

# Polar decompositions of normal operators in indefinite inner product spaces

Christian Mehl\*    André C. M. Ran†    Leiba Rodman‡

## Abstract

Polar decompositions of normal matrices in indefinite inner product spaces are studied. The main result of this paper provides sufficient conditions for a normal operator in a Krein space to admit a polar decomposition. As an application of this result, we show that any normal matrix in a finite dimensional indefinite inner product space admits a polar decomposition which answers affirmatively an open question formulated in [2]. Furthermore, necessary and sufficient conditions are given for normal matrices to admit a polar decomposition or to admit a polar decomposition with commuting factors.

## 1 Introduction

Let  $\mathcal{H}$  be a (complex) Hilbert space, and let  $H$  be a (bounded) selfadjoint operator on  $\mathcal{H}$ , which is boundedly invertible. The operator  $H$  defines a Krein space structure on  $\mathcal{H}$ , via the indefinite inner product

$$[x, y] = \langle Hx, y \rangle, \quad x, y \in \mathcal{H},$$

where  $\langle \cdot, \cdot \rangle$  is the Hilbert inner product in  $\mathcal{H}$ . All operators in the paper are assumed to be linear and bounded. We denote by  $\mathcal{L}(\mathcal{H})$  the Banach algebra of bounded linear operators on  $\mathcal{H}$ . The adjoint of an operator  $X \in \mathcal{L}(\mathcal{H})$  with respect to  $\langle \cdot, \cdot \rangle$  will be denoted by  $X^*$ .

---

\*Fakultät II; Institut für Mathematik, Technische Universität Berlin, D-10623 Berlin, Germany. *A large part of this work was performed while this author was visiting the Vrije Universiteit Amsterdam and while he was supported by the Research Training Network HPRN-CT-2000-00116 of the European Union, "Classical Analysis, Operator Theory, Geometry of Banach Spaces, their interplay and their applications"*

†Afdeling Wiskunde, Faculteit der Exacte Wetenschappen, Vrije Universiteit Amsterdam, De Boelelaan 1081a, 1081 HV Amsterdam, The Netherlands

‡College of William and Mary, Department of Mathematics, P.O.Box 8795, Williamsburg, VA 23187-8795. *The research of this author was supported in part by NSF grant DMS-9988579 and by a Faculty Research Assignment grant from the College of William and Mary.*

An operator  $X \in \mathcal{L}(\mathcal{H})$  is said to be an *H-isometry* if  $[Xx, Xy] = [x, y]$  for all  $x, y \in \mathcal{H}$ , and is called *H-selfadjoint* if  $[Xx, y] = [x, Xy]$  for all  $x, y \in \mathcal{H}$ . An operator  $X \in \mathcal{L}(\mathcal{H})$  is called *H-normal* if

$$XX^{[*]} = X^{[*]}X,$$

where  $X^{[*]}$  is the adjoint of  $X$  with respect to the indefinite inner product  $[\cdot, \cdot]$ .

Given a (linear bounded) operator  $X$  on  $\mathcal{H}$ , a decomposition of the form

$$X = UA,$$

where  $U$  is an invertible *H-isometry* (in other words,  $U$  is *H-unitary*) and  $A$  is *H-selfadjoint*, is called an *H-polar decomposition* of  $X$ . An analogous decomposition of the form  $X = AU$  will be called a *right H-polar decomposition* for  $X$ .

In the context of positive definite inner products, polar decompositions (which are usually taken with the additional requirement that  $A$  be positive semidefinite and the relaxation that  $U$  need be a partial isometry only instead of an invertible one) are a basic tool of operator theory. In context of indefinite inner products, they have been studied extensively in recent years (see, e.g., [4, 2, 3, 16, 13]), in particular, in connection with matrix computations [7, 8].

**Remark 1** An operator  $X \in \mathcal{L}(\mathcal{H})$  admits an *H-polar decomposition* if and only if it admits a *right H-polar decomposition*. This follows easily from the fact that  $X = UA = (UAU^{-1})U$ .

Our main result, Theorem 4, is stated and proved in the next section. In particular, it follows from Theorem 4 that for a finite dimensional  $\mathcal{H}$  every *H-normal* operator admits an *H-polar decomposition*, thereby settling in the affirmative an open question formulated in [2]. In Sections 3 and 4 we apply the main result to other properties that *H-normal* operators may have in connection with *H-polar decompositions*, assuming that  $\mathcal{H}$  is finite dimensional. In particular, we provide necessary and sufficient conditions for normal matrices to admit a polar decomposition or to admit a polar decomposition with commuting factors.

## 2 The main result

In this section, we will provide sufficient conditions for an *H-normal* operator to admit an *H-polar decomposition*. The proof of the main result will be based on the following decomposition that is of interest in itself.

**Lemma 2** *Let  $X \in \mathcal{L}(\mathcal{H})$ , and let  $Q_{\text{Ker } X}$  be the orthogonal (in the Hilbert space sense) projection onto  $\text{Ker } X$ . Assume that the operator*

$$Q_{\text{Ker } X} H Q_{\text{Ker } X} |_{\text{Ker } X} : \text{Ker } X \longrightarrow \text{Ker } X \quad (1)$$

has closed range. Then there exists an invertible operator  $P \in \mathcal{L}(\mathcal{H})$ , a Hilbert space orthogonal decomposition

$$\mathcal{H} = \mathcal{H}_0 \oplus \mathcal{H}_1 \oplus \mathcal{H}_2 \oplus \tilde{\mathcal{H}}_0 \quad (2)$$

and a Hilbert space isomorphism  $H_{14} : \mathcal{H}_0 \rightarrow \tilde{\mathcal{H}}_0$ , such that

$$\text{Ker}(P^{-1}XP) = \mathcal{H}_0 \oplus \mathcal{H}_1, \quad (3)$$

and with respect to decomposition (2),  $P^{-1}XP$ ,  $P^*HP$ , and  $P^{-1}X^{[*]}P$  have the following block operator matrix forms:

$$P^{-1}XP = \begin{bmatrix} 0 & 0 & X_{13} & X_{14} \\ 0 & 0 & X_{23} & X_{24} \\ 0 & 0 & X_{33} & X_{34} \\ 0 & 0 & X_{43} & X_{44} \end{bmatrix}, \quad P^*HP = \begin{bmatrix} 0 & 0 & 0 & H_{14} \\ 0 & H_{22} & 0 & 0 \\ 0 & 0 & H_{33} & 0 \\ H_{14}^* & 0 & 0 & 0 \end{bmatrix}, \quad (4)$$

and

$$P^{-1}X^{[*]}P = \begin{bmatrix} H_{14}^{-*} X_{44}^* H_{14}^* & H_{14}^{-*} X_{24}^* H_{22} & H_{14}^{-*} X_{34}^* H_{33} & H_{14}^{-*} X_{14}^* H_{14} \\ 0 & 0 & 0 & 0 \\ H_{33}^{-1} X_{43}^* H_{14}^* & H_{33}^{-1} X_{23}^* H_{22} & H_{33}^{-1} X_{33}^* H_{33} & H_{33}^{-1} X_{13}^* H_{14} \\ 0 & 0 & 0 & 0 \end{bmatrix}, \quad (5)$$

where  $H_{14}^{-*} := (H_{14}^*)^{-1}$ . Moreover, if  $X$  is  $H$ -normal, then  $X_{23} = 0$ ,  $X_{43} = 0$ , and  $X_{33}$  is  $H_{33}$ -normal.

**Proof.** Let  $\mathcal{H} = \mathcal{G}_0 \oplus \mathcal{G}_1$  where  $\mathcal{G}_0 = \text{Ker } X$  and  $\mathcal{G}_1 = (\text{Ker } X)^\perp$ . Then with respect to this decomposition,  $X$  and  $H$  have the forms

$$X = \begin{bmatrix} 0 & \widehat{X}_{12} \\ 0 & \widehat{X}_{22} \end{bmatrix}, \quad H = \begin{bmatrix} \widehat{H}_{11} & \widehat{H}_{12} \\ \widehat{H}_{12}^* & \widehat{H}_{22} \end{bmatrix}.$$

By the hypothesis,  $\widehat{H}_{11}$  has closed range, so we may further orthogonally decompose  $\mathcal{G}_0 = \mathcal{H}_0 \oplus \mathcal{H}_1$  such that with respect to the decomposition  $\mathcal{H}_0 \oplus \mathcal{H}_1 \oplus \mathcal{G}_1$  the operators  $X$  and  $H$  have the forms

$$X = \begin{bmatrix} 0 & 0 & \widehat{X}_{13} \\ 0 & 0 & \widehat{X}_{23} \\ 0 & 0 & \widehat{X}_{33} \end{bmatrix}, \quad H = \begin{bmatrix} 0 & 0 & H_{13} \\ 0 & H_{22} & H_{23} \\ H_{13}^* & H_{23}^* & \widehat{H}_{33} \end{bmatrix},$$

where  $H_{22} : \mathcal{H}_1 \rightarrow \mathcal{H}_1$  is invertible. Then setting

$$P_1 := \begin{bmatrix} I & 0 & 0 \\ 0 & I & -H_{22}^{-1}H_{23} \\ 0 & 0 & I \end{bmatrix}$$

implies

$$P_1^{-1}XP_1 = \begin{bmatrix} 0 & 0 & \widehat{X}_{13} \\ 0 & 0 & \widehat{X}_{23} + H_{22}^{-1}H_{23}\widehat{X}_{33} \\ 0 & 0 & \widehat{X}_{33} \end{bmatrix}, \quad P_1^*HP_1 = \begin{bmatrix} 0 & 0 & H_{13} \\ 0 & H_{22} & 0 \\ H_{13}^* & 0 & \widetilde{H}_{33} \end{bmatrix}.$$

Since  $H$  is invertible, we obtain that  $H_{13}$  is right invertible. Let  $\mathcal{H}_2 = \text{Ker } H_{13}$ ,  $\widetilde{\mathcal{H}}_0 = (\text{Ker } H_{13})^\perp$ , and decompose  $\mathcal{G}_1 = \mathcal{H}_2 \oplus \widetilde{\mathcal{H}}_0$ . Then there exist invertible operators  $S : \mathcal{H}_0 \rightarrow \mathcal{H}_0$  and  $T : \mathcal{G}_1 \rightarrow \mathcal{G}_1$  such that  $S^*H_{13}T = \begin{bmatrix} 0 & H_{14} \end{bmatrix}$ , where  $H_{14} : \mathcal{H}_0 \rightarrow \widetilde{\mathcal{H}}_0$  is a Hilbert space isomorphism. Setting  $P_2 = P_1 \cdot (S \oplus I_{\mathcal{H}_1} \oplus T)$ , we get

$$P_2^{-1}XP_2 = \begin{bmatrix} 0 & 0 & \widetilde{X}_{13} & \widetilde{X}_{14} \\ 0 & 0 & \widetilde{X}_{23} & \widetilde{X}_{24} \\ 0 & 0 & \widetilde{X}_{33} & \widetilde{X}_{34} \\ 0 & 0 & \widetilde{X}_{43} & \widetilde{X}_{44} \end{bmatrix}, \quad P_2^*HP_2 = \begin{bmatrix} 0 & 0 & 0 & H_{14} \\ 0 & H_{22} & 0 & 0 \\ 0 & 0 & H_{33} & H_{34} \\ H_{14}^* & 0 & H_{34}^* & H_{44} \end{bmatrix}.$$

Finally, setting

$$P := P_1P_2 \begin{bmatrix} I & 0 & -(H_{14}^*)^{-1}H_{34} & -\frac{1}{2}(H_{14}^*)^{-1}H_{44} \\ 0 & I & 0 & 0 \\ 0 & 0 & I & 0 \\ 0 & 0 & 0 & I \end{bmatrix},$$

we obtain that  $P^{-1}XP$  and  $P^*HP$  have the form as in (4). A straightforward computation shows that  $P^{-1}X^{[*]}P$  has the form (5). Furthermore,

$$P^{-1}X^{[*]}XP = \begin{bmatrix} 0 & 0 & * & * \\ 0 & 0 & 0 & 0 \\ 0 & 0 & * & * \\ 0 & 0 & 0 & 0 \end{bmatrix}.$$

Now, let  $X$  be  $H$ -normal, i.e.,  $P^{-1}XX^{[*]}P = P^{-1}X^{[*]}XP$ . This implies that the first two operator columns of  $P^{-1}XX^{[*]}P$  are zero, i.e.,

$$\begin{bmatrix} X_{13} \\ X_{23} \\ X_{33} \\ X_{43} \end{bmatrix} \begin{bmatrix} H_{33}^{-1}X_{43}^*H_{14}^* & H_{33}^{-1}X_{23}^*H_{22} \end{bmatrix} = 0. \quad (6)$$

Observe that the first operator matrix in (6) has zero kernel, because of (3). This implies  $X_{43} = 0$  and  $X_{23} = 0$ . Then comparing the blocks in the (3,3)-positions of  $P^{-1}XX^{[*]}P$  and  $P^{-1}X^{[*]}XP$ , we obtain  $X_{33}H_{33}^{-1}X_{33}^*H_{33} = H_{33}^{-1}X_{33}^*H_{33}X_{33}$ , i.e.,  $X_{33}$  is  $H_{33}$ -normal.  $\square$

Next, we state a lemma that is of a general nature. We say that a point  $\lambda \in \sigma(X)$ ,  $X \in \mathcal{L}(\mathcal{H})$ , is an *eigenvalue of finite type* if  $\lambda$  is an isolated point of the spectrum  $\sigma(X)$  and the spectral projection  $(2\pi i)^{-1} \int_{|\xi|=\epsilon} (\xi I - X)^{-1} d\xi$ , where  $\epsilon > 0$  is sufficiently small, has finite rank. It is easy to see (by using the decomposition of  $\mathcal{H}$  as a direct sum of two  $X$ -invariant subspaces so that  $X - \lambda I$  is invertible on one of them, and  $X - \lambda I$  is nilpotent on the other) that if  $\lambda$  is an eigenvalue of finite type of  $X$ , and if  $\mathcal{M}$  is an  $X$ -invariant subspace such that  $\lambda \in \sigma(X|_{\mathcal{M}})$ , then  $\lambda$  is an eigenvalue of finite type of the restriction  $X|_{\mathcal{M}}$ .

**Lemma 3** *Let  $X \in \mathcal{L}(\mathcal{H})$  be such that 0 is an eigenvalue of finite type of  $X$ . Then we have that  $\dim \text{Ker } X = \dim \text{Ker } X^{[*]}$ .*

**Proof.** By the assumption the spectral subspace  $\mathcal{H}_0$  of  $X$  corresponding to the zero eigenvalue is finite dimensional. Write  $\mathcal{H} = \mathcal{H}_0 \oplus \mathcal{H}_0^\perp$ , and with respect to this decomposition write

$$X = \begin{bmatrix} X_{11} & X_{12} \\ 0 & X_{22} \end{bmatrix}.$$

Then  $\sigma(X_{11}) = \{0\}$  and  $X_{22}$  is invertible. Now  $\dim \text{Ker } X^{[*]} = \dim \text{Ker } X^*$ . We have

$$\text{Ker } X^* = \left\{ \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \mid x_1 \in \text{Ker } X_{11}^*, x_2 = -(X_{22}^*)^{-1} X_{12}^* x_1 \right\}.$$

Also  $\dim \text{Ker } X_{11}^* = \dim \text{Ker } X_{11}$  as  $\mathcal{H}_0$  is finite dimensional. So

$$\dim \text{Ker } X^* = \dim \text{Ker } X_{11}^* = \dim \text{Ker } X_{11} = \dim \text{Ker } X,$$

as required.  $\square$

We are now ready to state our main result.

**Theorem 4** *Assume that  $X \in \mathcal{L}(\mathcal{H})$  satisfies the following properties:*

- (a)  $X$  is  $H$ -normal;
- (b) either  $X$  is invertible, or 0 is an eigenvalue of  $X$  of finite type;
- (c)  $\sigma(X)$  does not surround zero, i.e., there exists a continuous path in the complex plane that connects a sufficiently small neighborhood of zero with infinity and lies entirely in the resolvent set  $\mathbb{C} \setminus \sigma(X)$ .

*Assume in addition that one of the following conditions hold:*

- (i)  $\text{Ker } X = \text{Ker } X^{[*]}$ ;
- (ii)  $\mathcal{H}$  with the indefinite inner product generated by  $H$  is a Pontryagin space, i.e., at least one of the two spectral subspaces of  $H$  corresponding to the positive part of  $\sigma(H)$  and to the negative part of  $\sigma(H)$  is finite dimensional.

Then  $X$  admits an  $H$ -polar decomposition.

**Proof.** The proof starts with a general construction that is independent of whether we assume the additional conditions (i) or (ii) or not.

By Lemma 2 we may assume that

$$X = \begin{bmatrix} 0 & 0 & X_{13} & X_{14} \\ 0 & 0 & 0 & X_{24} \\ 0 & 0 & X_{33} & X_{34} \\ 0 & 0 & 0 & X_{44} \end{bmatrix}, \quad H = \begin{bmatrix} 0 & 0 & 0 & H_{14} \\ 0 & H_{22} & 0 & 0 \\ 0 & 0 & H_{33} & 0 \\ H_{14}^* & 0 & 0 & 0 \end{bmatrix}, \quad (7)$$

with respect to an orthogonal decomposition

$$\mathcal{H} = \mathcal{H}_0 \oplus \mathcal{H}_1 \oplus \mathcal{H}_2 \oplus \tilde{\mathcal{H}}_0,$$

where  $\text{Ker } X = \mathcal{H}_0 \oplus \mathcal{H}_1$ , where  $X_{33}$  is  $H_{33}$ -normal, and where  $H_{14} : \mathcal{H}_0 \rightarrow \tilde{\mathcal{H}}_0$  is a Hilbert space isomorphism. (Note that by the hypotheses of the theorem, clearly the operator (1) has closed range). In the following, we will identify  $\mathcal{H}_0$  and  $\tilde{\mathcal{H}}_0$  via the isomorphism  $H_{14}$ , i.e., we assume without loss of generality that  $\mathcal{H}_0 = \tilde{\mathcal{H}}_0$  and  $H_{14} = I_{\mathcal{H}_0}$ .

We use induction on the dimension of the spectral subspace of  $X$  corresponding to the eigenvalue 0. The base of induction, i.e., the case when  $X$  is invertible, was proved in [13] (note that the finite dimensional proof given in [13] carries over to the infinite dimensional case using the property (c) of  $X$ ).

We have

$$\sigma(X_{33}) \cup \{0\} = \sigma(\tilde{X}), \quad \text{where } \tilde{X} := \left( \begin{bmatrix} 0 & 0 & X_{13} \\ 0 & 0 & 0 \\ 0 & 0 & X_{33} \end{bmatrix} \right).$$

Moreover, the unbounded component of  $\mathbb{C} \setminus \sigma(\tilde{X})$  contains the unbounded component of  $\mathbb{C} \setminus \sigma(X)$  (this is a general property of the spectrum of a restriction of an operator to its invariant subspace). Thus, the property (c) holds true for  $X_{33}$ .

To see that  $X_{33}$  satisfies property (b), we have to show that either  $X_{33}$  is invertible, or 0 is an eigenvalue of finite type of  $X_{33}$ . Assume then that  $X_{33}$  is not invertible. Since 0 is an eigenvalue of finite type of  $X$ , it is also an eigenvalue of finite type for  $X$  restricted to its invariant subspace  $\mathcal{H}_0 \oplus \mathcal{H}_1 \oplus \mathcal{H}_2$ . In order to show that 0 is an eigenvalue of finite type of  $X_{33}$  all we need to show is that

$\dim \text{Ker } X_{33}^n$  is uniformly bounded. We have that  $\dim \text{Ker } \tilde{X}^n \leq \dim \text{Ker } X^n$ , and so  $\dim \text{Ker } \tilde{X}^n$  is uniformly bounded. Now

$$\tilde{X}^n = \begin{bmatrix} 0 & 0 & X_{13}X_{33}^{n-1} \\ 0 & 0 & 0 \\ 0 & 0 & X_{33}^n \end{bmatrix},$$

and so

$$\text{Ker } \tilde{X}^n = \mathcal{H}_0 \oplus \mathcal{H}_1 \oplus \text{Ker } X_{33}^{n-1},$$

where the latter equality follows from

$$\text{Ker } \begin{bmatrix} X_{13} \\ X_{33} \end{bmatrix} = \{0\} \quad (8)$$

by construction of the form (7). Hence we have that  $\dim \text{Ker } X_{33}^{n-1}$  is uniformly bounded, and so 0 is an eigenvalue of finite type of  $X_{33}$  whenever  $X_{33}$  is not invertible.

If (ii) is satisfied, i.e., if  $\mathcal{H}$  with the indefinite inner product generated by  $H$  is a Pontryagin space, then also  $\mathcal{H}_2$  with the indefinite inner product generated by  $H_{33}$  is a Pontryagin space. On the other hand, if (i) is satisfied, i.e.,  $\text{Ker } X = \text{Ker } X^{[*]}$ , then we obtain  $X_{24} = 0$  and  $X_{44} = 0$ , and

$$\begin{aligned} X^{[*]}X &= \begin{bmatrix} 0 & 0 & X_{34}^*H_{33}X_{33} & X_{34}^*H_{33}X_{34} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & X_{33}^{[*]}X_{33} & X_{33}^{[*]}X_{34} \\ 0 & 0 & 0 & 0 \end{bmatrix}, \\ XX^{[*]} &= \begin{bmatrix} 0 & 0 & X_{13}X_{33}^{[*]} & X_{13}H_{33}^{-1}X_{13}^* \\ 0 & 0 & 0 & 0 \\ 0 & 0 & X_{33}X_{33}^{[*]} & X_{33}H_{33}^{-1}X_{13}^* \\ 0 & 0 & 0 & 0 \end{bmatrix}. \end{aligned}$$

Assume that  $x \in \text{Ker } X_{33}$ . Then

$$XX^{[*]} \begin{bmatrix} 0 & 0 & x & 0 \end{bmatrix}^T = X^{[*]}X \begin{bmatrix} 0 & 0 & x & 0 \end{bmatrix}^T = 0$$

which implies

$$\begin{bmatrix} X_{13} \\ X_{33} \end{bmatrix} X_{33}^{[*]}x = 0.$$

Because of (8), we obtain  $X_{33}^{[*]}x = 0$  and  $\text{Ker } X_{33} \subseteq \text{Ker } X_{33}^{[*]}$ . The other inclusion follows analogously. So,  $\text{Ker } X = \text{Ker } X^{[*]}$  implies that  $\text{Ker } X_{33} = \text{Ker } X_{33}^{[*]}$ .

Hence,  $X_{33}$  satisfies all assumptions of the theorem. By the induction hypothesis,  $X_{33}$  admits an  $H_{33}$ -polar decomposition and by Remark 1 also a right  $H$ -polar decomposition  $X_{33} = A_{33}U_{33}$ , where  $U_{33}$  is an invertible  $H_{33}$ -isometry,

and  $A_{33}$  is  $H_{33}$ -selfadjoint. In the following, we construct an  $H$ -polar decomposition for  $X$ . This will be done in five steps.

1. First, we show that there exists  $\alpha$  real such that the operator  $L - \alpha M$  is invertible, where

$$L = H_{33}A_{33} \quad \text{and} \quad M = (U_{33}^{-1})^* X_{13}^* X_{13} U_{33}^{-1}$$

are selfadjoint operators. For this purpose, observe that  $H_{33}^{-1} L U_{33} = X_{33}$  is Fredholm, and therefore so is  $L$ . Denote by  $Q_{\text{Ker } L}$  the orthogonal projection onto the finite dimensional subspace  $\text{Ker } L$ . We claim that

$$\text{Ker } (Q_{\text{Ker } L} M|_{\text{Ker } L}) = \{0\}. \quad (9)$$

To this end note that  $\text{Ker } X_{13} \cap \text{Ker } X_{33} = \{0\}$  by (8), and hence

$$\text{Ker } M \cap \text{Ker } L = \{0\}. \quad (10)$$

Let  $x$  be such that  $Lx = 0$ ,  $Q_{\text{Ker } L} Mx = 0$ . Then

$$\langle Mx, x \rangle = \langle Mx, Q_{\text{Ker } L} x \rangle = \langle Q_{\text{Ker } L} Mx, x \rangle = 0,$$

thus  $Mx = 0$  (because  $M$  is positive semidefinite), and  $x = 0$  in view of (10). This proves the claim (9). Now, with respect to the orthogonal decomposition  $\mathcal{H}_2 = \text{Ker } L \oplus (\text{Ker } L)^\perp$ , we have

$$L - \alpha M = \begin{bmatrix} -\alpha M_1 & -\alpha M_2 \\ -\alpha M_2^* & L_1 - \alpha M_3 \end{bmatrix}, \quad \alpha \in \mathbb{R},$$

where  $L_1$  and  $M_1$  (because of (9) and the Fredholmness of  $L$ ) are invertible. Using Schur complements we obtain that  $L - \alpha M$  is invertible if and only if  $\alpha \neq 0$  and the operator

$$L_1 + \alpha(-M_3 + M_2^* M_1^{-1} M_2)$$

is invertible. Clearly, such  $\alpha$ 's exist.

2. We construct an  $H$ -selfadjoint polar factor for  $X$ . For this, let  $\alpha \neq 0$ ,  $\alpha \in \mathbb{R}$ , be such that  $L - \alpha M$  is invertible. Then set

$$A_{13} := X_{13} U_{33}^{-1}, \quad A_{14} := \alpha^{-1} I_q, \quad A_{34} := H_{33}^{-1} A_{13}^* = H_{33}^{-1} (U_{33}^{-1})^* X_{13}^*,$$

and

$$A := \begin{bmatrix} 0 & 0 & A_{13} & A_{14} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & A_{33} & A_{34} \\ 0 & 0 & 0 & 0 \end{bmatrix}.$$



Then a straightforward computation shows that  $A$  is  $H$ -selfadjoint.

3. Next, we show  $A^2 = X^{[*]}X$ . Indeed, we obtain from the identities

$$\begin{aligned}
A_{13}A_{33} &= X_{13}U_{33}^{-1}A_{33} = X_{13}H_{33}^{-1}H_{33}U_{33}^{-1}A_{33} = X_{13}H_{33}^{-1}U_{33}^*H_{33}A_{33} \\
&= X_{13}H_{33}^{-1}U_{33}^*A_{33}^*H_{33} = X_{13}H_{33}^{-1}X_{33}^*H_{33}, \\
A_{13}A_{34} &= X_{13}U_{33}^{-1}H_{33}^{-1}(U_{33}^*)^{-1}X_{13}^* = X_{13}H_{33}^{-1}X_{13}^*, \\
A_{33}^2 &= A_{33}H_{33}^{-1}A_{33}^*H_{33} = X_{33}U_{33}^{-1}H_{33}^{-1}(U_{33}^*)^{-1}X_{33}^*H_{33} = X_{33}H_{33}^{-1}X_{33}^*H_{33}, \\
A_{33}A_{34} &= X_{33}U_{33}^{-1}H_{33}^{-1}(U_{33}^*)^{-1}X_{13}^* = X_{33}H_{33}^{-1}X_{13}^*,
\end{aligned}$$

that

$$\begin{aligned}
A^2 &= \begin{bmatrix} 0 & 0 & A_{13}A_{33} & A_{13}A_{34} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & A_{33}^2 & A_{33}A_{34} \\ 0 & 0 & 0 & 0 \end{bmatrix} \\
&= \begin{bmatrix} 0 & 0 & X_{13}H_{33}^{-1}X_{33}^*H_{33} & X_{13}H_{33}^{-1}X_{13}^* \\ 0 & 0 & 0 & 0 \\ 0 & 0 & X_{33}H_{33}^{-1}X_{33}^*H_{33} & X_{33}H_{33}^{-1}X_{13}^* \\ 0 & 0 & 0 & 0 \end{bmatrix} \\
&= XX^{[*]} = X^{[*]}X.
\end{aligned}$$

4. Finally, we show  $\text{Ker } X = \text{Ker } A$ . From the construction, it is clear that  $\text{Ker } X \subseteq \text{Ker } A$ . For the other implication, let  $v = [a \ b \ c \ d]^T \in \text{Ker } A$ . Then

$$0 = A_{13}c + A_{14}d = X_{13}U_{33}^{-1}c + \alpha^{-1}d \implies d = -\alpha X_{13}U_{33}^{-1}c.$$

Moreover,

$$0 = A_{33}c + A_{34}d = A_{33}c - \alpha H_{33}^{-1}(U_{33}^{-1})^* X_{13}^* X_{13} U_{33}^{-1}c.$$

The choice of  $\alpha$  implies  $c = 0$  and thus, we also obtain  $d = 0$ . Hence,  $v \in \text{Ker } X$ .

Thus, we constructed an  $H$ -selfadjoint operator  $A$  that satisfies  $A^2 = X^{[*]}X$  and  $\text{Ker } X = \text{Ker } A$ . Since  $X$  is Fredholm of index zero, it is easy to see that  $X^{[*]}X$  and therefore also  $A$  are Fredholm operators of index zero. Define the operator  $U_0$  on the range of  $A$  by  $U_0x = Xy$ , where  $y$  is such that  $x = Ay$ . It is a standard exercise to check that  $U_0$  is a well-defined  $H$ -isometry on the range of  $A$ , and the range of  $U_0$  coincides with the range of  $X$ . Moreover, since  $A$  and  $X$  have generalized inverses and  $\text{Ker } A = \text{Ker } X$ , it follows that  $U_0$  is bounded and  $\|U_0x\| \geq \varepsilon\|x\|$ ,  $x \in \text{Range } A$ , where the positive constant  $\varepsilon$  is independent of  $x$ .

5. Extension of  $U_0$  to an invertible  $H$ -isometry. This is where the assumptions (i) or (ii) come in that have not been used so far. First we consider the case where  $\mathcal{H}$  is a Pontryagin space. By Lemma 3 we have  $\dim \text{Ker } X = \dim \text{Ker } X^{[*]}$ , so

$$\begin{aligned} \text{codim Range } A &= \dim(\text{Range } A)^{\perp} = \dim \text{Ker } A^{[*]} = \dim \text{Ker } A = \dim \text{Ker } X \\ &= \dim \text{Ker } X^{[*]} = \dim(\text{Range } X)^{\perp} = \text{codim Range } X. \end{aligned}$$

Then we can use [16, Theorem 2.5] to show that in case  $\mathcal{H}$  is a Pontryagin space with respect to the indefinite scalar product generated by  $H$ ,  $U_0$  can be extended to an invertible  $H$ -isometry. This proves the theorem in case (ii) holds true.

Next, we consider the case that  $\text{Ker } X = \text{Ker } X^{[*]}$ . Then we have the equalities

$$(\text{Range } A)^{\perp} = \text{Ker } A^{[*]} = \text{Ker } A = \text{Ker } X = \text{Ker } X^{[*]} = (\text{Range } X)^{\perp}, \quad (11)$$

and so we have that  $\text{Range } A = \text{Range } X$ . In particular we have

$$\mathcal{H}_0 \oplus \mathcal{H}_1 = \text{Ker } X = (\text{Range } A)^{\perp} = H^{-1}(\text{Range } A)^{\perp}$$

which implies  $(\text{Range } A)^{\perp} = \tilde{\mathcal{H}}_0 \oplus \mathcal{H}_1$  and  $\text{Range } A = \mathcal{H}_0 \oplus \mathcal{H}_2$ . Because of (11), the isotropic part of  $\text{Range } A$  (which is the finite dimensional space  $\mathcal{H}_0$ ) is the same as the isotropic part of  $\text{Range } X$ . Choose a  $\langle \cdot, \cdot \rangle$ -orthonormal set of vectors  $\{e_1, \dots, e_n\}$  that form a basis for  $\mathcal{H}_0$ . Moreover, the  $\langle \cdot, \cdot \rangle$ -orthogonal complement of  $\mathcal{H}_0$  in  $\text{Range } A$  (which is  $\mathcal{H}_2$ ) is an  $H$ -nondegenerate subspace. Choose a basis  $\{f_1, \dots, f_n\}$  of  $\tilde{\mathcal{H}}_0$  that is skewly linked to  $\{e_1, \dots, e_n\}$ , that is,  $[e_i, f_j] = \delta_{ij}$  and  $[f_i, f_j] = 0$ . (For details on construction of skewly linked bases see, e.g., [10, 16, 3]; although it is assumed there that the indefinite inner product space is a Pontryagin space, the construction goes through without change for finite dimensional subspaces of Krein spaces.) Then  $\text{Range } A \oplus \tilde{\mathcal{H}}_0 = \text{Range } X \oplus \tilde{\mathcal{H}}_0$  is  $H$ -nondegenerate.

We start by showing that  $U_0$  maps  $\mathcal{H}_0$  into itself. Indeed, for  $x_0 \in \mathcal{H}_0$  we have that  $U_0 x_0$  is  $H$ -orthogonal to the whole of  $\text{Range } X$ , and hence is in  $\mathcal{H}_0$ . So, if we write  $U_0$  with respect to the decomposition  $\mathcal{H}_0 \oplus \mathcal{H}_2$  of  $\text{Range } A = \text{Range } X$  as a two by two block operator matrix, we have

$$U_0 = \begin{bmatrix} U_{11} & U_{12} \\ 0 & U_{22} \end{bmatrix},$$

Clearly, since  $U_0$  is one-to-one and maps onto  $\text{Range } X$ , it follows that  $U_0$  and therefore also  $U_{11}$  and  $U_{22}$  are invertible maps.

With respect to the decomposition  $\mathcal{H}_0 \oplus \mathcal{H}_2 \oplus \tilde{\mathcal{H}}_0$  we have for  $H$  the following form (where we choose the basis in  $\mathcal{H}_0$  and in  $\tilde{\mathcal{H}}_0$  as above)

$$H = \begin{bmatrix} 0 & 0 & I \\ 0 & H_{33} & 0 \\ I & 0 & 0 \end{bmatrix}.$$

We shall define  $\tilde{U}_0 : \text{Range } A \oplus \tilde{\mathcal{H}}_0 \rightarrow \text{Range } X \oplus \tilde{\mathcal{H}}_0$  as the following  $3 \times 3$  block operator matrix

$$\tilde{U}_0 = \begin{bmatrix} U_{11} & U_{12} & U_{13} \\ 0 & U_{22} & U_{23} \\ 0 & 0 & U_{33} \end{bmatrix},$$

where  $U_{13} := -\frac{1}{2}U_{12}H_{22}^{-1}U_{12}^*(U_{11}^*)^{-1}$ , and  $U_{23} := -U_{22}H_{22}^{-1}U_{12}^*(U_{11}^*)^{-1}$ , and finally  $U_{33} := (U_{11}^*)^{-1}$ . Computing  $\tilde{U}_0^*H\tilde{U}_0$  on  $\mathcal{H}_0 \oplus \mathcal{H}_1 \oplus \tilde{\mathcal{H}}_0$  we have that it equals to

$$\begin{bmatrix} 0 & 0 & I \\ 0 & H_{33} & U_{12}^*(U_{11}^*)^{-1} + U_{22}^*H_{33}U_{23} \\ I & U_{23}^*H_{33}U_{22} + U_{11}^{-1}U_{12} & U_{13}^*(U_{11}^*)^{-1} + U_{11}^{-1}U_{13} + U_{23}^*H_{33}U_{23} \end{bmatrix}. \quad (12)$$

We see from the definition of  $U_{23}$  that the  $(2, 3)$ -entry of the operator matrix (12) is zero. Next,

$$U_{23}^*H_{33}U_{23} = U_{11}^{-1}U_{12}H_{33}^{-1}U_{12}^*(U_{11}^*)^{-1}.$$

Thus, from the definition of  $U_{13}$  we see that also the  $(3, 3)$ -entry of (12) is zero. Hence  $\tilde{U}_0$  is indeed an  $H$ -isometry. The fact that  $\tilde{U}_0$  is one-to-one and maps onto  $\text{Range } X \oplus \tilde{\mathcal{H}}_0$  follows easily from the invertibility of  $U_{11}$ ,  $U_{22}$ , and  $U_{33}$ .

Now using [1, Theorem VI.4.4] we see that  $\tilde{U}_0$  can be extended to an  $H$ -unitary operator on the whole space  $\mathcal{H}$ . This concludes the proof.  $\square$

### 3 Applications of the main result

For the remainder of the paper, we assume that  $\mathcal{H}$  is finite dimensional, and identify  $\mathcal{L}(\mathcal{H})$  with  $\mathbb{C}^{n \times n}$ , the algebra of  $n \times n$  complex matrices. Then Theorem 4 has some important corollaries. First of all, it answers affirmatively the question posed in [2] whether each  $H$ -normal matrix allows an  $H$ -polar decomposition.

**Corollary 5** *Let  $X \in \mathbb{C}^{n \times n}$  be  $H$ -normal. Then  $X$  admits an  $H$ -polar decomposition.*

Corollary 5 was known to be correct for invertible  $H$ -normal matrices and for some special cases of singular  $H$ -normal matrices (see [2, 12, 11, 13]). The result for the general case is new. The next corollary gives a criterion for the existence of  $H$ -polar decompositions in terms of well-known canonical forms of pairs  $(A, H)$ , where  $A$  is  $H$ -selfadjoint, under transformations of the form  $(A, H) \mapsto (P^{-1}AP, P^*HP)$ , where  $P$  is invertible, see, for example, [6].

**Corollary 6** *Let  $X \in \mathbb{C}^{n \times n}$ . Then  $X$  admits an  $H$ -polar decomposition if and only if  $(X^{[*]}X, H)$  and  $(XX^{[*]}, H)$  have the same canonical form.*

**Proof.** If  $X = UA$  is a polar decomposition, then

$$XX^{[*]} = UAA^{[*]}U^{[*]} = UA^2U^{-1} \quad \text{and} \quad X^{[*]}X = A^{[*]}U^{[*]}UA = A^2,$$

i.e.,  $(XX^{[*]}, H)$  and  $(X^{[*]}X, H)$  have the same canonical forms, because  $U$  is  $H$ -unitary. On the other hand, if  $(XX^{[*]}, H)$  and  $(X^{[*]}X, H)$  have the same canonical forms, then there exists an  $H$ -unitary matrix  $U$  such that  $UXX^{[*]}U^{-1} = X^{[*]}X$ . Then  $\tilde{X} = UX$  is  $H$ -normal, since

$$\tilde{X}^{[*]}\tilde{X} = X^{[*]}X = UXX^{[*]}U^{-1} = \tilde{X}\tilde{X}^{[*]}.$$

By Corollary 5  $\tilde{X}$  admits an  $H$ -polar decomposition  $\tilde{X} = VA$ , where  $V$  is  $H$ -unitary and  $A$  is  $H$ -selfadjoint. Then  $X = (U^{-1}V)A$  is an  $H$ -polar decomposition for  $X$ .  $\square$

Thus, up to multiplication by an  $H$ -unitary matrix from the left,  $H$ -normal matrices are the only matrices that admit  $H$ -polar decompositions. Corollary 6 has been conjectured in [12, 11], where also a proof has been given for the case that  $X$  is invertible or that the eigenvalue zero of  $X^{[*]}X$  has equal algebraic and geometric multiplicities.

Theorem 4 also answers a question on sums of squares of  $H$ -selfadjoint matrices that has been posed in [14]. In general, the set  $\{A^2 : A \text{ is } H\text{-selfadjoint}\}$  (where  $H$  is fixed) is not convex, in contrast to the convexity of the cone of positive semidefinite matrices with respect to the Euclidean inner product, as the following example shows: Let

$$H = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad A_1 = \begin{bmatrix} 0 & 2 \\ -2 & 0 \end{bmatrix}, \quad A_2 = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}, \quad A_1^2 + A_2^2 = \begin{bmatrix} -3 & 2 \\ 0 & -3 \end{bmatrix}.$$

Then  $A_1^2 + A_2^2$  is not a square of any  $H$ -selfadjoint matrix, since  $A_1^2 + A_2^2$  has only one Jordan block associated with the eigenvalue  $-3$ . This contradicts the conditions for the existence of an  $H$ -selfadjoint square root, see Theorem 3.1 in [15]. Instead, we have the following result.

**Corollary 7** *If  $A_1$  and  $A_2$  are two commuting  $H$ -selfadjoint matrices, then there exist an  $H$ -selfadjoint matrix  $A$  such that  $A_1^2 + A_2^2 = A^2$ .*

**Proof.** Let  $X = A_1 + iA_2$ . Then  $X$  is  $H$ -normal, because  $X$  and  $X^{[*]} = A_1 - iA_2$  commute. By Corollary 5,  $X$  admits an  $H$ -polar decomposition  $X = UA$ , where  $U$  is  $H$ -unitary and  $A$  is  $H$ -selfadjoint. This implies  $A_1^2 + A_2^2 = X^{[*]}X = A^2$ .  $\square$

## 4 Polar decompositions with commuting factors

Again, we assume that  $\mathcal{H}$  is finite dimensional, and identify  $\mathcal{L}(\mathcal{H})$  with  $\mathbb{C}^{n \times n}$ , the algebra of  $n \times n$  complex matrices. It is well known that a normal matrix  $X$

(normal with respect to the standard inner product) allows a polar decomposition  $X = UA$  with commuting factors, see [5], for example. The question arises whether this is still true for indefinite inner products. In [13], it has been shown by a Lie group theoretical argument that nonsingular  $H$ -normal matrices allow an  $H$ -polar decomposition with commuting factors. (For a different proof of this fact, see [12].) On the other hand, there exist singular  $H$ -normal matrices that do not allow such  $H$ -polar decompositions. The following example is borrowed from [13].

**Example 8** Let

$$X = \begin{bmatrix} 0 & 0 \\ 0 & i \end{bmatrix}, \quad H = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}.$$

Then  $X$  is  $H$ -normal. In fact,  $X^{[*]}X = XX^{[*]} = 0$ . It is straightforward to check that all  $H$ -polar decompositions  $X = UA$  of  $X$  are described by the formulas

$$U = \begin{bmatrix} 0 & ix \\ ix^{-1} & y \end{bmatrix}, \quad A = \begin{bmatrix} 0 & x \\ 0 & 0 \end{bmatrix},$$

where  $x \neq 0$  and  $y$  are arbitrary real numbers. Clearly,  $U$  and  $A$  do not commute for any values of the parameters  $x$  and  $y$ .

In the following, we will give necessary and sufficient conditions for the existence of  $H$ -polar decompositions with commuting factors. The proof will be based on the following result on particular square roots of  $H$ -unitary matrices.

**Theorem 9** *Let  $V \in \mathbb{C}^{n \times n}$  be  $H$ -unitary and let  $M \in \mathbb{C}^{n \times n}$  be such that  $M$  and  $V$  commute. Then there exists an  $H$ -unitary matrix  $U \in \mathbb{C}^{n \times n}$  such that  $U^2 = V$  and  $MU = UM$ .*

**Proof.** First, assume that there are no eigenvalues of  $V$  on the negative real line (including zero). Let  $\Gamma$  be a simple (i.e., without self-intersections) closed rectifiable contour in the complex plane such that  $\Gamma$  is symmetric with respect to the real axis, the eigenvalues of  $V$  are inside  $\Gamma$ , and the negative real axis  $(-\infty, 0]$  is outside  $\Gamma$ . Let  $f : \mathbb{C} \setminus (-\infty, 0] \rightarrow \mathbb{C}$  be the branch of the square root that assigns to  $z \in \mathbb{C} \setminus (-\infty, 0]$  the solution  $c$  of  $c^2 = z$  that has positive real part. Then  $f$  is analytic on  $\Gamma$  and analytic in the interior of  $\Gamma$  and hence, the matrix  $f(V)$  given by the functional calculus

$$f(V) = \frac{1}{2\pi i} \int_{\Gamma} f(z)(zI - V)^{-1} dz \quad (13)$$

is well defined. From the fact that  $V$  is  $H$ -unitary, we obtain the formula

$$H(zI - V)^{-1} = \left( (zI - V)H^{-1} \right)^{-1} = \left( H^{-1}(zI - (V^*)^{-1}) \right)^{-1} = (zI - (V^*)^{-1})^{-1}H.$$

This implies  $Hf(V) = f((V^*)^{-1})H$ . Since  $f(z^{-1}) = f(z)^{-1}$ , we obtain that  $f(V^{-1}) = f(V)^{-1}$ , see [9, Corollary 6.2.10].

We then obtain from  $f(\bar{z}) = \overline{f(z)}$ , the symmetry of  $\Gamma$  with respect to the real axis, and the general fact that  $f(M^T) = f(M)^T$ , that

$$f\left((V^*)^{-1}\right) = \left(f(V)^*\right)^{-1}.$$

This implies that  $U := f(V)$  is  $H$ -unitary. Clearly,  $U^2 = V$  and  $UM = MU$ . For the case that there are negative eigenvalues of  $V$ , there exists  $0 \leq \theta < 2\pi$  such that the ray  $re^{i\theta}$  ( $r > 0$ ) does not contain an eigenvalue of  $V$ . Then  $\tilde{V} = e^{i(\pi-\theta)}V$  is still  $H$ -unitary, satisfies  $M\tilde{V} = \tilde{V}M$ , and does not have negative eigenvalues. Hence, there exists an  $H$ -unitary matrix  $\tilde{U}$  such that  $\tilde{U}^2 = \tilde{V}$  and  $M\tilde{U} = \tilde{U}M$ . Then  $U = e^{i(\theta-\pi)/2}\tilde{U}$  is an  $H$ -unitary square root of  $V$  satisfying  $MU = UM$ .  $\square$

The following result provides necessary and sufficient conditions for the existence of polar decompositions with commuting factors.

**Theorem 10** *Let  $X \in \mathbb{C}^{n \times n}$ . Then the following statements are equivalent.*

- i)  $X$  admits an  $H$ -polar decomposition with commuting factors.
- ii)  $X$  is  $H$ -normal and  $\text{Ker}(X) = \text{Ker}(X^{[*]})$ .
- iii) There exists an  $H$ -unitary matrix  $V$  such that  $X = VX^{[*]}$ .

**Proof.** *i)  $\Rightarrow$  ii):* If  $X$  allows an  $H$ -polar decomposition  $X = UA$  with commuting factors, then  $X^{[*]} = (UA)^{[*]} = AU^{-1} = U^{-1}A$ . But then  $X$  is  $H$ -normal, because

$$XX^{[*]} = UAAU^{-1} = AU^{-1}UA = X^{[*]}X.$$

In addition, we have  $\text{Ker}(X) = \text{Ker}(A) = \text{Ker}(X^{[*]})$ .

*ii)  $\Rightarrow$  iii):* This is a special case of Witt's Theorem and coincides with [4, Lemma 4.1].

*iii)  $\Rightarrow$  i):* Let  $V$  be an  $H$ -unitary matrix such that  $X = VX^{[*]}$ . Note that  $X$  and  $V$  commute.

$$XV = VX^{[*]}V = V(VX^{[*]})^{[*]}V = V XV^{[*]}V = VX.$$

Then Theorem 9 implies that  $V$  has an  $H$ -unitary square root  $U$  that commutes with  $X$ . Now consider  $X = UA$ , where  $A := U^{-1}X$ . Clearly,  $U$  and  $A$  commute. Furthermore,  $A$  is  $H$ -selfadjoint, because

$$(U^{-1}X)^{[*]} = X^{[*]}U = V^{-1}XU = V^{-1}UX = U^{-2}UX = U^{-1}X.$$

Thus  $X = UA$  is an  $H$ -polar decomposition for  $X$  with commuting factors.  $\square$

Note that if  $X = UA$  is an  $H$ -polar decomposition of  $X$ , i.e.,  $U$  is  $H$ -unitary and  $A$  is  $H$ -selfadjoint, then

$$UA = AU \iff UX = XU \implies XA = AX.$$

If  $A$  is invertible, then  $XA = AX \implies UA = AU$ , but in general  $XA = AX \not\implies UA = AU$  as the next two examples show. Thus, the equality  $XA = AX$  gives a commutativity property of  $H$ -polar decomposition which is strictly weaker than commuting factors. Example 8 shows that not every  $H$ -normal matrix admits an  $H$ -polar decomposition with this weaker commutativity property.

We conclude the paper with two examples; the second example is borrowed from [14].

**Example 11** Let

$$X = \begin{bmatrix} 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}, \quad H = \begin{bmatrix} 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \end{bmatrix}.$$

Then  $X$  is  $H$ -normal, but  $\text{Ker}(X) \neq \text{Ker}(X^{[*]})$ . Thus,  $X$  cannot have a polar decomposition with commuting factors by Theorem 10. On the other hand, consider the matrices

$$U = \begin{bmatrix} 1 & 0 & 1 & 0 & -\frac{1}{2} \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & -1 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}, \quad A = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}.$$

Then  $U$  is  $H$ -unitary,  $A$  is  $H$ -selfadjoint and  $X = UA$ . Moreover,  $A$  and  $X$  commute, but  $A$  and  $U$  do not.

**Example 12** Let

$$X = \begin{bmatrix} 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & r & z \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}, \quad r > 0, \quad z = \pm 1, \quad H = \begin{bmatrix} 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{bmatrix}.$$

A possible  $H$ -polar decomposition  $X = UA$ , where  $U$  is  $H$ -unitary and  $A$  is

$H$ -selfadjoint, is the following:

$$U = \begin{bmatrix} 1 & -\frac{r}{2z} & \frac{r^2}{4z} & -\frac{r^4}{32} & 0 \\ 0 & 1 & \frac{r}{2} & -\frac{3r^3}{16z} & -\frac{r^2}{8} \\ 0 & 0 & 1 & -\frac{r^2}{2z} & -\frac{r}{2} \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & \frac{r}{2z} & 1 \end{bmatrix}, \quad A = \begin{bmatrix} 0 & 0 & 1 & 0 & \frac{r}{2} \\ 0 & 0 & 0 & \frac{r}{2} & z \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}. \quad (14)$$

Note that  $A$  and  $X$  commute; but  $A$  and  $U$  do not commute. A MAPLE computation even shows that there does not exist an  $H$ -unitary  $\tilde{U}$  such that  $X = \tilde{U}A = A\tilde{U}$  for the special choice of  $A$  in as an  $H$ -selfadjoint polar factor of  $X$ .

However, note that  $\text{Ker } X = \text{Ker } X^{[*]}$ , i.e., by Theorem 10 there exists an  $H$ -polar decomposition  $X = \hat{U}\hat{A}$  with commuting factors. Indeed, let

$$\hat{U} = \begin{bmatrix} 1 & -\frac{rz}{2} & \frac{r^2z}{8} & -\frac{9r^4z^2}{128} & -\frac{r^3z}{8} \\ 0 & 1 & \frac{r}{2} & 0 & -\frac{r^2}{8} \\ 0 & 0 & 1 & -\frac{3r^2z}{8} & -\frac{r}{2} \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & \frac{rz}{2} & 1 \end{bmatrix}, \quad \hat{A} = \begin{bmatrix} 0 & 0 & 1 & \frac{r^2z}{8} & \frac{r}{2} \\ 0 & 0 & 0 & \frac{r}{2} & z \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}. \quad (15)$$

Then  $\hat{U}$  is  $H$ -unitary,  $\hat{A}$  is  $H$ -selfadjoint, and  $X = \hat{U}\hat{A} = \hat{A}\hat{U}$ . It is interesting to note that a straightforward but tedious MAPLE computation reveals that the polar factor  $A$  is unique up to a sign, i.e., all  $H$ -polar decompositions for  $X$  with commuting factors necessarily have the  $H$ -selfadjoint polar factor  $A$  (or  $-A$ ) as in (15).

## References

- [1] J. Bognár. *Indefinite inner product spaces*. Springer-Verlag, New York-Heidelberg, 1974.
- [2] Y. Bolshakov, C. V. M. van der Mee, A. C. M. Ran, B. Reichstein, and L. Rodman. Polar decompositions in finite-dimensional indefinite scalar product spaces: general theory. *Linear Algebra Appl.*, 261:91–141, 1997.
- [3] Y. Bolshakov, C. V. M. van der Mee, A. C. M. Ran, B. Reichstein, and L. Rodman. Extension of isometries in finite-dimensional indefinite scalar product spaces and polar decompositions. *SIAM J. Mathix Anal. Appl.*, 18:752–774, 1997.



- [4] Y. Bolshakov and B. Reichstein. Unitary equivalence in an indefinite scalar product: an analogue of singular-value decomposition. *Linear Algebra Appl.*, 222: 155–226, 1995.
- [5] F. Gantmacher. *Theory of Matrices*, volume 1. Chelsea, New York, 1959.
- [6] I. Gohberg, P. Lancaster, and L. Rodman. *Matrices and Indefinite Scalar Products*. Birkhäuser Verlag, Basel, Boston, Stuttgart, 1983.
- [7] N. J. Higham,  $J$ -orthogonal matrices: properties and generation, *SIAM Review* 45:504–519, 2003.
- [8] N. J. Higham, D. S. Mackey, N. Mackey, and F. Tisseur. Computing the polar decomposition and the matrix sign decomposition in matrix groups, *SIAM J. Matrix Anal. Appl.* 25(4):1178–1192, 2004.
- [9] R. Horn and C. R. Johnson. *Topics in Matrix Analysis*. Cambridge University Press, Cambridge, 1991.
- [10] I. S. Iohvidov, M. G. Krein, and H. Langer. *Introduction to the spectral theory of operators in spaces with an indefinite metric*. Akademie-Verlag, Berlin, 1982.
- [11] U. Kintzel. Polar decompositions, factor analysis, and Procrustes problems in finite dimensional indefinite scalar product spaces. Preprint 32-2003, Institut für Mathematik, Technische Universität Berlin, Germany, 2003.
- [12] U. Kintzel. Polar decompositions and Procrustes problems in finite dimensional indefinite scalar product spaces. Doctoral Thesis, Technische Universität Berlin, Germany, 2004.
- [13] B. Lins, P. Meade, C. Mehl, and L. Rodman. Normal matrices and polar decompositions in indefinite inner products. *Linear and Multilinear Algebra*, 49:45–89, 2001.
- [14] B. Lins, P. Meade, C. Mehl, and L. Rodman. Research Problem: Indefinite inner product normal matrices. *Linear and Multilinear Algebra*, 49: 261–268, 2001.
- [15] C. V. M. van der Mee, A. C. M. Ran, and L. Rodman. Stability of self-adjoint square roots and polar decompositions in indefinite scalar product spaces. *Linear Algebra Appl.*, 302–303:77–104, 1999.
- [16] C. V. M. van der Mee, A. C. M. Ran, and L. Rodman. Polar decompositions and related classes of operators in spaces  $\Pi_\kappa$ . *Integral Equations and Operator Theory*, 44: 50–70, 2002.