# On the expected number of holes in random point sets

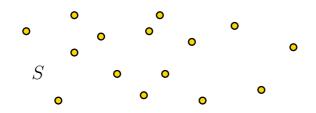
Martin Balko, Manfred Scheucher, and Pavel Valtr





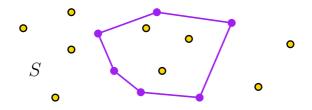
#### Theorem (Erdős, Szekeres, 1935)

For each  $k \in \mathbb{N}$ , every sufficiently large point set in general position (no 3 points are collinear) in the plane contains k points in convex position.



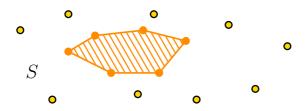
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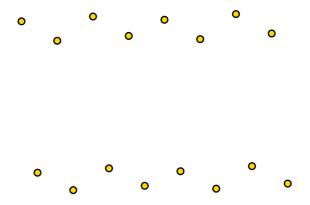
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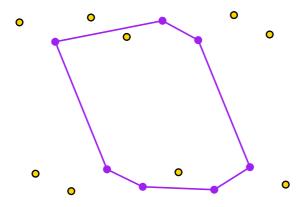
- A *k*-hole in a point set *S* is a *k*-tuple of points from *S* in convex position with no points of *S* in the interior of their convex hull.
- Every set of  $\geq$  3 points contains a 3-hole. Also,  $\geq$  5 points  $\rightarrow$  4-hole and  $\geq$  10 points  $\rightarrow$  5-hole (Harborth, 1978).

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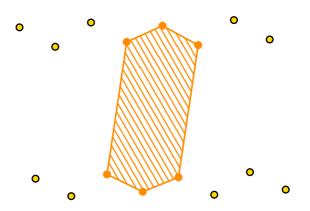
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• Every sufficiently large point set in general position contains a 6-hole (Gerken, 2008 and Nicolás, 2007).

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- Let  $h_k(n)$  be the minimum number of k-holes among all sets of n points in the plane in general position.
- The following bounds are known:
  - $h_3(n)$  and  $h_4(n)$  are in  $\Theta(n^2)$ .
  - $h_5(n)$  is in  $\Omega(n \log^{4/5} n)$  and  $O(n^2)$ .
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- The minimum number of (d+1)-holes (empty simplices) in an n-point set in  $\mathbb{R}^d$  is in  $\Theta(n^d)$  (Bárány, Füredi, 1987).

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for  $d \geq 3$ , where  $\kappa_d$  is the volume of the d-dimensional unit ball. The upper bound holds with equality if K is an ellipsoid.

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Let  $d \geq 2$  and  $k \geq d+1$  be integers and let  $K \subseteq \mathbb{R}^d$  be a convex body of unit volume. If  $n \geq k$ , then the expected number  $EH_{d,k}^K(n)$  is at most

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#### Theorem 2 (2021)

For all fixed integers  $d \geq 2$  and  $k \geq d+1$  and every convex body  $K \subseteq \mathbb{R}^d$  of unit volume, we have  $EH_{d,k}^K(n) \geq \Omega(n^d)$ .

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Proof uses heavy machinery from stochastic geometry (Blaschke–Petkantschin formula & a result by Reitzner and Temesvari)

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#### Corollary (2021)

For every convex body  $K \subseteq \mathbb{R}^3$  of unit volume, we have  $3 \le C_{3,4}^K \le \frac{12\pi^2}{35} \approx 3.38$ . Moreover, the left inequality is tight if K is a tetrahedron and the right inequality is tight if K is an ellipsoid.

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- We also believe that our lower bound on  $C_{d,d+1}^K$  from Theorem 3 is tight for simplices in any dimension d.

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#### Theorem 5 (2021)

For every integer  $k \geq 3$ , there is a constant C = C(k) such that, for every convex body  $K \subseteq \mathbb{R}^2$  of unit area, we have  $C_{2,k}^K = C$ .

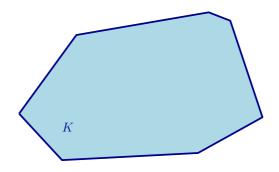
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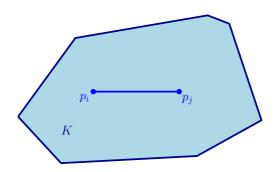
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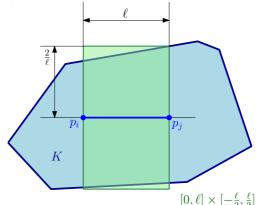
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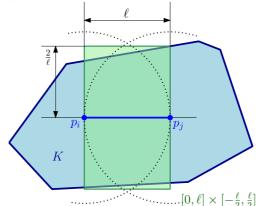
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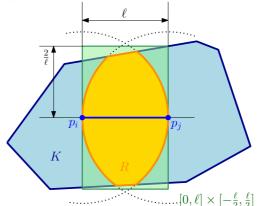
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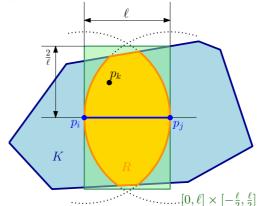
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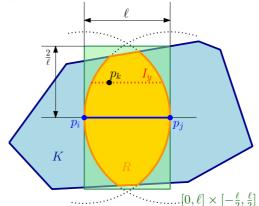
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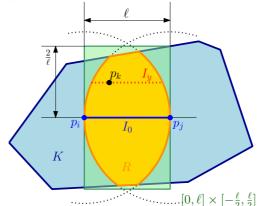
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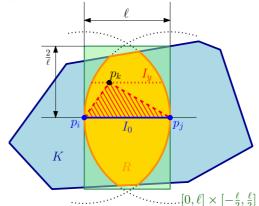
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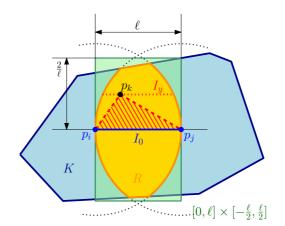


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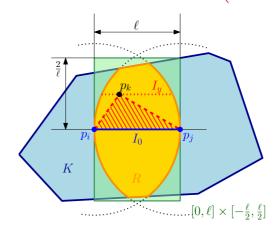
$$(n-2)\cdot\int_{-2/\ell}^{2/\ell}|I_y|\cdot \Pr[p_ip_jp_k \text{ is empty in } S]\,\mathrm{d}y.$$



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$$2 \cdot \int_0^\infty |I_0| \cdot e^{-Y \cdot \ell/2} dY =$$

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### Sketch of the proof of $C_{2,3}^K = 2$

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### Sketch of the proof of $C_{2,3}^K = 2$

• Thus the expected number of 3-holes with longest edge  $p_ip_j$  equals

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• Since there are  $\binom{n}{2}$  pairs  $\{p_i, p_j\}$ , the expected number of 3-holes in S is  $4(1+o(1)) \cdot \binom{n}{2} = 2n^2 + o(n^2)$ .

### Sketch of the proof of $C_{2,3}^K = 2$

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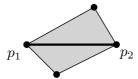
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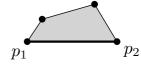
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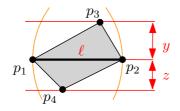
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2 types of 4-holes:





type 1:



$$\int_{y=0}^{2/\ell} \int_{z=0}^{2/\ell-y} |I_y| \cdot |I_{-z}| \cdot \left(1 - \frac{\ell \cdot (y+z)}{2}\right)^{n-4} dz dy$$

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substitude Y = yn and Z = zn

$$\frac{1}{n^2} \int_{Y=0}^{2n/\ell} \int_{Z=0}^{2n/\ell-Y} |I_{Y/n}| \cdot |I_{-Z/n}| \cdot \left(1 - \frac{\ell \cdot (Y+Z)}{2n}\right)^{n-4} dZ dY.$$

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we integrate over the set  $\{(Y,Z)\in\mathbb{R}^2\colon Y+Z\leq 2n/\ell, Y,Z\geq 0\}$ , which becomes  $\{(Y,Z)\in\mathbb{R}^2\colon Y,Z\geq 0\}$  for n going to infinity

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 and  $|I_{Z/n}|$  both become  $|I_0|=\ell$ 

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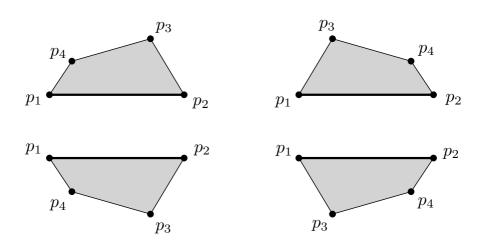
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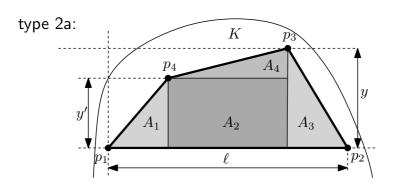
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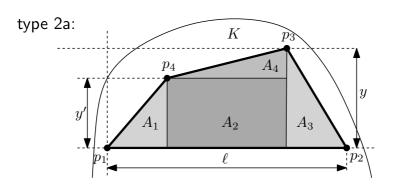
$$\int_{Y=0}^{\infty} \int_{Z=0}^{\infty} \ell^2 e^{-\ell \cdot (Y+Z)/2} \, dZ dY = 4.$$

type 2: 4 symmetric subcases





$${\rm area} = \frac{x'y'}{2} + (x-x')y' + \frac{(\ell-x)y}{2} + \frac{(x-x')(y-y')}{2} = \frac{(\ell-x')y + xy'}{2}.$$



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$$\int_0^{2/\ell} \int_{l(y)}^{r(y)} \int_0^y \int_{l(y')}^{xy'/y} \left( 1 - \frac{(\ell - x')y + xy'}{2} \right)^{n-4} dx' dy' dx dy = \dots = 4 - \frac{\pi^2}{3}$$

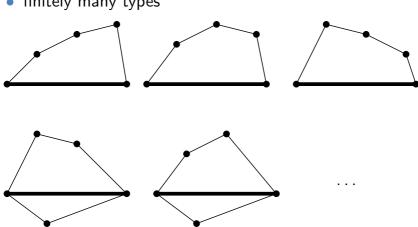
in total:

$$\left(\underbrace{\frac{4}{\text{type 1}}} + \underbrace{4 \cdot \left(4 - \frac{\pi^2}{3}\right)}_{\text{type 2}}\right) \cdot \binom{n}{2} = \left(20 - \frac{4}{3}\pi^2\right) \cdot \binom{n}{2}$$

# Sketch of the proof of $C_{2,k}^K = C(k)$

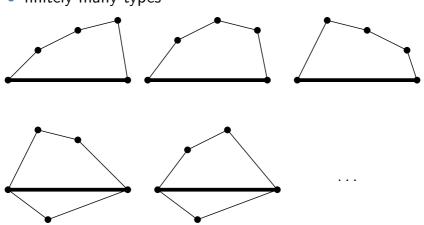
# Sketch of the proof of $C_{2,k}^K = C(k)$

finitely many types



### Sketch of the proof of $C_{2,k}^K = C(k)$

finitely many types



• each type gives  $c(1+o(1))n^2$  where c does not depent on K



Thank you for your attention.

#### Theorem 3 (2021)

For every  $d \geq 2$  and every convex  $K \subseteq \mathbb{R}^d$ , we have  $C_{d,d+1}^K \geq \frac{2}{(d-1)!p_{d-1}}$ .

• By Theorem 3, any nontrivial upper bound on the probability  $p_{d-1}$  translates into a stronger lower bound on  $C_{d,d+1}^K$ .

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#### Proposition (2021)

For  $\varepsilon > 0$  and  $d \ge 1$ , let  $K \subseteq \mathbb{R}^d$  be a convex body of unit volume. If K has diameter at most  $d^{1-\varepsilon}$ , then  $p_d^K \le \frac{(d+2)d^{(1-\varepsilon)d}}{d!}$ .

#### Theorem 3 (2021)

For every  $d \geq 2$  and every convex  $K \subseteq \mathbb{R}^d$ , we have  $C_{d,d+1}^K \geq \frac{2}{(d-1)!p_{d-1}}$ .

- By Theorem 3, any nontrivial upper bound on the probability  $p_{d-1}$  translates into a stronger lower bound on  $C_{d,d+1}^K$ . However, we are not aware of any general bound on  $p_{d-1}$ . It is a major problem in convex geometry to decide whether  $p_d^K$  is maximized if K is a simplex (Sylvester's convex hull problem).
- We have an estimate on  $p_d^K$  for bodies of K of small diameter though.

#### Proposition (2021)

For  $\varepsilon > 0$  and  $d \ge 1$ , let  $K \subseteq \mathbb{R}^d$  be a convex body of unit volume. If K has diameter at most  $d^{1-\varepsilon}$ , then  $p_d^K \le \frac{(d+2)d^{(1-\varepsilon)d}}{d!}$ .

• Kingman proved exact formula for  $p_d^{B^d}$ , which gives  $p_d^{B^d} = d^{-\Theta(d)}$ .

• We conjecture that this is the right growth rate of  $p_d^K$  for any K.

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#### Conjecture

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For every  $d \ge 2$ , if K is a d-dimensional simplex of unit volume, then

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# Thank you for your attention.