medRxiv preprint doi: https://doi.org/10.1101/2021.01.15.21249893; this version posted January 20, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted medRxiv a license to display the preprint in perpetuity. It is made available under a CC-BY-NC-ND 4.0 International license.

COVID-19 propagation by diffusion - a two-dimensional approach for Germany

Günter Bärwolff* Technische Universität Berlin

Abstract

Diffusion comes anytime and everywhere. If there is a gradient or a potential difference of a quantity a diffusion process happens and this ends if an equilibrium is reached only. The concentration of a species maybe such quantity, or the voltage. An electric currant will be driven by a voltage difference for example.

In this COVID-19 pandemic one observes both regions with low incidence and other ones with high incidence. The local different people density could be a reason for that. In populous areas like big cities or congested urban areas higher COVID-19 incidences could be observed than in rural regions.

The aim of this paper consists in the application of a diffusion concept to describe one possible issue of the the COVID-19 propagation.

This will be discussed for the German situation based on the quite different incidence data for the different federal states of Germany.

With this ansatz some phenomenoms of the actual development of the pandemic could be confirmed. The model gives a possibility to investigate certain scenarios like border-crossings or local spreading events and their influence on the COVID-19 propagation as well.

1 Introduction and the mathematical model

The mathematical modeling of COVID-19 with SIR-type models ([5], [6]) leads to averaged results and does not take unequal peopling or populousness into account. But it is well known, that these issues play an important role in the local pandemic evolution. With the consideration of local-dependent density of people and a diffusion model we try to resolve the COVID-19 propagation in a finer manner.

What is a good choice of a quantity to describe the COVID-19 spread? The WHO and national health institutions measure the COVID-19 spread with the seven-days-incidence (sometimes also the fourteen-days incidence) of infected people per 100000 inhabitants. In Germany it is possible to control or trace the history of infected people by local health institutions if the seven-days incidence has a value less than 50. But at the end of December 2020 and the begin of January 2021 the averaged incidence is about 140, and in some hotspot federal states like Saxony greater than 300. If the social and economical life should be sustained there are several possibilities to transmit the COVID-19 virus anyhow. The following ones should be mentioned:

^{*}mailto:baerwolf@math.tu-berlin.de

NOTE: This preprint reports new research that has not been certified by peer review and should not be used to guide clinical practice.

 preprint doi: https://doi.org/10.1101/2021.01.15.21249893; this version posted January 20, 2021. The copyright holder for this preprint h was not certified by peer review) is the author/funder, who has granted medRxiv a license to display the preprint in perpetuity. It is made available under a CC-BY-NC-ND 4.0 International license.
 Commuters and employers on the way to there office or to there position of medRxiv preprint doi: https://doi.org (which was not certified by p

- employment especially including medical and nursing staff.
- Pupils and teachers in schools and on the way to school and in the school.
- People buying every day necessities using shopping centers.
- Postmen, suppliers and deliverers.

All these activities take place during the so called lockdown in Germany with the result of ongoing propagation of the pandemic. Also the unavailable center of power in the decentralized federal state Germany. This leads often to solo efforts of some federal states.

From authoritarian countries like China or Singapore with a quite different civilization and other cultural traditions than the German ones for example it is known, that the virus propagation could be stopped with very rigorous measures like the strict prohibition of the social and economic life (see 2^1), i.e. activities which mentioned above are absolutely forbidden.



Figure 1: German lockdown

Figure 2: Chinese lockdown

This is inconceivable in countries like Germany, Austria, the Netherlands or other so called democratic states with a western understanding of freedom and selfdetermination (see 1). But in the consequences of such a western life style they have to live with a more or less consecutive activity of the COVID-19 pandemic. And that is the reason for the following trial to describe one aspect of the pandemic by a diffusion model. In another context a similar model was discussed in [4].

In the following diffusion concept the seven-days incidence should be denoted by s and s should serve as the quantity which will be influenced by it's gradients between different levels of incidence in the federal states of Germany. At the the mathematical model of diffusion leads to a partial differential equation for the considered quantity (here *s*)

$$\frac{\partial s}{\partial t} = \nabla \cdot (D\nabla s) + q \quad \text{in} \ [t_0, T] \times \Omega, \tag{1}$$

where $\Omega \subset \mathbb{R}^2$ is the region which will be investigated, for example the national territory of Germany, D is a diffusion coefficient, depending on the locality $x \in \Omega$, $[t_0, T]$ is the time interval of interest, and q is a term which describes sources or sinks of possible infections.

¹From gettyimages

medRxiv preprint doi: https://doi.org/10.1101/2021.01.15.21249893; this version posted January 20, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted medRxiv a license to display the preprint in perpetuity. It is made available under a CC-BY-NC-ND 4.0 International license.

Beside the equation (1) one needs initial conditions for *s*, for example

$$s(x,t_0) = s_0(x), \quad x \in \Omega$$
⁽²⁾

and boundary conditions

$$\alpha s + \beta \nabla s \cdot \vec{n} = \gamma \quad \text{in} \ [t_0, T] \times \partial \Omega \,, \tag{3}$$

where α , β and γ are real coefficients and by $\partial \Omega =: \Gamma$ the boundary of the region Ω will be denoted. $\nabla_n s = \nabla s \cdot \vec{n}$ is the directional derivative of s in the direction of the outer normal vector \vec{n} on Γ . The choice of $\alpha = 0$, $\beta = 1$ and $\gamma = 0$ for example leads to the homogeneous Neumann boundary condition

$$\nabla_n s = 0 , \qquad (4)$$

which means no import of *s* at the boundary Γ . In other words, (4) describes closed borders to surrounding countries outside Ω .

The diffusion coefficient function $D : \Omega \to \mathbb{R}$ is responsible for the intensity or velocity of the diffusion process. From fluid or gas dynamics one knows from [1] the formula

$$D = \frac{2}{3}\bar{v}l$$

with the averaged particle velocity \bar{v} and the mean free path l. The application of this ansatz to the movement of people in certain areas requires assumptions for \bar{v} and l. If we consider a circular or quadratic region with the area A and a number of inhabitants N who are distributed equally l could be approached by

$$l = \frac{\sqrt{A}}{\sqrt{N}} \; .$$

For the velocity \bar{v} we assume $\bar{v} = 5000 \frac{km}{day}$ ([3]). Because of the different areas and numbers of inhabitants of the federal states of Germany D will be a local depending non-constant function.

If there are no sources or sinks for *s*, i.e. q = 0, and the borders are closed which means the boundary condition (4), the initial boundary value problem (1), (2), (4) has the steady state solution

$$s_{st} = \frac{\int_{\Omega} s_0(x) \, dx}{\int_{\Omega} dx} = \text{const.}$$
 (5)

This is easy to verify and this property is characteristic for diffusion processes which tend to an equilibrium. It is quite complicated to model the source-sink function q in an appropriate kind. q depends of the behavior of the population and the health policy of the different federal states. It's only possible to work with very coarse guesses. It is known that the people in Schleswig-Holstein is exemplary with respect to the recommendations to avoid infection with the COVID-19 virus and this means q < 0. On the other hand it is known from Saxony the many people belief there is not a jot of truth in the pandemic, which means q > 0 for a long time (now the government of Saxony changed the policy which leads to q < 0).

But regardless of these uncertainties one can get information about the pandemic propagation for example the influence of hotspots of high incidences (Saxony) to regions with low incidences (South of Brandenburg) for example.

21249893; this version posted January 20, 2021. The copyright holder for this preprint medRxiv preprint doi: https://doi.org/10.1101/2021.01.15 (which was not certified by peer review) is the author/funder, who has granted medRxiv a license to display the preprint in perpetuity. 2 Data of the different federal states of Germany

At the beginning of the year 2021 (14th of January) the Robert-Koch-Institut which is responsible for the daily COVID-19 data collection published the seven-days incidence data (of January the 14th, 2021, see [2]) summarized in table 1.

The values of table 1 are used as initial data for the function s_0 of (2).

states	7-days incidence	density	inhibitants	area
Schleswig-Holstein	92	183	2904	15804
Hamburg	115	2438	1847	755
Mecklenburg-West Pomerania	117	69	1608	23295
Lower Saxony	100	167	7994	47710
Brandenburg	212	85	2522	29654
Berlin	180	4090	3669	891
Bremen	84	1629	681	419
Saxony-Anhalt	241	109	2195	20454
Thuringia	310	132	2133	16202
Saxony	292	221	4072	18450
Bavaria	160	185	13125	70542
Baden-Wuerttemberg	133	310	11100	35784
North Rhine-Westphalia	131	526	17947	34112
Hesse	141	297	6288	21116
Saarland	160	385	987	2571
Rhineland-Palatinate	122	206	4094	19858
Munic	156	4700	1540	310

As a base for the determination of the diffusion coefficient function we use the data of table 1.

Table 1: 7-days incidence, people density $[/km^2]$, inhibitants [/100000], area of the federal states of Germany $[km^2]$

The unit of the diffusion function D will be $[km^2/day]$. Eventual sources or sinks will be gauged in [/day]. The incidence s is dimensionless.

The numerical solution of the initial boundary value 3 problem (1),(2),(4)

Based on the subdivision of Ω (area of Germany) into finite rectangular cells $\omega_i, j \in$ I_{Ω} and $\Omega = \bigcup_{j \in I_{\Omega}} \omega_j$ the equation (1) will be spatial discretized with a finite volume method (I_{Ω} is the index set of the finite volume cells). Together with the discrete boundary condition (4) we get a semi-discrete system continuous in time

$$\frac{\partial s_j}{\partial t} = \nabla_h \cdot (D\nabla_h s_j) + q_j , \ j \in I_\Omega,$$
(6)

where the index *h* means the discrete versions of the ∇ -operator.

The time discretization is done with an implicit Euler scheme. This allows us to work without strict restrictions for the choice of the discrete time-step Δ_t . At every

medRxiv preprint doi: https://doi.org/10.1101/2021.01.15.21249893; this version posted January 20, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted medRxiv a license to display the preprint in perpetuity. It is made available under a CC-BY-NC-ND 4.0 International license .

time-level it is to solve the linear equation system

$$\frac{1}{\Delta_t}s_j^{n+1} - \nabla_h \cdot (D\nabla_h s_j^{n+1}) = \frac{1}{\Delta_t}s_j^n + q_j , \ j \in I_\Omega ,$$
(7)

for n = 0, ..., N, $N = (T - t_0)/\Delta_t$. s_j^0 was set to the incidence $s_0(x)$ for $x \in \omega_j$, $j = 1, ..., I_{\Omega}$. The solution of equation system (7) for a certain time-level n is done with an iterative method.

4 Numerical simulation results

In fig. 4 the region Ω is adumbrated. The size of finite volume cells is $\Delta_x \times \Delta_y = (8km \times 8km)$. First we start with the case q = 0. Δ_t was set to one day. In the follow-







Figure 4: Coarse contour of Ω and it's discretization

ing figs. 5-10 the initial state and the results of the diffusion process for the development of the seven-days incidence after 50 and 120 time-steps (days) are shown. The left figs. show a view from west to east, and the right figs. show the view from north to south. The initial state is a piece-wise constant function with values of the sevendays incidence of the 16 federal states where we consider munic as a town with over a million inhabitants separately (it was excluded from Bavaria). Especially in the border regions (Saxony - Brandenburg, Saxony - Bavaria, Saxony - Thuringia) one can observe a transfer of incidence from the high level incidence of Saxony to the neighbored federal states. Also the high incidence level of Berlin was transferred to the surrounding area of Brandenburg. The north states with a low incidence level were only influenced by the other states weakly.

With the parameters α , β and γ of the boundary condition (3) it's possible to describe several situations at the borders of the boundary Γ of Ω . $\alpha = 0$, $\beta = -D$ and $\gamma \neq 0$ describes a flux through the border. In the next example such a scenario will be used to describe the way home of infected people from Austria to Bavaria. The boundary condition at the border crossing reads as

$$-D\nabla s \cdot \vec{n} = \gamma$$

medRxiv preprint doi: https://doi.org/10.1101/2021.01.15.21249893; this version posted January 20, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted medRxiv a license to display the preprint in perpetuity. It is made available under a CC-BY-NC-ND 4.0 International license.



Figure 5: Initial distribution of s, n = 0, Figure 6: Initial distribution of s, n = 0, q = 0



Figure 7: *s* after 100 time-steps, q = 0 Figure 8: *s* after 100 time-steps, q = 0

The initial state s_0 was the same as in the example above. $\gamma > 0$ means an "inflow" of infected people, $\gamma < 0$ a loss of infected people ($\gamma = 0$ describes a closed border). In the following figures 11, 12 the case $\gamma = 0$ is compared to the case $\gamma = 50$ (km/day). At the south boundary of Bavaria one can observe the increase of *s* caused by the flux of *s* from Austria to Bavaria.

To get an idea of an appropriate approach of the source-sink term q let us consider the development of the 7-days incidence of three successive days in the federal states. The guessed values of the change of s per day are results of a linear regression. The change of s per day can be divede into a part coming from diffusion and another part coming from the local passing on the virus. We assume a 10% part coming from local transmission. The figures 13-16 show the simulation results with the assumptions for q after 10, 20, 30 and 50 time-steps (no border-crossing). The initial values are taken from table 1, i.e. the s-data of 12.01.2021.

Because of the coarse approach of *q* it is advisable to update *q* based on the actual data, especially if the local pandemic progression is changing stiffly.

medRxiv preprint doi: https://doi.org/10.1101/2021.01.15.21249893; this version posted January 20, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted medRxiv a license to display the preprint in perpetuity. It is made available under a CC-BY-NC-ND 4.0 International license.



Figure 9: *s* after 200 time-steps, q = 0

Figure 10: *s* after 200 time-steps, q = 0



Figure 11: *s* after 200 time-steps, γ = Figure 12: *s* after 200 time-steps, γ = 0km/day, q = 0 50km/day, q = 0

5 Discussion and Conclusion

The examples show the impact of diffusion effects on the propagation of the COVID-19 pandemic. It must be remarked that these processes are very slow compared to the virus transmission in a local hotspot cluster. But with the presented model is it possible to describe creeping processes which occur beside slack measures like holey lockdowns.

Especially the pandemic propagation in regions with high incidence gradients can be described with the discussed diffusion concept.

It would be interesting to continue the work with diffusion models especially the investigation of spreading events by a refined approach of q and the influence of border traffic. The presented model is qualified for such investigations. But it's important to note that the diffusion modeling is only a small part of the understanding of the expansion of the SARS-CoV-2 virus.

At the end it should be noted that the distribution and propagation must be count back to the distribution and propagation of the infected people. Doing this, one can see, that the dense peaks of infected people are located in the metropolitan medRxiv preprint doi: https://doi.org/10.1101/2021.01.15.21249893; this version posted January 20, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted medRxiv a license to display the preprint in perpetuity. It is made available under a CC-BY-NC-ND 4.0 International license.



based on table 2

Figure 13: *s* after 10 time-steps, $q \neq 0$, Figure 14: *s* after 20 time-steps, $q \neq 0$, based on table 2



Figure 15: *s* after 30 time-steps, $q \neq 0$, Figure 16: *s* after 50 time-steps, $q \neq 0$, based on table 2 based on table 2

areas like Berlin, Munic or Hamburg. Figs. 17 and 18 show the distribution of the seven-days incidence and of the resulting infected people (by counting back using the people densities and the area of the federal states).

This research received no external funding.

Acknowledgements

Considering the topic of the pademic modeling I had some interesting exchange of ideas with my friends and colleagues F. Bechstedt, physicist of the Friedrich-Schiller University Jena, and Reinhold Schneider, mathematician of the Technical University Berlin. For this heartthanks.

References

[1] E. L. Cussler, Diffusion - Mass Transfer in Fluid Systems. Cambridge University Press, Cambridge, New York, 1997

medRxiv preprint doi: https://doi.org/10.1101/2021.01.15.21249893; this version posted January 20, 2021. The copyright holder for this preprint				
(which was not certified by peer review) is the author/funder, who has granted medRxiv a license to display the preprint in perpetuity.				
It is made available under a CC-BV-NC-ND 4.0 International license				

It is made available	12.1.2021	13.1.2021	14.1.2021	Δs per day
Schleswig-Holstein	98	94	92	-3
Hamburg	127	120	115	-1
Mecklenburg-West Pomerania	129	122	117	-6
Lower Saxony	114	108	100	-7
Brandenburg	258	230	212	-23
Berlin	178	184	180	1
Bremen	86	84	84	-1
Saxony-Anhalt	238	232	241	1,5
Thuringia	326	324	310	-8
Saxony	342	304	292	-25
Bavaria	159	148	169	0,5
Baden-Wuerttemberg	139	130	133	-3
North Rhine-Westphalia	149	142	131	-9
Hesse	157	150	141	-8
Saarland	184	176	160	-12
Rhineland-Palatinate	139	132	132	-8,5
Munic	157	156	156	0

Table 2: 7-days incidence, guessed changed *s* per day [/day]

- [2] Dashboard of the Robert-Koch-Institut (www.rki.de/DE/Content/InfAZ/N/Neuartiges_Coronavirus/Fallzahlen.html) 2021.
- [3] E. Bontempi et al., Understanding COVID-19 diffusion requires an interdisciplinary, multi-dimensional approach. Environmental Research 188 (2020), doi: https://doi.org/10.1016/j.envres.2020.109814.
- [4] M. Braack, Quaas, M.F., Tews, B. et al., Optimization of Fishing Strategies in Space and Time as a Non-convex Optimal Control Problem. J Optim Theory Appl 178, 950–972 (2018). https://doi.org/10.1007/s10957-018-1304-7



Figure 17: *s* after 200 time-steps, q = 0

Figure 18: Infected people after 200 time-steps q = 0

medRxiv preprint doi: https://doi.org/10.1101/2021.01.15.21249893; this version posted January 20, 2021. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted medRxiv a license to display the preprint in perpetuity. It is made available under a CC-BY-NC-ND 4.0 International license . [5] W.O. Kermack and A.G. McKendrick, A contribution to the mathematical theory

- of epidemics. Proc. R. Soc. London A 115(1927)700.
- [6] G. А Modeling Bärwolff, Contribution the Mathematical to of medRxiv.preprint Corona/COVID-19 2020, the Pandemic. doi: https://doi.org/10.1101/2020.04.01.20050229.