  ( $1 \leq i \leq n$ ) are the pairwise different embeddings of  $E$  into  $\Gamma$ . For any  $y \in E$  we have  $\text{Tr}(y) = \sum_{i=1}^n \sigma_i(y)$ . For  $y \in \Lambda$  and  $x \in J_{\pi\Lambda}$  we get  $xy \in J_{\pi\Lambda} = \prod_{j=1}^s \mathfrak{p}_j$ . Let  $\mathfrak{P}_1, \dots, \mathfrak{P}_u$  be all prime ideals

of  $Cl(\Lambda, \Gamma)$  containing  $\pi$ . Each of those contains exactly one of the prime ideals  $\mathfrak{p}_1, \dots, \mathfrak{p}_s$ . Ordering them adequately, we get

$$\pi \in \mathfrak{p}_i \subseteq \mathfrak{P}_{i_j}$$

with

$$\mathfrak{p}_i \subseteq \bigcap_{j=1}^{m_i} \mathfrak{P}_{i_j}$$

and

$$\{\mathfrak{P}_{i_j} \mid 1 \leq i_j \leq m_i, 1 \leq i \leq s\} = \{\mathfrak{P}_1, \dots, \mathfrak{P}_u\} .$$

Hence,  $xy$  is contained in the product  $\Pi := \prod_{j=1}^u \mathfrak{P}_j$ , too. Since the automorphisms  $\sigma_i$  permute the set  $\{\mathfrak{P}_1, \dots, \mathfrak{P}_u\}$  every conjugate  $\sigma_i(xy)$  is contained in  $\Pi$  as well. Therefore we obtain  $\text{Tr}(xy) \in \Pi \cap R_0 = \pi R_0$ .

On the other hand, every element  $z$  of  $\{x \in \Lambda \mid \text{Tr}(xy) \in \pi R_0 \ \forall y \in \Lambda\}$  satisfies  $\text{Tr}(z^j) \in \pi R_0$  for all  $j \in \mathbb{N}$ . Then Newton's relations between the traces  $S_i := \text{Tr}(z^i)$  and the coefficients  $(-1)^i \sigma_i$  of the powers  $t^{n-i}$  of the characteristic polynomial of  $z$ :

$$\sum_{i=0}^{k-1} (-1)^i \sigma_i S_{k-i} + (-1)^k k \sigma_k = 0 \quad (\sigma_0 := 1, 0 \leq k \leq n)$$

and

$$\sum_{i=0}^n (-1)^i \sigma_i S_{k-i} = 0 \quad (\sigma_0 := 1, n \leq k)$$

tell us that the coefficients of the characteristic polynomial of  $z$  are in  $\pi R_0$ , too. (Here we need our assumption  $p > n$ .) This has the consequence  $z^n \in \pi \Lambda$ , hence  $z \in J_{\pi \Lambda}$ .

□

**Example** We continue the example from above, this time computing the 5-radical of  $\Lambda = \mathbb{Z}[\rho]$ . Since the trace is  $\mathbb{Q}$ -linear we need to determine all  $x = x_1 + x_2 \rho + x_3 \rho^2 \in \Lambda$  satisfying  $\text{Tr}(x \rho^j) \in 5\mathbb{Z}$  ( $j = 0, 1, 2$ ). For this we compute the values

$$\text{Tr}(1) = 3 , \tag{11}$$

$$\text{Tr}(\rho) = -17 , \tag{12}$$

$$\text{Tr}(\rho^2) = 293 , \tag{13}$$

$$\text{Tr}(\rho^3) = -5042 , \tag{14}$$

$$\text{Tr}(\rho^4) = 86453 . \tag{15}$$

Again we remark that we only need these values modulo 5. The condition  $\text{Tr}(x \rho^j) \in 5\mathbb{Z}$  ( $j = 0, 1, 2$ ) amounts to  $3x_1 - 2x_2 + 3x_3 \equiv 0 \pmod{5}$ . Hence, the elements  $5, \rho - 1, \rho^2 - \rho$  form a  $\mathbb{Z}$ -basis of  $J_{5\Lambda}$ .

For the computation of the ring of multipliers of the  $\pi$ -radical there is still another method valid only for equation orders. In this case all elements can be presented via specializations  $t \mapsto \rho$  of polynomials of  $R_0[t]$ . Since we frequently need to switch from polynomials in  $R_0[t]$  to their images in  $(R_0/\pi R_0)[t]$  and vice versa we stipulate that all occurring polynomials are in  $R_0[t]$ . The generating polynomial  $f(t) \in R_0[t]$  is monic and separable. In  $(R_0/\pi R_0)[t]$  it decomposes into a product of monic irreducible polynomials  $p_i(t) \in R_0[t]$ :

$$f(t) \equiv \prod_{i=1}^s p_i(t)^{e_i} \pmod{\pi R_0[t]} . \quad (16)$$

We note that the  $p_i(t)$  remain irreducible modulo  $\pi R_0[t]$ . Since  $\pi^2$  divides the discriminant of  $f(t)$  at least one exponent  $e_i$  is bigger than one. For the following we do not even need the last factorisation. We only need the weaker one

$$f(t) \equiv \prod_{i=1}^s g_i(t)^i \pmod{\pi R_0[t]} , \quad (17)$$

with  $g_i(t)$  being the product of all  $p_j(t)$  for which  $e_j$  equals  $i$ . That last factorisation can be obtained just by calculations of the greatest common divisors of polynomials and their derivatives and quotients of polynomials modulo  $\pi R_0[t]$  (so-called **divisor cascading** or **factor refinement**). We note that the polynomials  $g_i(t)$  are pairwise coprime modulo  $\pi R_0[t]$ . We also put

$$g(t) := q \prod_{i=1}^s g_i(t) \in R_0[t] . \quad (18)$$

**Lemma 1.15. (Dedekind Test)** *Let  $\Lambda$  be the equation order  $R_0[\rho]$  for a zero  $\rho$  of  $f(t)$ . Then the  $\pi$ -radical of  $\Lambda$  is given by*

$$J_{\pi\Lambda} = \pi \Lambda + g(\rho) \Lambda . \quad (19)$$

Define the polynomial  $h(t)$  by

$$h(t) := \frac{1}{\pi} (f(t) - \prod_{i=1}^n g_i(t)^i) \in R_0[t] . \quad (20)$$

Then the equation order is  $\pi$ -maximal if and only if the greatest common divisor of the polynomials  $h(t)$  and  $g(t)/g_1(t)$  in  $(R_0/\pi R_0)[t]$  is one.

The proof also yields an  $R_0$ -basis of the ring of multipliers  $T := [J_{\pi\Lambda}/J_{\pi\Lambda}]$  of the  $\pi$ -radical  $J_{\pi\Lambda}$  which is useful if  $T$  is strictly larger than  $\Lambda$ .

**Proof** Since  $f(t)$  divides  $g(t)^n$  modulo  $\pi R_0[t]$  we have  $g(t)^n = f(t)A(t) + \pi B(t)$  for appropriate polynomials  $A(t), B(t) \in R_0[t]$ . Hence,  $g(\rho)^n$  is in  $\pi\Lambda$  and therefore  $g(\rho)$  in  $J_{\pi\Lambda}$ . Consequently, the right-hand side of (19) is contained in  $J_{\pi\Lambda}$ .

On the other hand, if  $\gamma$  is in  $J_{\pi\Lambda}$  then it is nilpotent modulo  $\pi\Lambda$ . We let  $A(t) \in R_0[t]$  of degree less than  $n$  such that  $\gamma = A(\rho)$ . By long division we get  $A(t)^n = q(t)f(t) + r(t)$  with  $\deg(r) < \deg(f)$  in  $R_0[t]$ . Because of  $A(\rho)^n \equiv 0 \pmod{\pi\Lambda}$  the polynomial  $r(t)$  must be in  $\pi R_0[t]$  and therefore  $f(t)$  divides  $A(t)^n$  modulo  $\pi R_0[t]$ . But then also  $g(t)$  divides  $A(t)$  modulo  $\pi R_0[t]$ . Hence, we get  $\gamma + \pi\Lambda = (g(\rho) + \pi\Lambda)(k(\rho) + \pi\Lambda)$  for a suitable  $k(t) \in R_0[t]$  and  $\gamma$  is contained in the right-hand side of (19).

In the remainder of the proof all occuring polynomials  $A_i(t)$  are in  $R_0[t]$ .

The structure of the  $\pi$ -radical immediately tells us that  $x \in F$  belongs to the ring of multipliers  $T := [J_{\pi\Lambda}/J_{\pi\Lambda}]$  if and only if  $x\pi$  and  $xg(\rho)$  both belong to  $J_{\pi\Lambda}$ . We know that  $T \subseteq \frac{1}{\pi}\Lambda$ . Any element  $x$  of  $\frac{1}{\pi}\Lambda$  can be written as  $x = A(\rho)/\pi$  with a polynomial  $A(t) \in R_0[t]$  of degree less than  $n$ . We will show that such an element belongs to  $T$  if and only if it satisfies the two conditions

- (1) The polynomial  $g(t)$  divides  $A(t)$  modulo  $\pi R_0[t]$ ;
- (2) the polynomial  $H(t)K(t)$  divides  $A(t)$  modulo  $\pi R_0[t]$ , where  $H(t)$  and  $K(t)$  are defined by  $H(t) \equiv f(t)/g(t) \pmod{\pi R_0[t]}$  and  $K(t) \equiv g(t)/\gcd(h(t), g(t)) \pmod{\pi R_0[t]}$ .

The first condition is obviously tantamount to  $x\pi \in J_{\pi\Lambda}$ . The second is derived from  $xg(\rho) \in J_{\pi\Lambda}$  in the following way. According to (19) we have  $xg(\rho) \in J_{\pi\Lambda}$  if and only if there exist polynomials  $A_2(t), A_3(t) \in R_0[t]$  satisfying  $A(\rho)g(\rho) = \pi(\pi A_2(\rho) + g(\rho)A_3(\rho))$ . Again this is tantamount to

$$A(t)G(t) = \pi^2 A_2(t) + \pi g(t)A_3(t) + f(t)A_4(t) \quad (21)$$

with a suitable polynomial  $A_4(t) \in R_0[t]$ . This yields

$$A(t) \equiv A_4(t) \frac{f(t)}{g(t)} \pmod{\pi R_0[t]},$$

and we define  $H(t) \in R_0[t]$  via

$$H(t) \equiv f(t)/g(t) \pmod{\pi R_0[t]}. \quad (22)$$

Then we have  $A(t) = A_4(t)H(t) + \pi A_5(t)$ . Inserting this into (21) we get

$$(g(t)H(t) - f(t))A_4(t) = \pi^2 A_2(t) + \pi g(t)(A_3(t) - A_5(t))$$

and with the notation of the lemma  $h(t)A_4(t) = \pi A_2(t) + g(t)A_6(t)$ . Since  $g(t)$  therefore divides  $h(t)A_4(t)$  modulo  $\pi R_0[t]$  the polynomial  $K(t)$  satisfying

$$K(t) \equiv \frac{g(t)}{\gcd(h(t), g(t))} \pmod{\pi R_0[t]} \quad (23)$$

divides  $A_4(t)$  modulo  $\pi R_0[t]$ . Hence, we obtain  $A_4(t) = K(t)A_7(t) + \pi A_8(t)$  and from this also

$$A(t) = H(t)K(t)A_7(t) + \pi(H(t)A_8(t) + A_5(t)) .$$

We conclude that the least common multiple of  $g(t)$  and  $H(t)K(t)$  modulo  $\pi R_0[t]$  divides  $A(t)$  modulo  $\pi R_0[t]$ . The following equations are valid in  $(R_0/\pi R_0)[t]$ :

$$\begin{aligned} \text{lcm}(g, HK) &= K \text{lcm}(\gcd(h, g), H) \text{ by (23)} \\ &= \frac{g}{\gcd(h, g)} \frac{\gcd(h, g)H}{\gcd(h, g, H)} \\ &= \frac{f}{\gcd(h, g, H)} \\ &=: U . \end{aligned}$$

Again the polynomial  $U(t)$  is assumed to be in  $R_0[t]$ . It divides  $A(t)$  modulo  $\pi R_0[t]$ .

We conclude that  $T$  coincides with  $\Lambda$  precisely for  $\gcd(h, G, H) \equiv 1 \pmod{\pi R_0[t]}$ . With respect to the notation of the lemma we remark that the greatest common divisor of  $G$  and  $H$  in  $(R_0/\pi R_0)[t]$  equals the polynomial  $G_1(t) := \prod_{i=2}^n g_i(t)$  modulo  $\pi R_0[t]$ . If the greatest common divisor of  $h$  and  $G_1$  modulo  $\pi R_0[t]$  is of degree  $m \geq 1$ , however, an  $R_0$ -basis of  $T$  is given by

$$1, \rho, \dots, \rho^{n-m-1}, \frac{1}{\pi}U(\rho), \rho\frac{1}{\pi}U(\rho), \dots, \rho^{m-1}\frac{1}{\pi}U(\rho) .$$

For  $m \geq 1$  the index of  $\Lambda$  in  $T$  is therefore  $\pi^m$ .

□

**Example** We continue our example for  $p = 5$ . The polynomial  $f(t) = t^3 + 17t^2 - 2t + 9$  splits modulo 5 into

$$f(t) \equiv (t-1)^3 \pmod{5\mathbb{Z}[t]} .$$

We note that in the notation of 17 we have  $g_1(t) = g_2(t) = 1$ ,  $g_3(t) = (t-1) = G(t)$ . In Dedekind's Lemma the polynomial  $h(t)$  becomes  $h(t) = ((t-1)^3 - f(t))/5 = -(4t^2 - t + 2)$ . We easily see that  $h(t) \equiv (t-1)(t+2) \pmod{5\mathbb{Z}[t]}$ . The greatest common divisor modulo  $5\mathbb{Z}[t]$  of

$h(t)$  and  $g_2(t)g_3(t)$  becomes  $t - 1$ , the equation order is clearly not 5-maximal. We compute  $U(t) = (t - 1)^2$ ,  $m = 1$  and obtain the following  $\mathbb{Z}$ -basis of the ring of multipliers  $T$ :

$$1, \rho, (\rho^2 - 2\rho + 1)/5 \ .$$

Once we have calculated the  $\pi$ -maximal overorders  $S_\pi$  for each prime element  $\pi \in \mathcal{S} = \{\pi_1, \dots, \pi_s\}$  whose square divides the discriminant  $d(S)$  of the equation order  $S = R_0[\alpha]$  we still need to merge these overorders to obtain the maximal order  $o_E$  of  $E$ . Without loss of generality we assume that  $S \subseteq S_\pi$  for all  $\pi \in \mathcal{S}$ . We note that the calculation of  $S_{\pi_j}$  ( $1 \leq j \leq s$ ) yields  $R_0$ -bases  $\tau_{j,1}, \dots, \tau_{j,n}$  via transformation matrices  $T_j \in R_0^{n \times n}$  subject to

$$(1, \alpha, \dots, \alpha^{n-1}) = (\tau_{j,1}, \dots, \tau_{j,n}) T_j \ .$$

The basis of  $S_{\pi_j}$  is chosen such that  $T_j = (t_{\mu\nu}^{(j)})$  is an upper triangular matrix in row reduced Hermite Normal Form. Because of  $(S_{\pi_j} : S) = \det(T_j)$  being a power of  $\pi_j$ , say

$$(S_{\pi_j} : S) := \pi_j^{\kappa_j} \ ,$$

the diagonal elements of  $T_j$  are powers of  $\pi_j$ , too. Since with each element  $x$  also  $\alpha x$  is in  $S_{\pi_j}$  we conclude that

$$t_{\mu\mu}^{(j)} \mid t_{\mu+1,\mu+1}^{(j)} \quad (1 \leq \mu < n) \ ,$$

respectively, for

$$t_{\mu\mu}^{(j)} = \pi_j^{\lambda_\mu^{(j)}}$$

we have

$$\lambda_1^{(j)} \leq \lambda_2^{(j)} \leq \dots \leq \lambda_n^{(j)} \ .$$

We note that  $\prod_{\mu=1}^n \pi_j^{\lambda_\mu^{(j)}} = \pi_j^{\kappa_j}$ . Because of  $S_{\pi_j} \cap R_0 = S \cap R_0 = R_0$  we also have  $\lambda_1^{(j)} = 0$ . Setting  $T_j^{-1} =: (a_{\mu\nu}^{(j)})$  the basis elements of  $S_j$  are given in the form

$$\tau_\mu^{(j)} = \pi_j^{-\lambda_\mu^{(j)}} \left( \alpha^{\mu-1} + \sum_{k=1}^{\mu-1} a_{k\mu}^{(j)} \alpha^{k-1} \right) \quad (1 \leq \mu \leq n; a_{\mu k}^{(j)} \in R_0) \ .$$

We put

$$c_\mu := \prod_{j=1}^s \pi_j^{\lambda_\mu^{(j)}}$$

and

$$c_\mu^{(j)} := c_\mu / \pi_j^{\lambda_\mu^{(j)}} \quad (1 \leq j \leq s) \ .$$

Similarly to a proof of the Chinese remainder theorem we determine elements  $d_\mu^{(j)}$  in  $R_0$  subject to

$$1 = \sum_{j=1}^s c_\mu^{(j)} d_\mu^{(j)} .$$

We claim that the elements

$$\omega_\mu := \sum_{j=1}^s d_\mu^{(j)} \tau_\mu^{(j)} \quad (1 \leq \mu \leq n)$$

form an  $R_0$ -basis of  $o_E$ . Clearly, they belong to  $o_E$ . It therefore suffices to show that any element  $x$  of  $o_E$  has a presentation

$$x = \sum_{\nu=1}^n x_\nu \omega_\nu \quad (x_\nu \in R_0) .$$

For this we assume that  $x$  belongs to  $o_E \cap \sum_{\nu=1}^\mu F_0 \alpha^{\nu-1}$  for a fixed integer  $\mu \in \{1, \dots, n\}$  and show that upon subtracting a suitable multiple of  $\omega_\mu$  yields an element of  $o_E \cap \sum_{\nu=1}^{\mu-1} F_0 \alpha^{\nu-1}$ . For the coefficient  $\xi_\mu$  of

$$x = \sum_{\nu=1}^\mu \xi_\nu \alpha^{\nu-1} \quad (\xi_\nu \in F_0)$$

we know that  $c_\mu \xi_\mu \in R_0$ . Then we get

$$\begin{aligned} x - c_\mu \xi_\mu \omega_\mu &= x - c_\mu \xi_\mu \sum_{j=1}^s d_\mu^{(j)} \tau_\mu^{(j)} \\ &= x - c_\mu \xi_\mu \sum_{j=1}^s d_\mu^{(j)} \frac{c_\mu^{(j)}}{c_\mu} \left( \alpha^{\mu-1} + \sum_{k=1}^{\mu-1} a_{k\mu}^{(j)} \alpha^{k-1} \right) \\ &= x - c_\mu \xi_\mu \frac{1}{c_\mu} \alpha^{\mu-1} - y , \end{aligned}$$

and the element  $y$  clearly belongs to  $o_E \cap \sum_{\nu=1}^{\mu-1} F_0 \alpha^{\nu-1}$ . Hence, the elements  $\omega_1, \dots, \omega_n$  are indeed an  $R_0$ -basis of  $o_E$ .

**Remark** The first basis element  $\omega_1$  becomes 1 by this construction.

**Example** In the example previously discussed the equation order was not maximal for the primes  $\pi_1 = 3$  and  $\pi_2 = 5$ . For the  $\pi_j$ -maximal overorders we obtained bases  $1, \alpha, \frac{\alpha^2 + \alpha}{3}$  and  $1, \alpha, \frac{\alpha^2 - 2\alpha + 1}{5}$ , respectively. From this we get  $c_1 = c_2 = 1, c_3 = 15$ . It is easily seen that  $\omega_1$  is 1 and that  $\omega_2$  is  $\alpha$ . To obtain  $\omega_3$  we calculate  $c_3^{(1)} = 5, c_3^{(2)} = 3$  and



$d_3^{(1)} = -1$ ,  $d_3^{(2)} = 2$  and finally

$$\begin{aligned}\omega_3 &= -\frac{\alpha^2 + \alpha}{3} + 2\frac{\alpha^2 - 2\alpha + 1}{5} \\ &= \frac{\alpha^2 + -17\alpha + 6}{15} .\end{aligned}$$

We note that the coefficient of  $\alpha$  in the representation of  $\omega_3$  can be modified (by adding  $\omega_2$ ) to  $-2$ .