



# A generalization of the Funk–Radon transform

Michael Quellmalz

Faculty of Mathematics, Technische Universität Chemnitz

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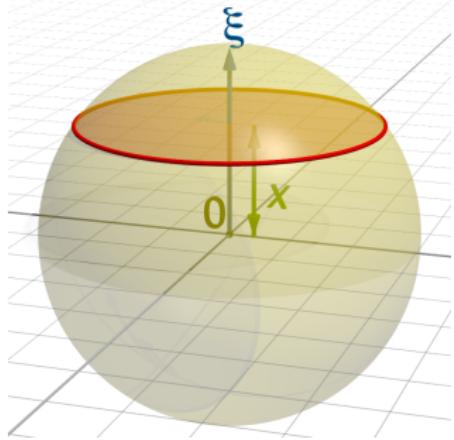
Factorization theorem

Corollaries of the factorization

- ▶ **Sphere**  $\mathbb{S}^2 = \{\xi \in \mathbb{R}^3 : \|\xi\| = 1\}$
- ▶ **Function**  $f : \mathbb{S}^2 \rightarrow \mathbb{C}$
- ▶ Circles on the sphere are intersections of the sphere with planes:

$$\{\eta \in \mathbb{S}^2 : \langle \xi, \eta \rangle = x\},$$

for  $\xi \in \mathbb{S}^2$ ,  $x \in [-1, 1]$



## Definition

Define the **mean operator**

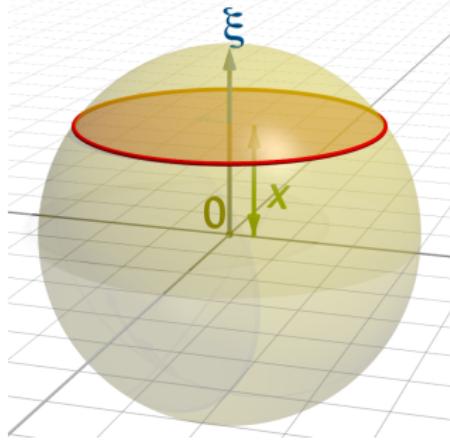
$$\mathcal{S} : C(\mathbb{S}^2) \rightarrow C(\mathbb{S}^2 \times [-1, 1]),$$

$$\mathcal{S}f(\xi, x) = \int_{\langle \xi, \eta \rangle = x} f(\eta) d\lambda(\eta)$$

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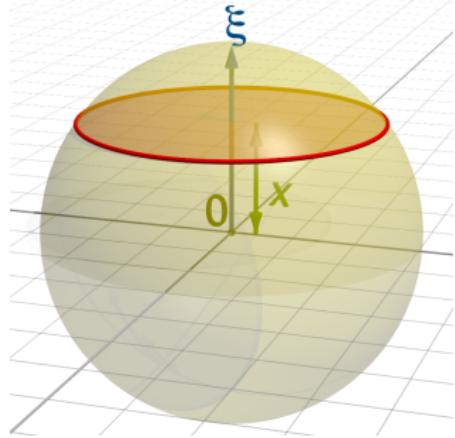
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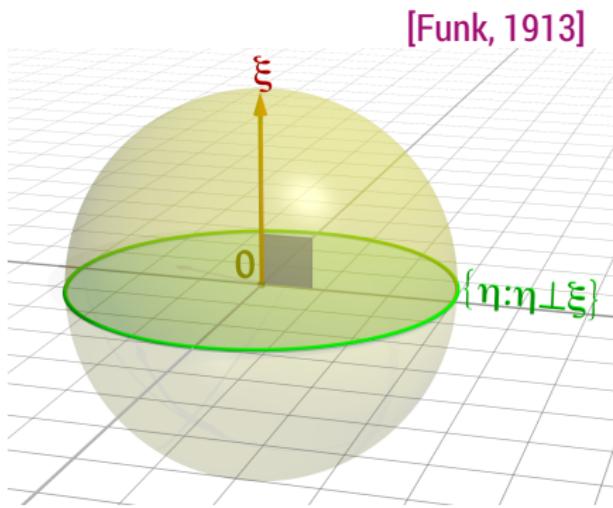
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# Funk–Radon transform

- ▶ Restriction to all great circles
- ▶ **Funk–Radon transform** (a.k.a. Funk transform or spherical Radon transform)

$$\mathcal{F}: C(\mathbb{S}^2) \rightarrow C(\mathbb{S}^2),$$

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## Questions for such transforms

### 1. Injectivity

(Knowing the mean values of  $f$  on certain circles, can we reconstruct  $f$ ?)

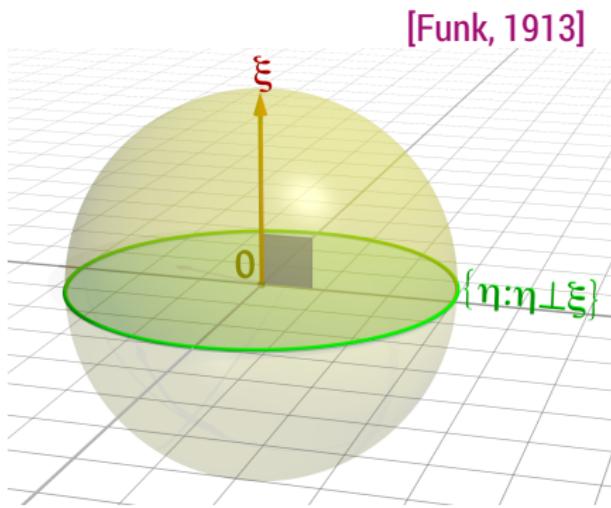
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[Funk, 1913]

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### 2. Range

# Fourier series

- ▶ Write  $f \in L^2(\mathbb{S}^2)$  in terms of the **spherical harmonics**  $Y_n^k$  of degree  $n$

$$f = \sum_{n=0}^{\infty} \sum_{k=-n}^n \hat{f}(n, k) Y_n^k$$

## Eigenvalue decomposition

[Minkowski, 1904]

For spherical harmonics  $Y_n^k$

$$\mathcal{F}Y_n^k(\xi) = P_n(0)Y_n^k(\xi), \quad P_n(0) = \begin{cases} \frac{(n-1)!!}{n!!}, & n \text{ even,} \\ 0, & n \text{ odd} \end{cases}$$

$P_n$  – Legendre polynomial of degree  $n$

- ▶ Every even function  $f \in L^2(\mathbb{S}^2)$  can be reconstructed from  $\mathcal{F}f$

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# Sobolev spaces

- ▶ For  $s \geq 0$ , the **Sobolev space**  $H^s(\mathbb{S}^2)$  is the completion of the space of polynomials  $f : \mathbb{S}^2 \rightarrow \mathbb{C}$  with the norm

$$\|f\|_s^2 := \sum_{n=0}^{\infty} \sum_{k=-n}^n |\hat{f}(n, k)|^2 \left(n + \frac{1}{2}\right)^{2s}.$$

## Theorem

[Strichartz, 1981]

The Funk–Radon transform is bijective

$$\mathcal{F} : H_{\text{even}}^s(\mathbb{S}^2) \rightarrow H_{\text{even}}^{s+\frac{1}{2}}(\mathbb{S}^2)$$

- ▶  $\mathcal{F}$  is smoothing of degree  $\frac{1}{2}$

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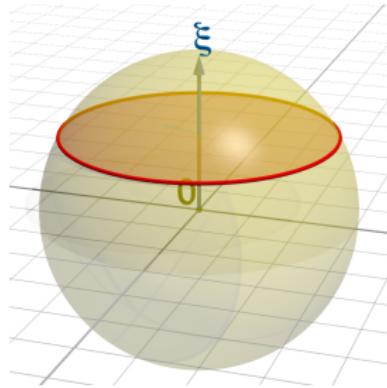
- ▶  $\mathcal{F}$  is smoothing of degree  $\frac{1}{2}$

- ▶ Circles with fixed radius
- ▶ For fixed  $x_0 \in [-1, 1]$ , compute

$$\mathcal{S}_{x_0} f(\xi) = \int_{\langle \xi, \eta \rangle = x_0} f(\eta) d\eta$$

- ▶ Eigenvalue decomposition yields

$$\mathcal{S}_{x_0} Y_n^k = P_n(x_0) Y_n^k$$



## Freak theorem

[Schneider, 1969]

The set of values  $x_0$  for which  $\mathcal{S}_{x_0}$  is not injective is countable and dense in  $[-1, 1]$ .

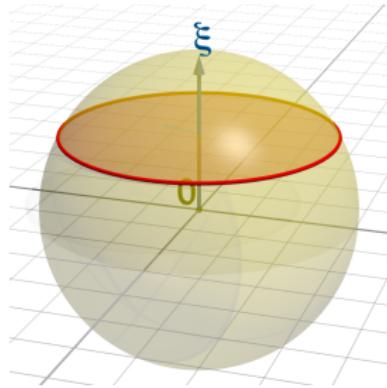
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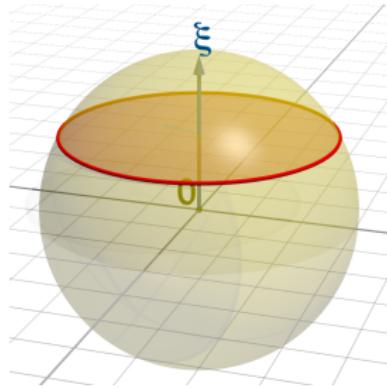
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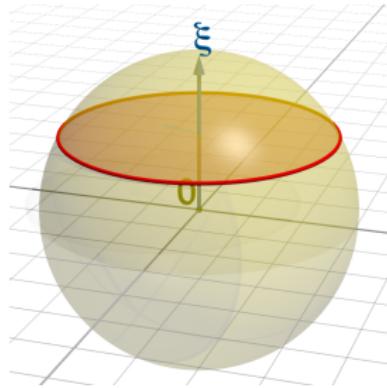
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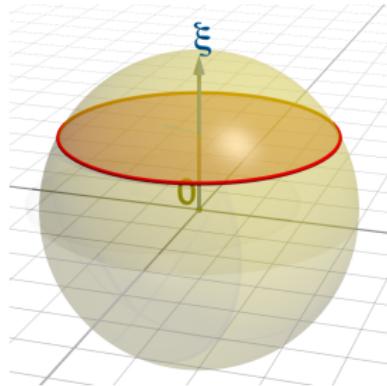
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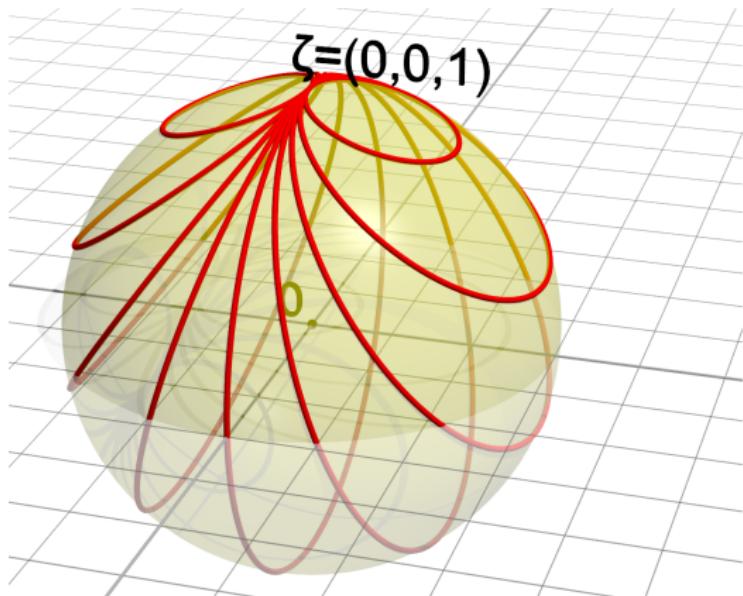
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# Spherical slice transform

[Abouelaz &amp; Daher, 1993]

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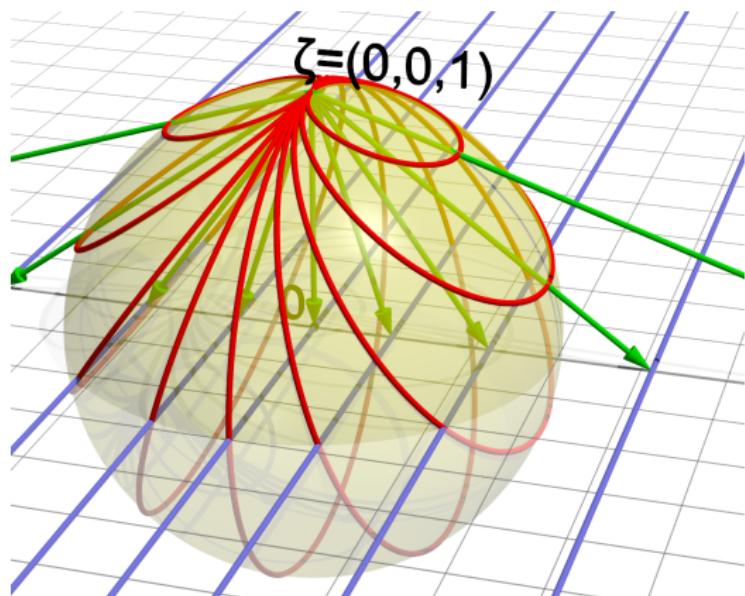


- ▶ Circles through the North pole
- ▶ Stereographic projection turns circles into lines in the plane
  - ↗ Radon transform in  $\mathbb{R}^2$
- ▶ Injective if  $f$  is differentiable and vanishes at  $(0, 0, 1)$  [Helgason, 1999]
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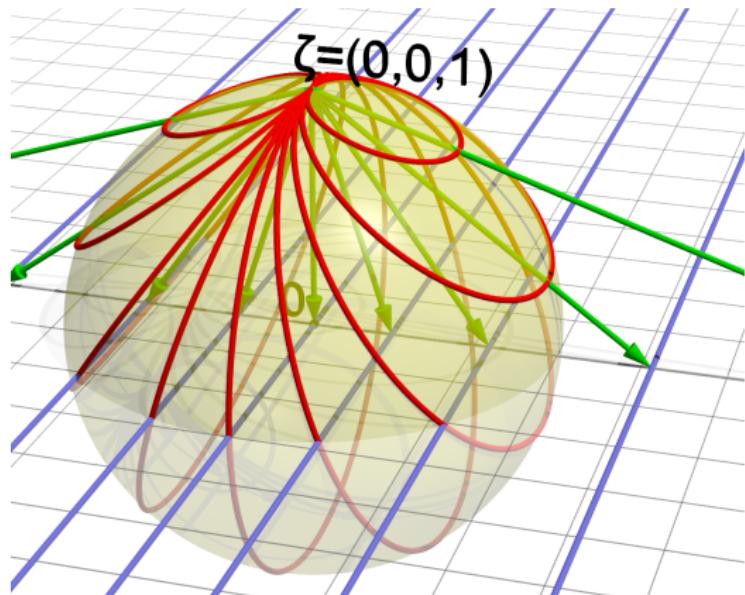


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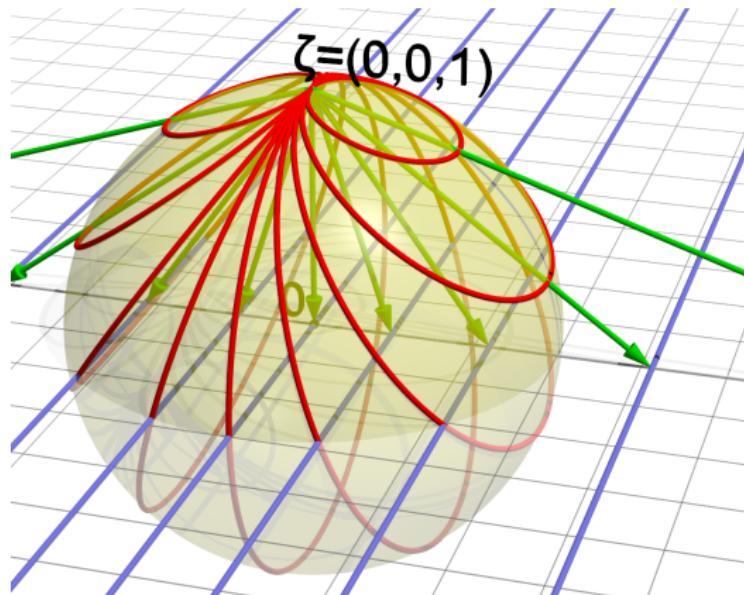


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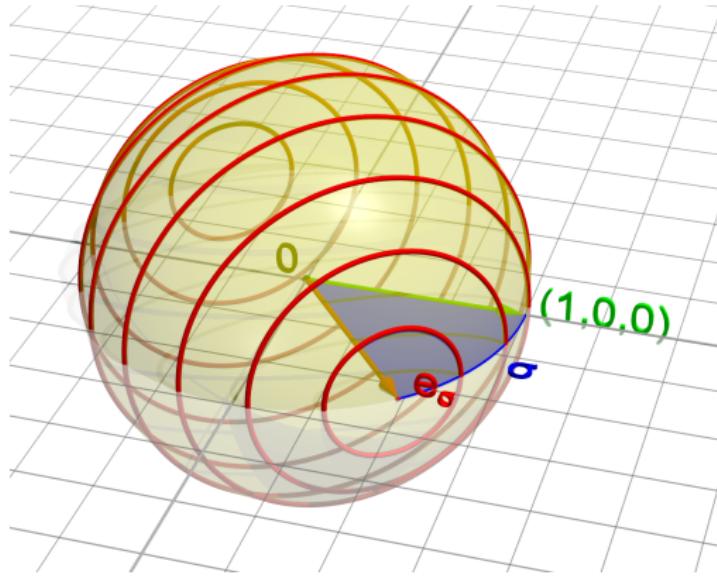
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# Vertical slices

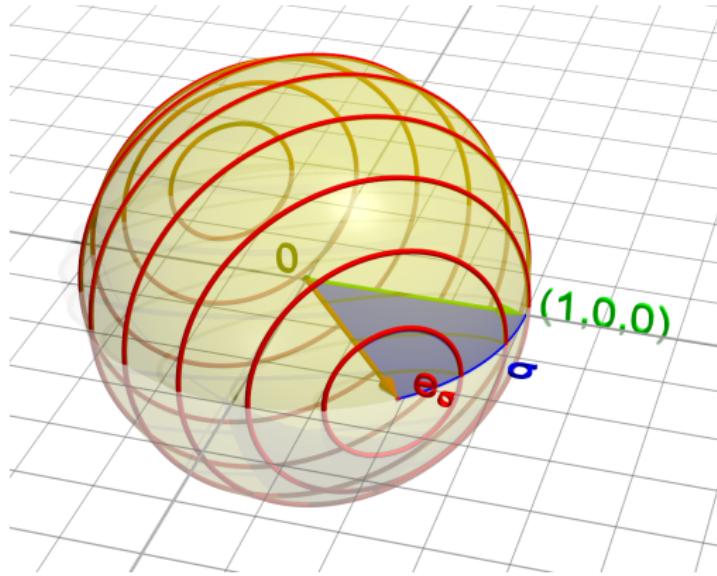
$$\mathcal{S}(\xi, x) = \int_{\langle \xi, \eta \rangle = x} f(\eta) \, ds(\eta), \quad \xi_3 = 0$$



- ▶ Circles perpendicular to the equator
- ▶ Injective for symmetric functions  $f(\xi_1, \xi_2, \xi_3) = f(\xi_1, \xi_2, -\xi_3)$
- ▶ Proof1: Orthogonal projection onto equatorial plane  
[Gindikin, Reeds & Shepp, 1994]
- ▶ Proof2: Spherical harmonics  
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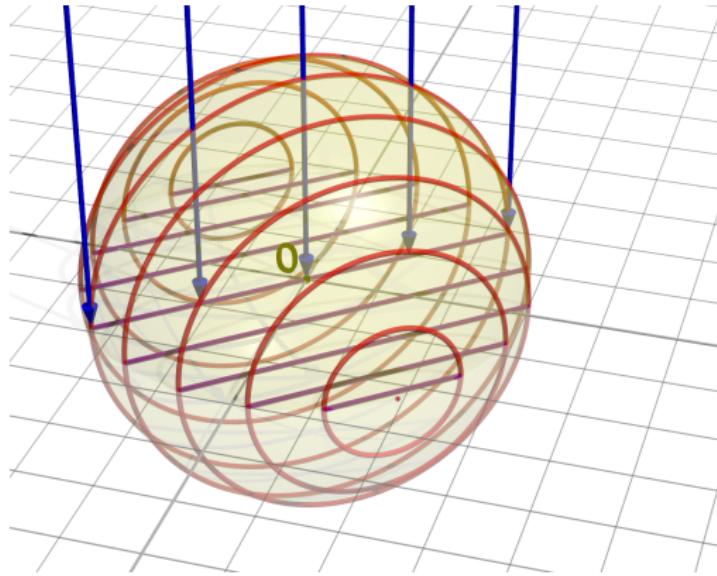
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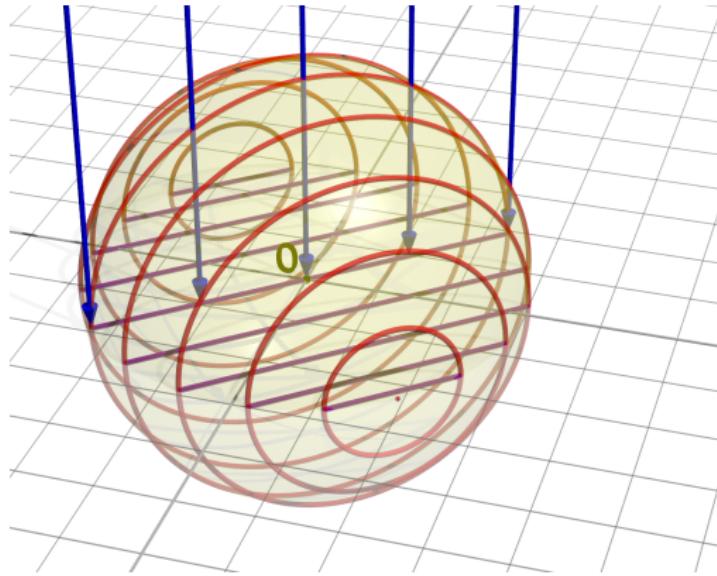
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# Planes through a fixed point

[Salman, 2015]

Replace 0 by an arbitrary point

$$\zeta = (0, 0, z)^\top, \quad 0 \leq z < 1$$

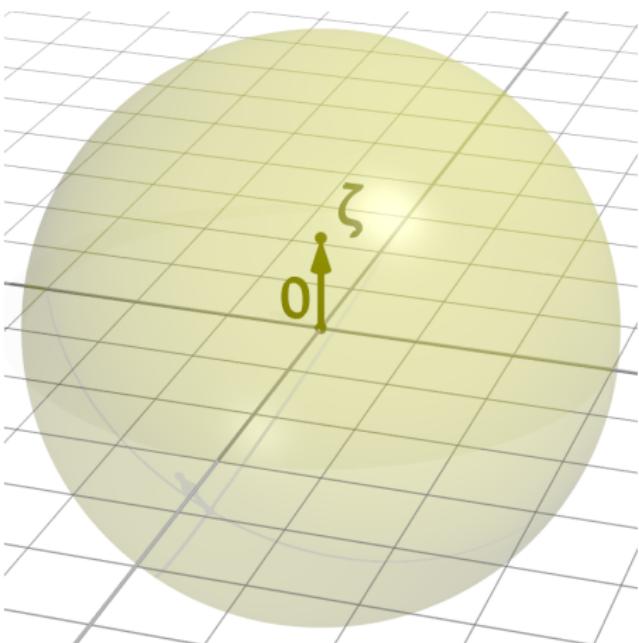
inside the sphere.

Circle through  $\zeta$  is

$$\{\eta \in \mathbb{S}^2 : \langle \xi, \eta \rangle = \underbrace{\langle \xi, \zeta \rangle}_{=z\xi_3}\}.$$

## Definition

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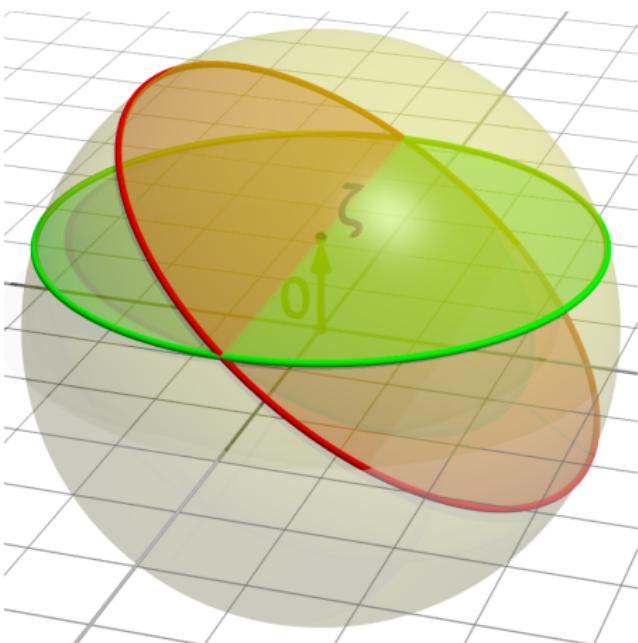
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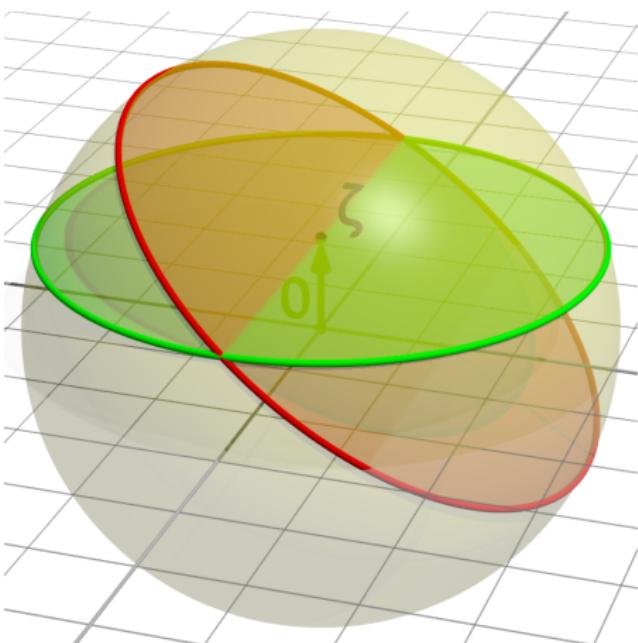
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# From great circles to small circles

## Definition

Define the map

$$h: \mathbb{S}^2 \rightarrow \mathbb{S}^2, \quad h = \pi^{-1} \circ \sigma \circ \pi$$

consisting of

1. Stereographic projection  $\pi: \mathbb{S}^2 \rightarrow \mathbb{R}^2$
2. Scaling in the plane  $\sigma: \mathbb{R}^2 \rightarrow \mathbb{R}^2, x \mapsto \sqrt{\frac{1+z}{1-z}} x$
3. Inverse stereographic projection  $\pi^{-1}: \mathbb{R}^2 \rightarrow \mathbb{S}^2$

We show that

$h$  maps great circles to small circles through  $\zeta = (0, 0, z)^\top$ .

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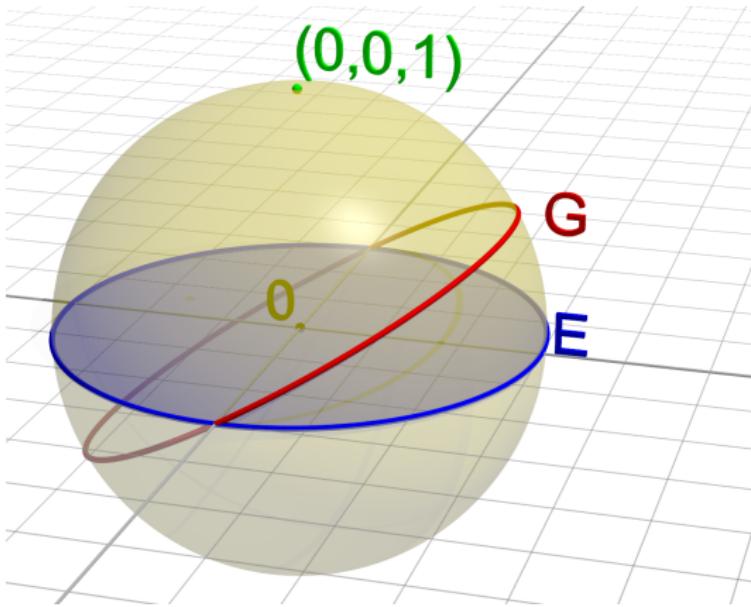
1. Stereographic projection  $\pi: \mathbb{S}^2 \rightarrow \mathbb{R}^2$
2. Scaling in the plane  $\sigma: \mathbb{R}^2 \rightarrow \mathbb{R}^2, \quad \mathbf{x} \mapsto \sqrt{\frac{1+z}{1-z}} \mathbf{x}$
3. Inverse stereographic projection  $\pi^{-1}: \mathbb{R}^2 \rightarrow \mathbb{S}^2$

We show that

$h$  maps great circles to small circles through  $\zeta = (0, 0, z)^\top$ .

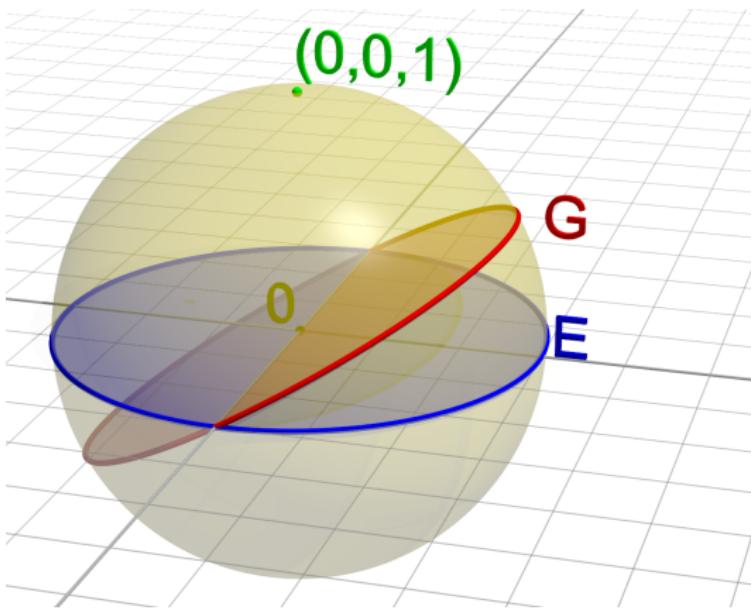
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- ▶  $G$  ... Great circle of  $\mathbb{S}^2$
- ▶  $E$  ... Equator of  $\mathbb{S}^2$
  
- ▶  $G$  intersects  $E$  in two antipodal points (or is identical to  $E$ )
  
- ▶  $\pi(E) = E$
- ▶  $\pi(G)$  is a circle or line in  $\mathbb{R}^2$  that intersects  $\pi(E)$  in two antipodal points



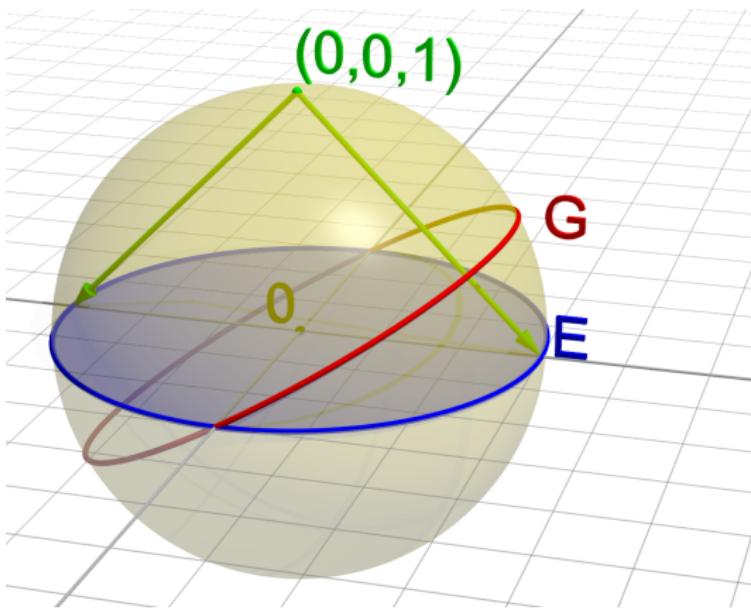
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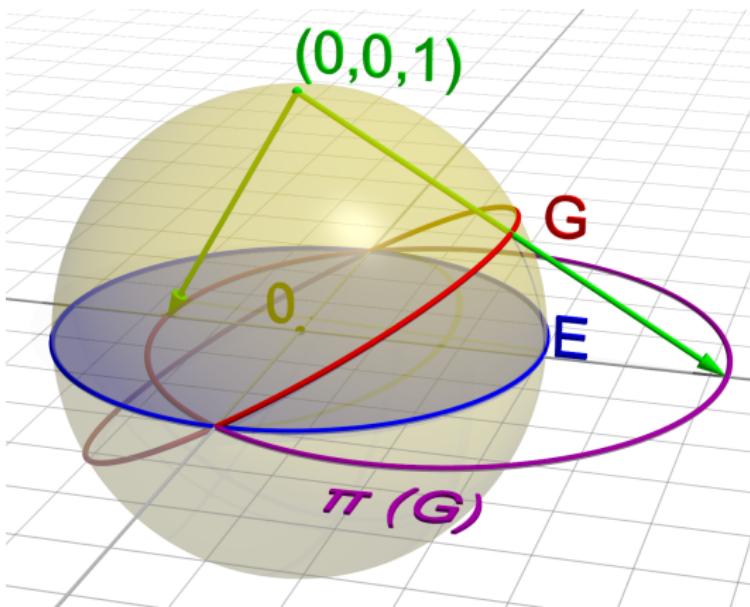
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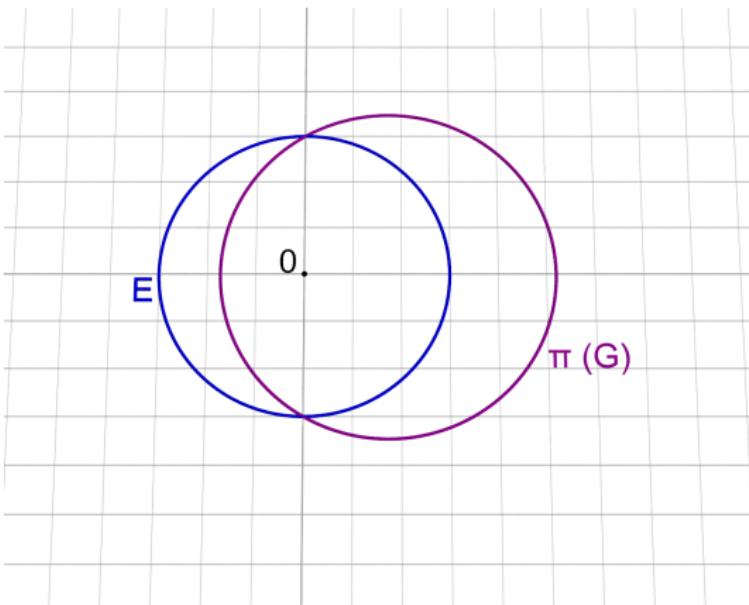
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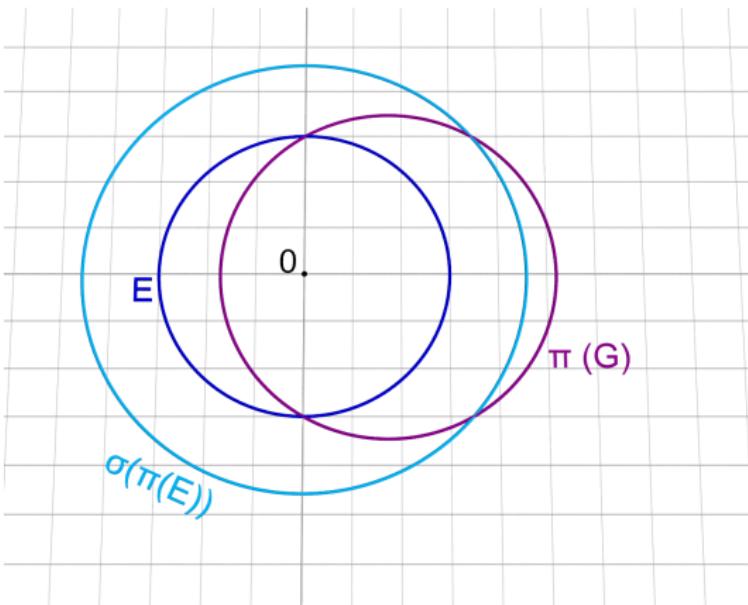
## 2) Scaling $\sigma$ in the plane

- ▶ Uniform scaling with scale factor  $s = \sqrt{\frac{1+z}{1-z}}$
- ▶ Unit circle  $E$  is mapped to the circle  $\sigma(\pi(E))$  with radius  $s$
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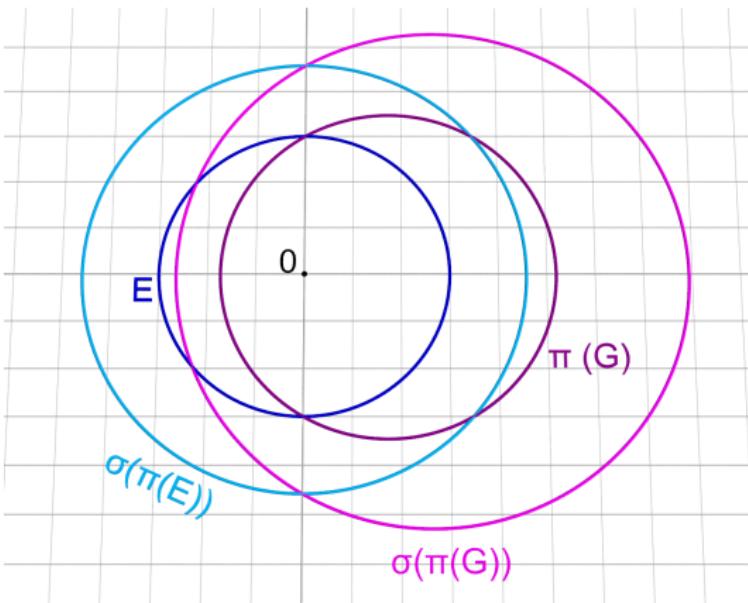
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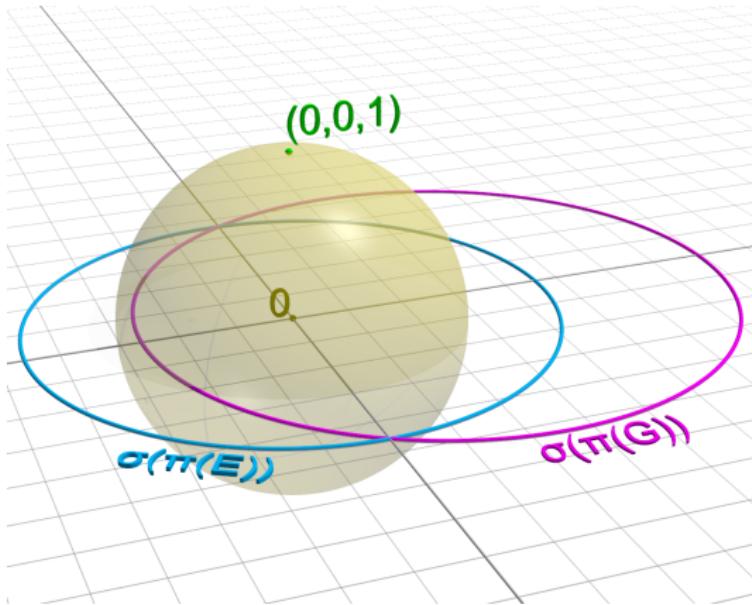
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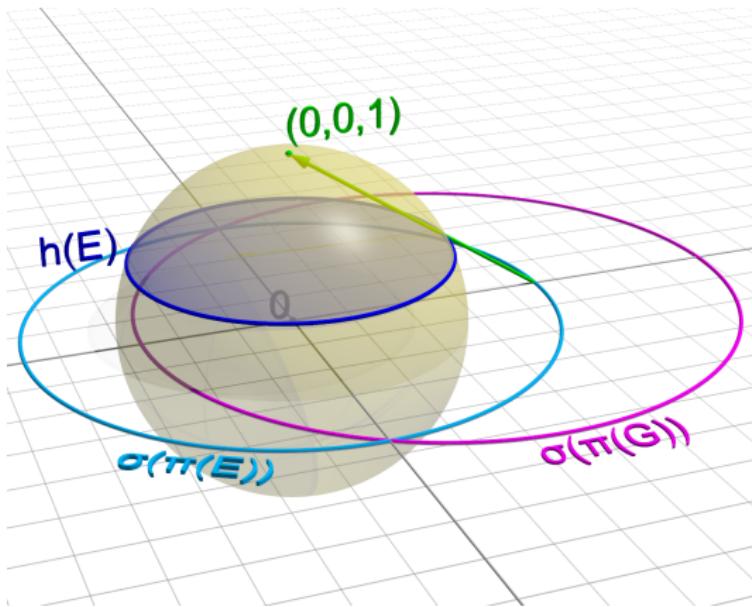
### 3) Inverse stereographic projection $\pi^{-1}$

- ▶ The circle with radius  $s$  is mapped to the circle of latitude  $z$ ;  $h(E)$
- ▶  $h(G) = \pi^{-1}(\sigma(\pi(G)))$  intersects  $h(E)$  in two antipodal points
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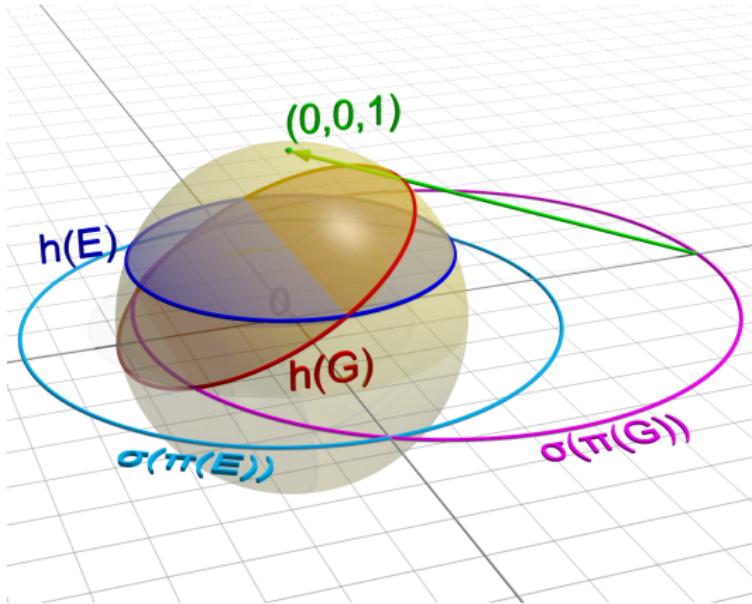
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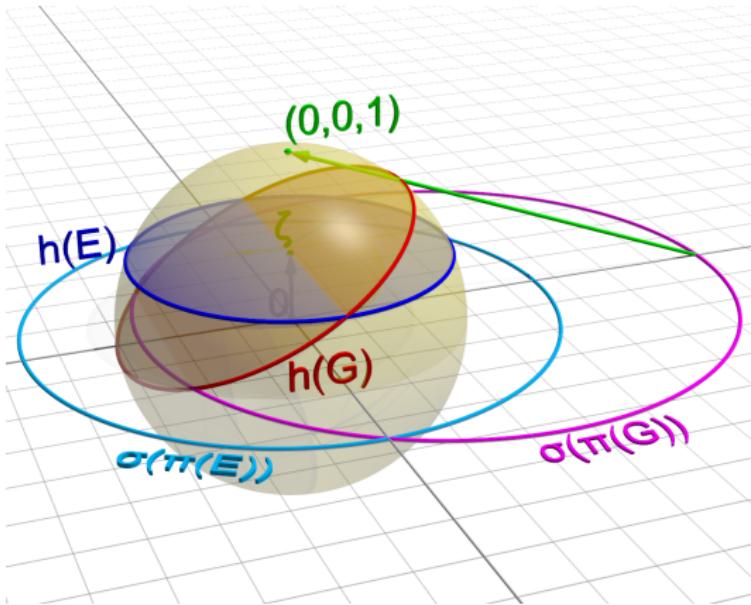
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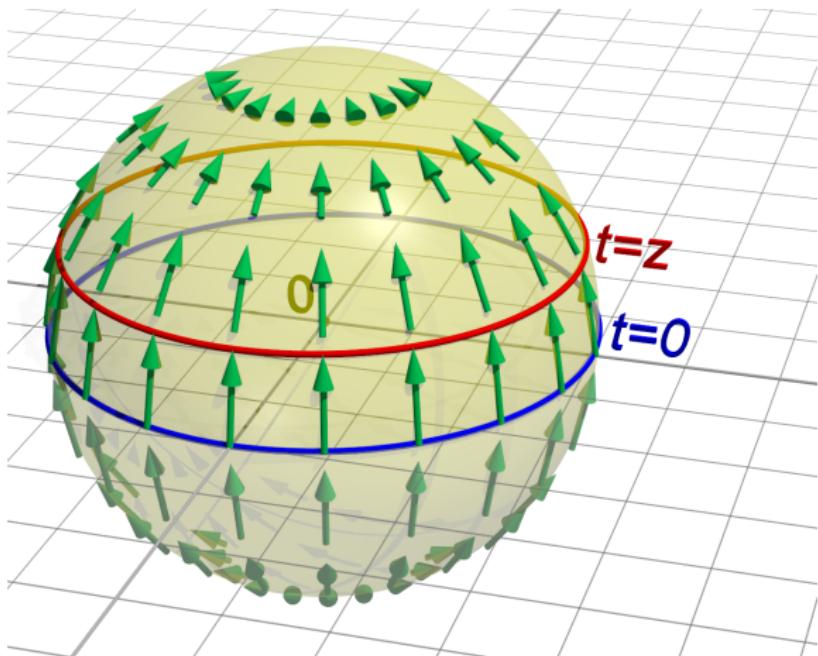


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## The resulting map $h$



$$h(\eta) = \begin{pmatrix} \frac{\sqrt{1-z^2}}{1-z\eta_3} \eta_1 \\ \frac{\sqrt{1-z^2}}{1-z\eta_3} \eta_2 \\ \frac{z+\eta_3}{1-z\eta_3} \end{pmatrix}$$

$h: \mathbb{S}^2 \rightarrow \mathbb{S}^2$  is conformal

## Theorem

Let  $z \in [0, 1)$ . The generalized Radon transform  $\mathcal{U}$  can be represented with the operators  $\mathcal{M}, \mathcal{F}, \mathcal{N}: L^2(\mathbb{S}^2) \rightarrow L^2(\mathbb{S}^2)$  via

$$\mathcal{U} = \mathcal{N}\mathcal{F}\mathcal{M}.$$

These operators are defined for  $f \in C(\mathbb{S}^2)$  by

- ▶  $\mathcal{M}f(\xi) = \frac{\sqrt{1-z^2}}{1+z\xi_3} [f \circ h](\xi)$
- ▶  $\mathcal{F}$  ... Funk–Radon transform
- ▶  $\mathcal{N}f(\xi) = f \left( \frac{1}{\sqrt{1-z^2\xi_3^2}} (\xi_1, \xi_2, \sqrt{1-z^2}\xi_3) \right)$

# Nullspace of $\mathcal{U}$

## Theorem

**R ... Reflection of the sphere about the point  $\zeta = (0, 0, z)^\top$**

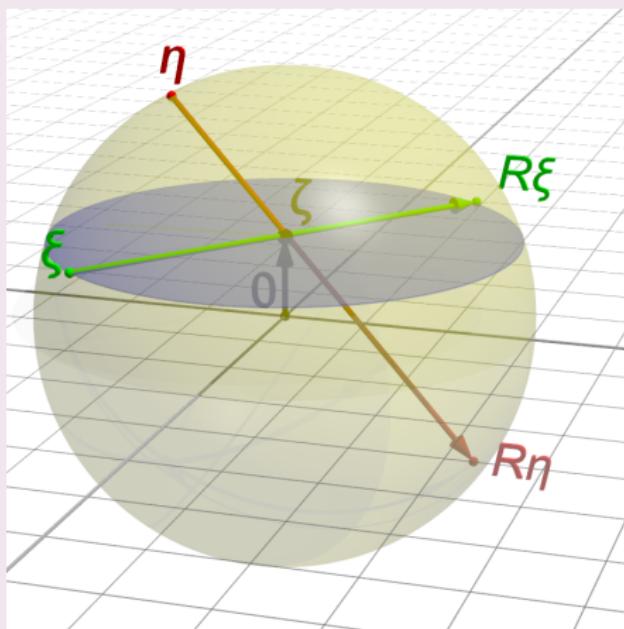
$$f \in L^2(\mathbb{S}^2)$$

We have

$$\mathcal{U}f = 0$$

if and only if for almost all  $\eta \in \mathbb{S}^2$

$$f(\eta) = -f(R\eta) \frac{1-z^2}{1+z^2 - 2z\eta_3}.$$



# Nullspace of $\mathcal{U}$

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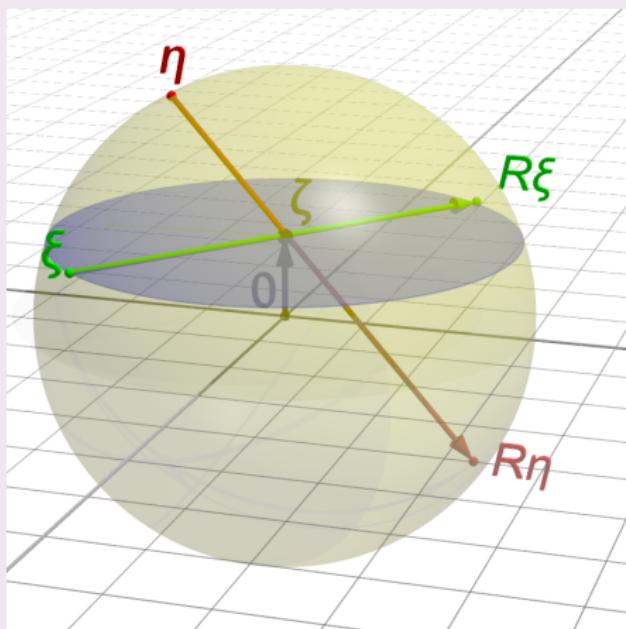
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# Range of $\mathcal{U}$

## Theorem

The generalized Radon transform

$$\mathcal{U}: \tilde{L}_e^2(\mathbb{S}^2) \rightarrow H_e^{1/2}(\mathbb{S}^2)$$

is continuous and bijective.

- ▶  $\tilde{L}_e^2(\mathbb{S}^2) = \left\{ f \in L^2(\mathbb{S}^2) \mid f(\boldsymbol{\eta}) = f(\mathbf{R}\boldsymbol{\eta}) \frac{1-z^2}{1+z^2 - 2z\eta_3} \right\}$
- ▶  $H_e^{1/2}(\mathbb{S}^2)$  ... Sobolev space of smoothness 1/2 that contains only even functions

## Sketch of proof

We show

$$\mathcal{U} = \mathcal{N}\mathcal{F}\mathcal{M}: \tilde{L}^2(\mathbb{S}^2) \rightarrow H_e^{1/2}(\mathbb{S}^2)$$

is continuous and bijective.

- ▶  $\mathcal{M}: \tilde{L}_e^2(\mathbb{S}^2) \rightarrow L_e^2(\mathbb{S}^2)$  is unitary
- ▶  $\mathcal{F}: L_e^2(\mathbb{S}^2) \rightarrow H_e^{1/2}(\mathbb{S}^2)$  is continuous and bijective
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## Inversion formula

Based on the inversion of the Funk–Radon transform by [Helgason, 1980]

$$f(\boldsymbol{\eta}) = \frac{1}{2\pi} \frac{d}{du} \int_0^u \int_{\langle \boldsymbol{\xi}, \boldsymbol{\eta} \rangle^2 = 1 - w^2} \mathcal{F}f(\boldsymbol{\xi}) d\ell(\boldsymbol{\xi}) \frac{1}{\sqrt{u^2 - w^2}} dw \Big|_{u=1}$$

### Theorem

Let  $z \in [0, 1)$  and  $f \in \tilde{L}_e^2(\mathbb{S}^2)$ . For  $\boldsymbol{\eta} \in \mathbb{S}^2$ ,

$$f(\boldsymbol{\eta}) = \frac{1 - z^2}{2\pi(1 - zv)} \frac{d}{du} \int_0^u \int_{\mathcal{S}_z(\boldsymbol{\eta}, w)} \mathcal{U}f \left( \frac{(\sqrt{1 - z^2}(\xi_1, \xi_2), \xi_3)}{\sqrt{1 - z^2 + z^2\xi_3^2}} \right) ds(\boldsymbol{\xi}) \frac{dw}{\sqrt{u^2 - w^2}} \Big|_{u=1}$$

where  $ds$  is the arc-length on the circle

$$\mathcal{S}_z(\boldsymbol{\eta}, w) = \left\{ \boldsymbol{\xi} \in \mathbb{S}^2 \mid \left\langle \boldsymbol{\xi}, \left( \sqrt{1 - z^2}(\eta_1, \eta_2), \eta_3 - z \right) \right\rangle = (1 - z\eta_3)\sqrt{1 - w^2} \right\}.$$

## Inversion via Fourier expansion

- ▶ The last inversion formula is numerically unstable

- ▶ Use the factorization

$$\mathcal{U} = \mathcal{N}\mathcal{F}\mathcal{M}$$

- ▶  $\mathcal{M}^{-1}$  and  $\mathcal{N}^{-1}$  can be computed explicitly
- ▶ For  $\mathcal{F}^{-1}$ : **Fourier expansion of the Funk–Radon transform** combined with the mollifier method (as regularization)

[Louis et al., 2011] [Hielscher & Q., 2015]

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