

Approximating hitting sets of axis-parallel rectangles with opposite corners separated by a monotone curve

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Abstract. In this note, we present a simple combinatorial factor 8 algorithm for approximating the minimum hitting set of a family $\mathcal{R} = \{R_1, \dots, R_n\}$ of axis-parallel rectangles in the plane such that there exists an axis-monotone curve γ separating the same two opposite corners of each rectangle R_i (say, the lower left and upper right corners).

1 Introduction

Let $\mathcal{R} = \{R_1, \dots, R_n\}$ be a family of axis-parallel rectangles of \mathbb{R}^2 . A set of points $T \in \mathbb{R}^2$ is said to be a *transversal* or a *hitting or piercing set* of \mathcal{R} if $T \cap R_i \neq \emptyset$ for any $R_i \in \mathcal{R}$. The *transversal number* $\tau(\mathcal{R})$ is the minimum size of a hitting set of \mathcal{R} . The *packing number* $\nu(\mathcal{R})$ is the maximum number of pairwise disjoint rectangles of \mathcal{R} . In terms of the intersection graph of $G_{\mathcal{R}}$ of the family of rectangles the packing number is the independence number $\alpha(G_{\mathcal{R}})$ and due to the Helly property of rectangles the transversal number equals the clique covering number $\theta(G_{\mathcal{R}})$.

Computing, approximating, and relating $\tau(\mathcal{R})$ and $\nu(\mathcal{R})$ is both an algorithmic and combinatorial question with numerous applications. In 1965, Wegner [17] asked if it is always true that $\tau(\mathcal{R}) \leq 2\nu(\mathcal{R}) - 1$ and Gyárfás and Lehel [12] relaxed this question by asking if $\tau(\mathcal{R}) \leq c\nu(\mathcal{R})$ for a universal constant c not depending of \mathcal{R} . Gyárfás and Lehel [12] noticed that $\tau(\mathcal{R}) \leq \nu^2(\mathcal{R})$. Károlyi [14] proved that $\tau(\mathcal{R}) \leq \nu(\mathcal{R})\lceil \log \tau(\mathcal{R}) \rceil + 2$ and Fon-De-Flaass and Kostochka [10] gave a simpler proof. Gyárfás and Lehel [12] also proved that $\tau(\mathcal{R}) \geq \frac{3}{2}\nu(\mathcal{R})$ and Fon-De-Flaass and Kostochka [10] improved this lower bound by showing

that $\tau(\mathcal{R}) \geq \frac{5}{3}\nu(\mathcal{R})$ for a set of 23 rectangles. Ahlswede and Karapetyan [3] announced that $\tau(\mathcal{R}) \leq 4\nu(\mathcal{R})$ if \mathcal{R} is a family of squares and that $\tau(\mathcal{R}) \leq 2\nu(\mathcal{R})$ if \mathcal{R} consists of unit squares.

Let P_b and P_r be two sets of points in the plane and let \mathcal{R} be the family of all rectangles with lower left corner in P_b (blue) and upper right corner in P_r (red). Soto and Telha [16] show that in this case $\tau(\mathcal{R}) = \nu^2(\mathcal{R})$, moreover optimal transversals and packings can be computed efficiently. In general the problems of computing the transversal and packing numbers of a family of axis-parallel rectangles are NP-hard. Hardness has been proven even for the case when all rectangles are unit squares [9]. Hochbaum and Maass [13] presented a PTAS for approximating $\tau(\mathcal{R})$ for unit squares and Chan [6] provided a PTAS for arbitrary axis-parallel squares. Chan and Mahmood [8] described a PTAS for families of unit-height rectangles. Agarwal and Mustafa [1] presented a constant factor approximation of $\nu(\mathcal{R})$ when the rectangles of \mathcal{R} are pseudodiscs (alias pairwise non-piercing), i.e., the intersection of the boundaries of any two rectangles consists of at most two points. More recently, Chan and Har-Peled [7] and Mustafa and Ray [15] extended the approach of [1] to arbitrary pseudodiscs and presented a PTAS for approximating $\nu(\mathcal{R})$ (Chan and Har-Peled [7] also noticed that in this case $\nu(\mathcal{R}) = O(\tau(\mathcal{R}))$ holds). Aronov, Ezra, and Sharir [2] proved the existence of $O(\frac{1}{\epsilon} \log \log \frac{1}{\epsilon})$ -nets for families of axis-parallel rectangles, which, combined with a result of Brönnimann and Goodrich [4], leads to a factor $O(\log \log \tau(\mathcal{R}))$ approximation algorithm for the transversal number $\tau(\mathcal{R})$ (notice also that Chalermsook and Chuzhoy [5] described an $O(\log \log n)$ algorithm for approximating $\nu(\mathcal{R})$ for a set \mathcal{R} of n rectangles).

In this note, we present a factor 8 approximating algorithm for $\tau(\mathcal{R})$ and establish that $\tau(\mathcal{R}) \leq 8\nu(\mathcal{R})$ for families \mathcal{R} of axis-parallel rectangles whose opposite corners can be separated by an axis-monotone curve γ . More exactly, let $A = A(\mathcal{R})$ denote the set of all upper right corners and $B = B(\mathcal{R})$ denote the set of all lower left corners of rectangles of \mathcal{R} (we will suppose that the points of A are colored red and the points of B are colored blue). An *axis-monotone curve* is an unbounded Jordan curve γ such that the intersection of γ with each horizontal or vertical line is a segment. Each axis-monotone curve γ separates the plane into two halves H'_γ and H''_γ . We say that a family of axis-parallel rectangles \mathcal{R} is *separable* if there exists an axis-monotone curve γ which separates the red corners of \mathcal{R} from the blue corners, i.e., such that $A(\mathcal{R}) \subset H'_\gamma$ and $B(\mathcal{R}) \subset H''_\gamma$. Here is the main result of this note:

Theorem 1. *If a family \mathcal{R} of axis-parallel rectangles is separable, then $\tau(\mathcal{R}) \leq 8\nu(\mathcal{R})$ and it is possible to construct in polynomial time a hitting set T of \mathcal{R} of size at most $8\tau(\mathcal{R})$ and a packing P of \mathcal{R} of size at least $\nu(\mathcal{R})/8$.*

2 Preliminary results

We begin with a simple lemma that allows to decompose packing and hitting problems.

Lemma 1. *Suppose that a family of sets \mathcal{F} is partitioned into m subfamilies $\mathcal{F}_1, \dots, \mathcal{F}_m$ and that for each \mathcal{F}_i there exists a polynomial algorithm that computes a hitting set T_i and a packing P_i of \mathcal{F}_i such that $|T_i| \leq k_i |P_i|$. Then*

a. $\bigcup_{i=1}^m T_i$ is a hitting set of size at most $(k_1 + \dots + k_m)\tau(\mathcal{F})$.

b. The largest of the sets P_i is a packing of size at least $\nu(\mathcal{F})/(k_1 + \dots + k_m)$.

This leads to a factor $k_1 + \dots + k_m$ approximation algorithms for the minimum hitting set and the maximum packing problem for \mathcal{F} . Moreover,

c. $\tau(\mathcal{F}) \leq (k_1 + \dots + k_m)\nu(\mathcal{F})$.

Proof. An optimal hitting set for \mathcal{F}_i has size at least $|P_i|$, i.e., $|P_i| \leq \tau(\mathcal{F}_i)$. Therefore, $|T_i| \leq k_i\tau(\mathcal{F}_i) \leq k_i\tau(\mathcal{F})$ and $|\bigcup_{i=1}^m T_i| \leq \sum_{i=1}^m |T_i| \leq \sum_{i=1}^m k_i\tau(\mathcal{F}) = (k_1 + \dots + k_m)\tau(\mathcal{F})$.

Since $\bigcup_{i=1}^m T_i$ is a hitting set we obtain $\sum_{i=1}^m k_i|P_i| \geq \sum_{i=1}^m |T_i| \geq |\bigcup_{i=1}^m T_i| \geq \nu(\mathcal{F})$. It follows that if P_{i_0} is the largest of the sets P_i , then $(k_1 + \dots + k_m)|P_{i_0}| \geq \nu(\mathcal{F})$.

For the final part c. note that $\tau(\mathcal{F}) \leq |\bigcup_{i=1}^m T_i| \leq \sum_{i=1}^m |T_i| \leq \sum_{i=1}^m k_i|P_i| \leq (k_1 + \dots + k_m)|P_{i_0}| \leq (k_1 + \dots + k_m)\nu(\mathcal{F})$. \square

A family of axis-parallel rectangles is said to be *linearly separable* if there exists an axis-monotone Jordan curve γ such that for each rectangle $R \in \mathcal{R}$ the intersection $R \cap \gamma$ is a non-empty subcurve of γ and for any $R', R'' \in \mathcal{R}$ we have $R' \cap R'' \neq \emptyset$ if and only if $R' \cap R'' \cap \gamma \neq \emptyset$.

Lemma 2. *If \mathcal{R} is linearly separable, then $\tau(\mathcal{R}) = \nu(\mathcal{R})$.*

Proof. Let $\mathcal{I}_\gamma := \{R \cap \gamma : R \in \mathcal{R}\}$. First notice that since γ is homeomorphic to the real line \mathbb{R} , up to this homeomorphism, \mathcal{I}_γ can be viewed as a family of intervals in \mathbb{R} . Consider the interval graph G defined by \mathcal{I}_γ and note that $\nu(\mathcal{I}_\gamma) = \alpha(G)$ and due to the Helly property of intervals $\tau(\mathcal{I}_\gamma) = \theta(G)$. Since interval graphs are perfect (c.f. [11]) we obtain $\tau(\mathcal{I}_\gamma) = \nu(\mathcal{I}_\gamma)$. Thus, it suffices to show that $\tau(\mathcal{R}) = \tau(\mathcal{I}_\gamma)$ and $\nu(\mathcal{R}) = \nu(\mathcal{I}_\gamma)$. The second equality is obvious because γ is a linear separating curve, i.e., two rectangles of \mathcal{R} are disjoint if and only if their intersections with γ are disjoint. From the definition of \mathcal{I}_γ it follows that any hitting of \mathcal{I}_γ is also a hitting set of \mathcal{R} , hence, $\tau(\mathcal{R}) \leq \tau(\mathcal{I}_\gamma)$. Together with $\tau(\mathcal{I}_\gamma) = \nu(\mathcal{I}_\gamma) = \nu(\mathcal{R}) \leq \tau(\mathcal{R})$ this yields $\tau(\mathcal{R}) = \tau(\mathcal{I}_\gamma)$. \square

A family \mathcal{R} of axis-parallel rectangles is *cross separable* if there exists an axis-monotone Jordan curve γ such that either γ intersects the left and the right side of all rectangles R of \mathcal{R} or γ intersects the top and the bottom side of all rectangles R of \mathcal{R} . In the first case we say that \mathcal{R} is \parallel -cross separable while in the second case γ is \equiv -cross separable.

Lemma 3. *If \mathcal{R} is cross separable, then \mathcal{R} is linearly separable.*

Proof. Suppose without loss of generality that \mathcal{R} is \parallel -cross separated by γ . Consider the vertical projection π from \mathbb{R}^2 to \mathbb{R} . Since γ intersects the left and the right side of each rectangle R we have $\pi(R) = \pi(R \cap \gamma)$ for all R in \mathcal{R} . Now, if $R' \cap R'' \neq \emptyset$, then there is a point p in $\pi(R') \cap \pi(R'') = \pi(R' \cap R'') \neq \emptyset$. Axis-monotonicity of γ implies that $\pi^{-1}(p) \cap \gamma$ is a possibly degenerate segment s . Since $p \in \pi(R' \cap \gamma)$ the intersection of s and R' is non-empty and since γ only intersects the left and the right side of R' this implies that $s \subset R'$. Similarly, $s \subset R''$, hence, $R' \cap R'' \cap \gamma \neq \emptyset$ as required. \square

3 The algorithm and its analysis

Let \mathcal{R} be a separable family of axis-parallel rectangles and let γ be an axis-monotone curve separating the red corners A from the blue corners B of rectangles of \mathcal{R} . We can suppose without loss of generality that no rectangle R of the family is properly contained in another rectangle $R' \in \mathcal{R}$: if this happen, we simply remove R' from \mathcal{R} . Each rectangle R of \mathcal{R} is assigned to one of four subfamilies $\mathcal{R}_\top, \mathcal{R}_\parallel, \mathcal{R}_=, \mathcal{R}_\perp$ depending of how γ intersects R . Namely, R belongs to \mathcal{R}_\parallel (respectively, to $\mathcal{R}_=$) if γ intersects both vertical sides of R (respectively, both horizontal sides of R). Otherwise, R belongs to \mathcal{R}_\top if γ intersects the top and the right side of R and, finally, R belongs to \mathcal{R}_\perp if γ intersects the left and the bottom side of R . Clearly, \mathcal{R} is the disjoint union of the four subfamilies $\mathcal{R}_\top, \mathcal{R}_\parallel, \mathcal{R}_=$, and \mathcal{R}_\perp . Since the two families \mathcal{R}_\parallel and $\mathcal{R}_=$ are cross-separable (as certified by the curve γ), from Lemma 2 and 3 we immediately obtain:

Lemma 4. $\tau(\mathcal{R}_\parallel) = \nu(\mathcal{R}_\parallel)$ and $\tau(\mathcal{R}_=) = \nu(\mathcal{R}_=)$.

Since computing a minimum hitting set and a maximum set of pairwise disjoint intervals of a family of intervals of a real line can be done in linear time (if the ends of the intervals are sorted) [11], we deduce that the hitting and packing numbers of the families \mathcal{R}_\parallel and $\mathcal{R}_=$ can be efficiently computed.

Next we will show how to approximate in polynomial time the transversal numbers of the two remaining families \mathcal{R}_\top and \mathcal{R}_\perp . We analyse a simple algorithm which construct a hitting set for the family \mathcal{R}_\top (a similar algorithm works also for \mathcal{R}_\perp). The idea is to partition the rectangles of \mathcal{R}_\top into two subfamilies \mathcal{R}'_\top and \mathcal{R}''_\top . For the first family \mathcal{R}'_\top , we construct a hitting set $T'_\top \cup T^0_\top$ and a packing $\mathcal{P}'_\top \subset \mathcal{R}'_\top$ such that $|T'_\top| = |\mathcal{P}'_\top|$ and $|T^0_\top| \leq |T'_\top|$. For the second family \mathcal{R}''_\top in the partition we can prove that it is \parallel -cross separable by the axis-monotone curve μ which is the upper envelope of the points of T'_\top , thus by Lemmata 2 and 3 we conclude that $\tau(\mathcal{R}''_\top) = \nu(\mathcal{R}''_\top)$ and that an optimal hitting set and an optimal packing can be computed efficiently.

Recall that a point $q = (q_x, q_y)$ is said to *dominate* a point $p = (p_x, p_y)$ if $p_x \leq q_x$ and $p_y \leq q_y$. For a finite set $S \subset \mathbb{R}^2$ let S_0 be the set of all of S that are not dominated by any other point in S . The set S_0 is just the set of maxima of the dominance order on S . The *upper-envelope* $\mu(S)$ of S is the axis-monotone staircase passing through all points of S_0 . Equivalently, the upper-envelope $\mu(S)$ is the boundary ∂U of the union $U = \bigcup_{p \in S} Q_p$ of the closed quadrants $Q_p = \{q = (q_x, q_y) \in \mathbb{R}^2 : q_x \leq p_x \text{ and } q_y \leq p_y\}$ consisting of all points of \mathbb{R}^2 dominated by $p = (p_x, p_y)$. Notice that $\mu(S)$ is an axis-monotone polygonal line whose convex corners are the points of S_0 .

We continue with the description of the algorithm:

Algorithm HITTINGSET(\mathcal{R}_γ)

Input: The family \mathcal{R}_γ .

Output: A partition of \mathcal{R}_γ into two families $\mathcal{R}'_\gamma, \mathcal{R}''_\gamma$ together with
a hitting set $T'_\gamma \cup T''_\gamma$ and a packing \mathcal{P}'_γ of \mathcal{R}'_γ and
a hitting set T''_γ and a packing \mathcal{P}''_γ of \mathcal{R}''_γ .

Initialization: $T'_\gamma \leftarrow \emptyset, T''_\gamma \leftarrow \emptyset$, and $\mathcal{P}'_\gamma \leftarrow \emptyset$

1. **while** $\mathcal{R}_\gamma \neq \emptyset$ **do**
2. Pick a rectangle R of \mathcal{R}_γ with a highest lower left (blue) corner (if there are several such rectangles, then select one with the largest height) and let c_R be the lower right corner of R .
3. Set $\mathcal{P}'_\gamma \leftarrow \mathcal{P}'_\gamma \cup \{R\}$ and $T'_\gamma \leftarrow T'_\gamma \cup \{c_R\}$.
4. Remove from \mathcal{R}_γ all rectangles R' containing the point c_R and insert them in \mathcal{R}'_γ .
5. Remove from \mathcal{R}_γ all rectangles R'' that intersect the rectangle R (but do not contain c_R) and insert them in \mathcal{R}''_γ .
6. **endwhile**
7. Let $\mu(T'_\gamma)$ be the upper envelope of T'_γ and let T''_γ be the set of all concave corners of $\mu(T'_\gamma)$.
8. Remove from \mathcal{R}''_γ all rectangles R'' such that $R'' \cap T''_\gamma \neq \emptyset$ and insert them in \mathcal{R}'_γ .
9. Compute a hitting set T''_γ and a packing \mathcal{P}''_γ of the cross-separable family \mathcal{R}''_γ .
10. Return the subfamilies $\mathcal{R}'_\gamma, \mathcal{R}''_\gamma, \mathcal{P}'_\gamma$, and \mathcal{P}''_γ of \mathcal{R}_γ and the point sets $T'_\gamma \cup T''_\gamma$ and T''_γ .

We begin the analysis of the algorithm by looking at the family \mathcal{R}'_γ .

Lemma 5. *The set $T'_\gamma \cup T''_\gamma$ is a hitting set and \mathcal{P}'_γ is a packing of \mathcal{R}'_γ . The sizes of the sets are related by $|T'_\gamma \cup T''_\gamma| = 2 \cdot |\mathcal{P}'_\gamma| - 1$.*

Proof. From the description of the algorithm, we conclude that \mathcal{P}'_γ consists of pairwise disjoint rectangles, i.e., it is a packing, and that $|T'_\gamma| = |\mathcal{P}'_\gamma|$. Since T''_γ is the set of concave corners of the staircase $\mu(T'_\gamma)$ whose convex corners are the points of T'_γ we obtain that $|T''_\gamma| = |T'_\gamma| - 1$. The definition of \mathcal{R}'_γ implies that $T'_\gamma \cup T''_\gamma$ is a hitting set. \square

Now, we continue with the basic property of the family \mathcal{R}''_γ .

Proposition 1. *The family \mathcal{R}''_γ is \parallel -cross separable with respect to $\mu := \mu(T'_\gamma)$.*

Proof. From the definition of \mathcal{R}_γ and the construction of the set T'_γ we conclude that each point of T'_γ is below the axis-monotone curve γ separating the sets A and B . Thus the upper envelope μ of T'_γ is also below γ . Let $R'' \in \mathcal{R}''_\gamma$ and let R be the rectangle of \mathcal{P}'_γ because of which R'' was inserted in \mathcal{R}''_γ , i.e., $R'' \cap R \neq \emptyset$ and $c_R \notin R''$. Moreover, since R'' was not removed from \mathcal{R}''_γ at Step 8 of the algorithm, $R'' \cap T''_\gamma = \emptyset$ holds. The remaining part of the proof is split into three claims.

Claim 1: *The right side of R is to the right of the right side of R'' and the bottom side of R is at least as high as the bottom side of R'' .*

Proof of Claim 1: By the algorithm, at the moment when R was inserted in \mathcal{P}'_γ , the rectangle R'' is still in \mathcal{R}_γ , therefore the blue corner of R is at least as high as the blue corner

of R'' . Since $R'' \cap R \neq \emptyset$ and $c_R \notin R''$, necessarily we are done. This concludes the proof of Claim 1.

Claim 2: *If μ intersects a rectangle $R'' \in \mathcal{R}''_\gamma$, then μ necessarily \parallel -cross R'' .*

Proof of Claim 2: Since R'' is not removed from \mathcal{R}''_γ at Step 8, R'' contains no corner of μ . Therefore, μ either \parallel -cross or $=$ -cross R'' . Suppose by way of contradiction that μ $=$ -cross R'' and let s be the vertical segment of μ traversing R'' . Let $c = (c_x, c_y)$ be the lower extremity of s . Since c is a concave corner of μ it belongs to T_γ^0 . Let R be the rectangle intersecting R'' because of which R'' was inserted in \mathcal{R}''_γ . From Claim 1 we conclude that the right side of R is to the right of the right side of R'' and the bottom side of R'' is at most as high side of R . This implies that the corner c_R of R inserted in T'_γ either belongs to the open quadrant $\{q = (q_x, q_y) : c_x < q_x \text{ and } c_y < q_y\}$ or to the horizontal segment s' of μ incident to c . In the first case, the point c is dominated by $c_R \in T'_\gamma$, contrary to the fact that μ is the upper envelope of T'_γ . Otherwise, if c_R belongs to s' , then from the choice of R by the algorithm we conclude that the bottom side of R'' also belongs to the horizontal line passing through c . Since s intersects R'' we find that $c \in R''$ and from $c \in T_\gamma^0$ we conclude that R'' is removed from \mathcal{R}''_γ at Step 8. This contradiction establishes Claim 2.

Claim 3: *μ intersects all rectangles of \mathcal{R}''_γ .*

Proof of Claim 3: Suppose by way of contradiction that $R'' \cap \mu = \emptyset$ for some $R'' \in \mathcal{R}''_\gamma$. If R'' is above μ , from Claim 1 we conclude that the lower right corner $c_R \in T'_\gamma$ of R is also above μ . This is in contradiction to the definition of μ as the upper envelope of T'_γ . Therefore, R'' is below μ but since μ is below γ we find by transitivity that R'' is below γ . This is in contradiction to the fact that γ is the curve certifying that the family \mathcal{R} is separable. This contradiction establishes Claim 3 and concludes the proof of the proposition. \square

We can now conclude the proof of Theorem 1. We have partitioned the set \mathcal{R} of rectangles as $\mathcal{R} = \mathcal{R}_\gamma \cup \mathcal{R}_\parallel \cup \mathcal{R}_= \cup \mathcal{R}_\perp$ and refined the partition with $\mathcal{R}_\gamma = \mathcal{R}'_\gamma \cup \mathcal{R}''_\gamma$ and $\mathcal{R}_\perp = \mathcal{R}'_\perp \cup \mathcal{R}''_\perp$. Each of the families \mathcal{R}_\parallel , $\mathcal{R}_=$, \mathcal{R}''_γ , and \mathcal{R}''_\perp is cross-separable (Lemma 4 and Proposition 1). Therefore, hitting sets T_\parallel , $T_=$, T''_γ , and T''_\perp and packings \mathcal{P}_\parallel , $\mathcal{P}_=$, \mathcal{P}''_γ , and \mathcal{P}''_\perp of pairwise equal size can easily be computed. Moreover, the algorithm returns a hitting set $T'_\gamma \cup T_\gamma^0$ and a packing \mathcal{P}'_γ for \mathcal{R}'_γ and a hitting set $T'_\perp \cup T_\perp^0$ and a packing \mathcal{P}'_\perp for \mathcal{R}'_\perp . Since $|T'_\gamma| = |\mathcal{P}'_\gamma| \leq \tau(\mathcal{R}'_\gamma)$ and $|T_\gamma^0| = |T'_\gamma| - 1$, we conclude that $|T'_\gamma \cup T_\gamma^0| \leq 2\tau(\mathcal{R}'_\gamma)$. Analogously, $|T'_\perp \cup T_\perp^0| \leq 2\tau(\mathcal{R}'_\perp)$.

From Lemma 1 we obtain that $T := T_\parallel \cup T_= \cup T'_\gamma \cup T_\gamma^0 \cup T''_\gamma \cup T'_\perp \cup T_\perp^0 \cup T''_\perp$ is a hitting set of \mathcal{R} of size at most $8\tau(\mathcal{R})$ and that the largest of the six packings is a packing of \mathcal{R} of size at least $\nu(\mathcal{R})/8$. Part c. of the lemma implies the inequality $\tau(\mathcal{R}) \leq 8\nu(\mathcal{R})$.

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